

Targeting the PD-1/B7-H1(PD-L1) pathway to activate anti-tumor immunity

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Genetic alterations and epigenetic dysregulation in cancer cells create a vast array of neopeptides potentially recognizable by the immune system. Immune checkpoint blockade has the capacity to enhance and sustain endogenous immunity against non-mutated tumor-associated antigens as well as uniquely mutant antigens, establishing durable tumor control. Recent evidence from preclinical models highlights the pivotal role of the Programmed Death-1 (PD-1) T cell co-receptor and its ligands, B7-H1/PD-L1 and B7-DC/PD-L2, in maintaining an immunosuppressive tumor microenvironment. Encouraging early clinical results using blocking agents against components of the PD-1 pathway have validated its importance as a target for cancer immunotherapy.

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Introduction

Ever since it became clear that all cancer cells express tumor-specific and tumor-selective antigens derived from genetic alterations and epigenetic dysregulation, the immunology community has embraced the potential for immune-based therapies to induce targeted anti-tumor responses. However, skepticism about the clinical value of immunotherapies designed to specifically enhance T cell-mediated immunity against preselected commonly expressed tumor antigens, including cancer vaccines and adoptive T cell transfer strategies, has escalated [1]. A game changer for cancer immunotherapy has emerged in the form of monoclonal antibodies (mAbs) that block

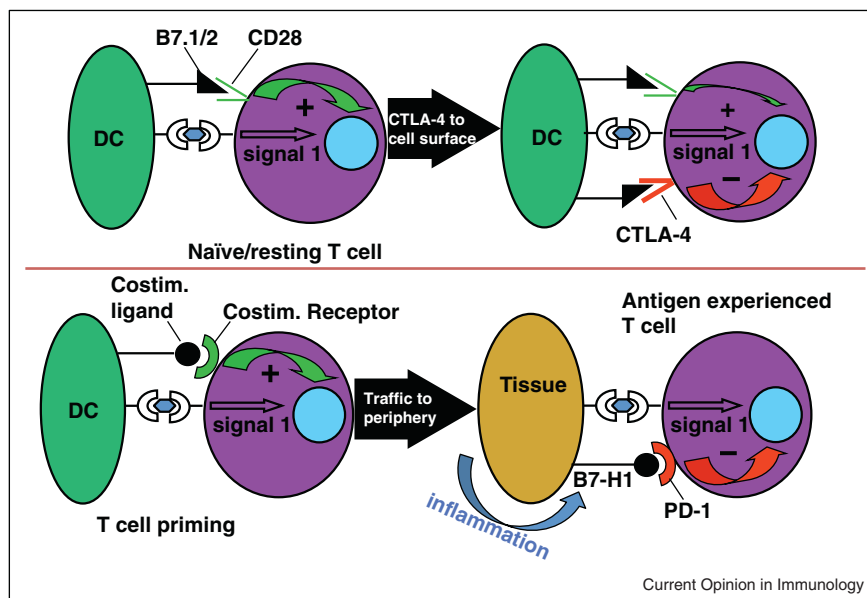
inhibitory receptors on immune effector cells or their ligands on tumor cells and antigen presenting cells (APCs) — so-called ‘immune checkpoints’. Immune checkpoint blockade has the potential to enhance and sustain endogenous immunity against non-mutated as well as uniquely mutant antigens, establishing durable tumor control. Recent evidence highlights the pivotal role of the Programmed Death-1 (PD-1) T cell co-receptor and its ligands B7-H1/PD-L1 and B7-DC/PD-L2 in maintaining an immunosuppressive tumor microenvironment. Encouraging early results from clinical blockade of this pathway have validated its potential as a target for cancer immunotherapy.

Receptor/ligand biology

The two checkpoint receptors that have been most actively studied in the context of clinical cancer immunotherapy, CTLA-4 and PD-1 [2,3,4–8], play distinct roles in regulating immunity (Figure 1). CTLA-4, which regulates the amplitude of early activation of naïve and memory T cells, is transported to the T cell surface at levels that depend on the strength of the TCR signal. It acts physiologically as a signal dampener. Its importance in modulating T cell responses is demonstrated by the rapidly lethal autoimmune/hyperimmune phenotype of CTLA-4 knockout (KO) mice, which predicted the significant immune toxicity associated with blockade of this receptor.

