UNITED STATES PA	ATENT AND	TRA	Pa DEMARK OFFICE.
BEFORE THE PATI	ENT TRIAL	AND	APPEAL BOARD
MASIMO CORPORATION,)	
Petitioner,)	
V.)	Case IPR 2023-0074
)	U.S. Patent 10,076
APPLE INC.,)	
Patent Owner	c.)	
)	
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1	REMOTE APPEARANCES:	
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                         PROCEEDINGS
 3
 4
     Whereupon,
 5
                    THOMAS KENNY, PH.D.,
     a witness called for examination, having been
 6
 7
     first duly sworn, was examined and testified as
 8
     follows:
 9
10
                        EXAMINATION
11
     BY MR. EVERTON:
12
                  Good morning.
            Ο.
13
            Α.
               Good morning.
14
            Q.
                  How are you doing?
15
            Α.
                  Oh, pretty good. Thank you.
16
     are you?
17
            Q.
                  Great.
18
                  So, it sounds like you are in the
19
     Minnesota area, Twin Cities?
20
                  That's correct. Right here in
21
     Minneapolis watching the snow fall.
22
            Q.
                  Good. And, do you understand that
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- 1 this deposition that we are taking today is for
- 2 the IPR relating to U.S. patent
- 3 Number 10,076,257?
- 4 A. I do.
- 5 Q. And this deposition is for IPR
- 6 Number 2023-00745.
- 7 Is that correct?
- 8 A. I believe so. Yes.
- 9 Q. And that patent in this IPR, do you
- 10 refer to that patent as the '257 patent?
- 11 A. That is -- I will try to do that
- 12 consistently. Yes.
- 13 Q. Okay. So, it is okay throughout
- 14 this deposition if we refer to that as the '257
- 15 patent?
- 16 A. Sure.
- 17 Q. Have you ever been deposed before?
- 18 A. Yes.
- 19 Q. Approximately how many times have
- 20 you been deposed?
- 21 A. I would guess somewhere between 10
- 22 and 20. Somewhere in the middle, probably.

- 1 Q. And have some of those depositions
- been in IPR proceedings?
- 3 A. Yes.
- 4 Q. Is there any reason why you can't
- 5 give truthful and accurate testimony today?
- 6 A. No, nothing that I can think of.
- 7 Q. Are you on any medication?
- 8 A. Blood pressure. That is all.
- 9 Q. And that won't affect your testimony
- 10 today?
- 11 A. It will not.
- 12 Q. And you are in good health?
- 13 A. Good enough, I would say. A shade
- 14 better.
- 15 Q. Good. So, during the deposition,
- let me know if you don't understand any of my
- 17 questions. Otherwise I will assume that you
- 18 understand them.
- Does that sound okay?
- 20 A. Yes.
- 21 Q. And, as usual, let me know if you
- 22 need to take a break.

- 1 Otherwise, we will plan to take a
- 2 break about every hour, if that works for you.
- 3 A. Sounds good.
- 4 Q. How did you prepare for the
- 5 deposition today?
- 6 A. There were several steps for the
- 7 preparation.
- Are you interested in the recent or
- 9 the full story?
- 10 Q. The -- let's do the full story. How
- 11 you prepared for this deposition.
- 12 A. Sure, I believe I was contacted by
- 13 the attorneys on the Apple side, some time around
- 14 last summer with respect to this case. I may be
- 15 mistaken.
- But, I think that is right. We -- I
- 17 spent time looking at the patent, started
- 18 thinking about the claims. There was a lull for
- 19 a long stretch as I recall, and then things
- 20 became more busy in the late fall and into the
- 21 winter.
- 22 And then the most recent preparation

- 1 has been reviewing the submitted reports from the
- 2 Masimo side. Drafting a report. Reading
- 3 deposition transcripts for Mr. Oslan.
- 4 And then in the last week or so
- 5 preparing for today by reviewing all of that
- 6 material again.
- 7 Q. Did you meet with anyone in order to
- 8 prepare for this deposition?
- 9 A. In -- there have been some Zoom
- 10 conversations and in-person meetings.
- 11 Q. With whom did you meet to prepare
- 12 for this deposition?
- 13 A. So, with Rick Bisenius, who is also
- 14 on camera today. And with Grace Kim, who is
- 15 sitting here with me.
- Q. Anyone else?
- 17 A. I don't think so.
- 18 Q. And for how long did you meet with
- 19 counsel to prepare for the deposition?
- 20 A. Yesterday we spent five or six hours
- 21 together. There were Zoom calls over the last
- 22 couple of weeks that probably added up to a few

- 1 hours.
- Q. Did you speak with anyone else other
- 3 than those people