In contrast to CTLA-4, the major role of PD-1 is to limit the activity of T cells in the periphery during an inflammatory response to infection and to limit autoimmunity [5–8,9,10]. The basis for this physiology is that the ligands for PD-1, B7-H1/PD-L1 [10,11] and B7-DC/PD-L2 [12,13], are upregulated in response to inflammation. B7-H1/PD-L1 is upregulated on many cell types — hematopoietic, endothelial and epithelial — in response to proinflammatory cytokines, notably interferon-gamma, while B7-DC/PD-L2 is upregulated on dendritic cells and macrophages in response to different proinflammatory cytokines such as IL-4 [14,15]. PD-1 is expressed to various degrees on activated T cells; thus, the co-expression of ligand and receptor in inflamed tissues mitigates against collateral tissue destruction by T cells at these sites. In keeping with this biologic role, PD-1 and B7-H1/PD-L1 KO mice do not develop spontaneous autoimmune responses in the first year of age, but do demonstrate exacerbated tissue responses to infection or accelerated disease in autoimmune-prone strains.

Figure 1



PD-1 and CTLA-4 play distinct roles in regulating T cell immunity. CTLA-4 modulates the early phases of activation of naïve or memory T cells in response to TCR stimulation by MHC-peptide complexes displayed by antigen presenting cells ('signal 1'). In contrast, PD-1 is expressed on antigen-experienced T cells in the periphery, and serves to limit the activity of T cells at the time of an inflammatory response, thereby protecting normal tissues from collateral destruction. DC, dendritic cell.

Further complexity to the PD-1/PD-1ligand system was appreciated with the surprising discovery that B7-H1/PD-L1 binds B7.1 in addition to PD-1 [16]. The binding sites appear to be adjacent but not overlapping, allowing for anti-B7-H1/PD-L1 mAbs that block one or both of these interactions. Application of these mAbs in various selective KO models suggests that interactions between B7-H1/PD-L1 and B7.1 on T cells inhibit T cell activity independent of interactions with PD-1 [17,18]. These findings suggest that there are three distinct inhibitory interactions within this pathway and that anti-PD-1 and anti-B7-H1/PD-L1 antibodies might behave differently owing to their ability to block distinct sets of interactions (Figure 2).

The finding that B7-H1/PD-L1 is commonly upregulated on many different tumor types, where it inhibits local anti-tumor T cell responses [19,20], and that PD-1 is expressed on the majority of tumor infiltrating lymphocytes [21,22] creates an important rationale for mAb blockade of this pathway for cancer immunotherapy, as validated by multiple murine tumor studies [23,24].

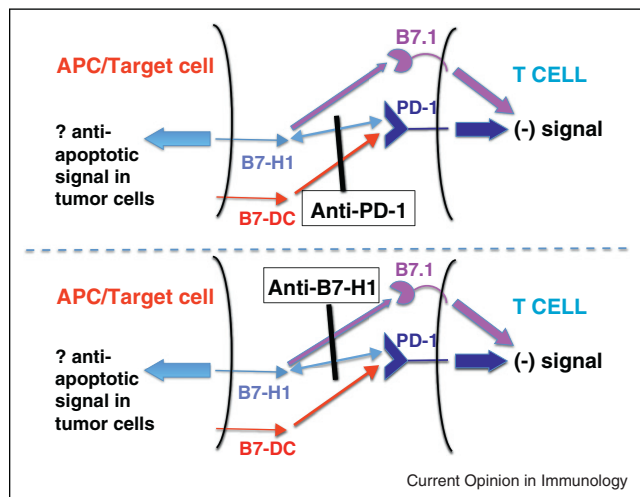
The clinical development of PD-1 pathway blockers requires an understanding of the signals that induce expression of its ligands within the tumor. In the case of the PD-1 ligands, constitutive oncogene-driven expression of B7-H1/PD-L1 has been suggested. However, recent findings support an alternative model, that B7-H1/PD-L1 upregulation on tumor cells reflects their

adaptation to endogenous immune responses directed at tumor antigens—a process we term adaptive resistance (J Taube *et al.*, unpublished). In adaptive resistance, the tumor co-opts the natural physiology of the PD-1 pathway for tissue protection in the face of inflammation, to protect itself from an anti-tumor response. Expression of B7-H1/PD-L1 as an adaptive response to anti-tumor immunity likely occurs because this ligand is induced on most epithelial cancers in response to interferon-gamma, similarly to epithelial and stromal cells in normal tissues [25,26]. In lymphoid malignancies, B7-DC/PD-L2 is more commonly upregulated [27], likely in response to different set of proinflammatory cytokine signals. The adaptive resistance mechanism directly implies that any treatment that induces anti-tumor immunity (*e.g.*, vaccination) will provide therapeutic synergy with PD-1 pathway blockade.

Preclinical models

Preclinical evidence for PD-1's inhibitory role comes from several sources. Knockout mice develop late-onset, strain-specific autoimmunity; on a C57BL/6 background this manifests as sporadic glomerulonephritis [28], on a Balb/c background as an antibody-mediated cardiomyopathy [29]. These findings extend to models of organ-specific autoimmunity; on the non-obese diabetic (NOD) background, PD-1 KO mice develop accelerated insulinitis as well as increased T cell production of effector cytokines [30]. Taken together, these data suggest that the PD-1/B7-H1(PD-L1) axis maintains T cell tolerance to

Figure 2



Anti-PD-1 and anti-B7-H1/PD-L1 antibodies (mAbs) might behave differently owing to their ability to block distinct sets of inhibitory interactions. Anti-PD-1 mAbs can block its binding to both B7-H1/PD-L1 and B7-DC/PD-L2, abrogating an inhibitory PD-1-mediated signal in T cells; however, the inhibitory interaction of B7-H1/PD-L1 with B7.1 on T cells is not affected. Conversely, anti-B7-H1/PD-L1 mAbs can block its interactions with both B7.1 and PD-1, but will not block the inhibitory interaction of B7-DC/PD-L2 with PD-1. Both anti-PD-1 and anti-B7-H1/PD-L1 mAbs could potentially block transmission of a retrograde pro-survival signal through B7-H1/PD-L1 into tumor cells [43]. APC, antigen presenting cell.

persistently expressed antigens. A similar immunological picture emerges in models of chronic infection, where persistent pathogen exposure results in a population of 'exhausted' antigen-specific CD8 T cells that express PD-1. In a chronic infection setting, the importance of this axis was elegantly demonstrated by studies in which blockade of PD-1/B7-H1(PD-L1) interactions restored the function of non-functional virus-specific CD8 T cells [31].

While much of this work has focused on CD8+ T cells, recent studies have highlighted a role for PD-1 on other cell types as well. One interesting set of experiments examined the acute B cell depletion that occurs during HIV infection, using a primate model. Here, PD-1 was shown to mediate the depletion of activated memory B cells and PD-1 blockade ameliorated this effect, restoring antibody titers [32]. While expression of PD-1 on B cells has been previously described, these recent data support a potential role for PD-1 in B cell immunity—the relative importance of this mechanism in cancer patients has yet to be explored. Expression of PD-1 on CD4+ T cells may also play a role in their dysfunction; a recent study used MHC class II tetramers to study HCV-specific CD4 T cell function in patients with either chronic or resolved infection. Similar to the case for CD8+ T cells, PD-1 blockade partially restored specific CD4 function in

chronically infected patients, but T_H1 cytokine production was most effectively restored when PD-1 blockade was combined with TGF- β and/or IL-10 blockade. Finally, PD-1 is highly expressed on induced regulatory T cells (iTregs), and PD-1: PD-L1 interactions appear to promote the induction/conversion and maintenance of Tregs, suggesting an additional mechanism for immunosuppression in a tumor microenvironment rich in PD-1 ligands [33,34]. These studies reinforce the notion that PD-1 blockade may affect multiple cell types, a critical observation in understanding the mechanism of action of antibody-mediated blockade.

Perhaps even more interesting are accumulating data that non-functional CD8+ cells coordinately express multiple immune checkpoint molecules with PD-1, and that blockade of several checkpoints may augment anti-tumor (as well as anti-viral) immunity. This notion was first demonstrated in the chronic LCMV model, where PD-1 was co-expressed with 2B4 and lymphocyte activating gene-3 (LAG-3) [35]. Recent studies extended this concept to cancer; PD-1/LAG-3 co-expression was documented on tumor antigen-specific CD8+ T cells in women with ovarian cancer, and dual (but not single) blockade was shown to restore CD8+ T cell function *in vitro* [36]. Indeed, PD-1 and LAG-3 may mediate non-overlapping tolerance-maintaining pathways; an important recent study showed that PD-1/LAG-3 double KO mice developed an accelerated autoimmune phenotype not present in either single KO strain [37]. Another cell surface molecule that may work with PD-1 to maintain tolerance is Tim-3, which is co-expressed with PD-1 in multiple preclinical models. Indeed, in an animal model of acute myelogenous leukemia (AML), blockade of PD-1 or Tim-3 alone was insufficient to rescue mice from cancer progression, while blockade of both pathways resulted in additive disease protection [38]. Similarly, PD-1/Tim-3 co-expression has been reported on NY-ESO-1 specific CD8+ T cells from melanoma patients [39], reinforcing the notion that other checkpoints likely cooperate with PD-1 to control anti-tumor immunity. Determining the relative expression of relevant checkpoint molecules in the tumor microenvironment, or in tumor draining lymph nodes, should provide key insights into combinatorial treatment strategies for clinical exploration.

Clinical translation

An understanding of PD-1 pathway biology and the preclinical demonstration of its pivotal role in immunosuppression have fueled the clinical development of PD-1 pathway blockade for cancer therapy. There are currently four anti-PD-1 agents in the clinic: MDX-1106/BMS-936558/ONO-4538, CT-011, MK-3475, and AMP-224. The first three are reported to be PD-1 blocking mAbs, while the last is a B7-DC/IgG1 fusion protein (Table 1).

Table 1

PD-1 and B7-H1/PD-L1 blocking agents currently in clinical testing.^a

Source	Target molecule	
	PD-1	B7-H1/PD-L1
Amplimmune Inc./GlaxoSmithKline Bristol-Myers Squibb	AMP-224 (B7-DC/IgG1 fusion protein)	N/A
	MDX-1106/BMS-936558/ONO-4538 (fully human IgG4 mAb)	MDX-1105/BMS-936559 (fully human IgG4 mAb)
CureTech/Teva	CT-011 (humanized IgG1 mAb)	N/A
Merck	MK-3475 (humanized IgG4 mAb)	N/A

^a Information about clinical trials can be found at ClinicalTrials.gov, <http://www.clinicaltrials.gov>.

To date, most clinical experience with PD-1 blockade has been gained with MDX-1106. The first-in-human phase I trial of this fully human IgG4 mAb employed intermittent dosing in patients with treatment-refractory metastatic solid tumors, allowing detailed analysis of pharmacokinetics (PK) and pharmacodynamics over a wide dose range [40^{••}]. Clinical activity was observed in patients with melanoma, renal cell carcinoma, colorectal cancer and non-small cell lung cancer (NSCLC). Tumor cell surface expression of B7-H1/PD-L1 in pretreatment biopsies emerged as a potential biomarker of response, consistent with pathway biology. Unexpectedly, the pharmacodynamic effects of PD-1 receptor occupancy by MDX-1106 were prolonged well beyond the measured half-life of 12–20 days, indicating the biological durability of this high-affinity mAb. An ongoing follow-up trial of biweekly MDX-1106 administration has already shown durable complete or partial tumor regressions in approximately one-third of patients with advanced melanoma and kidney cancer, with confirmed activity against NSCLC (Sznol M, et al., abstract 2506 in *J Clin Oncol* 2010, **28**:Suppl. 15s). The activity of anti-PD-1 against NSCLC, long thought to be a 'non-immunogenic' tumor, suggests the potential for PD-1 blockade to reactivate endogenous anti-tumor immunity in a wide spectrum of malignancies. Grade ≥ 3 adverse clinical events occurred in approximately 12% of patients, and included immune-related phenomena similar to those encountered with anti-CTLA-4 therapy (McDermott DF, et al., abstract 331 in *J Clin Oncol* 2011, **29**:Suppl. 7).

Another PD-1 blocking mAb, MK-3475, recently entered phase I clinical evaluation for cancer therapy. In contrast to the fully human MDX-1106, MK-3475 is a humanized mAb. However, similar to MDX-1106, its engineered IgG4 isotype is designed to obviate antibody-dependent cellular cytotoxicity (ADCC) and complement-mediated cytotoxicity (CMC).

Two anti-PD-1 agents with IgG1 isotypes may coordinately activate T cell-mediated and innate anti-tumor immunity. CT-011, a humanized mAb, was first tested in a single-dose regimen in 17 patients with advanced

hematologic malignancies [41], and was generally well tolerated. A complete response in a previously untreated patient with follicular B cell lymphoma and a minor response in a patient with refractory AML were reported. CT-011 is currently undergoing further testing in next-generation clinical trials for patients with advanced hematologic malignancies and a variety of solid tumors. *In vitro* studies have demonstrated its capacity to activate human NK cells against multiple myeloma, suggesting engagement of the innate immune system [42]. Finally, AMP-224, a recombinant protein fusing the extracellular domain of human B7-DC/PD-L2 to IgG1, also commenced phase I clinical testing in 2011 for patients with treatment-refractory metastatic cancers. On the basis of its composition, AMP-224 has the potential to block the inhibitory B7-DC:PD-1 interaction while engaging NK cells.

A blocking antibody against the ligand B7-H1/PD-L1 (MDX-1105/BMS-936559) is also currently in phase I clinical testing in patients with advanced solid tumors, and it has shown preliminary evidence of activity against melanoma, kidney cancer and NSCLC. These complementary results further validate the PD-1 pathway as a target for immunotherapy.

Conclusions

Despite early successes with monotherapies blocking PD-1 pathways, preclinical models indicate that combinatorial therapies will deliver maximum clinical impact. Several clinical trials are already planned or in progress, combining anti-PD-1 mAbs with cancer vaccines (melanoma, prostate cancer, renal cell carcinoma, AML), anti-tumor mAbs (lymphoma), or chemotherapies (pancreatic cancer, NSCLC). These synergistic treatment strategies will provide a foundation for the next generation of clinical investigations.

Disclosure statement

Consulting or advisory role: S.L.T., Bristol-Myers Squibb (uncompensated) and Amplimmune Inc. (spouse); C.G.D., Bristol-Myers Squibb and Amplimmune Inc.; D.M.P., Bristol-Myers Squibb (uncompensated) and

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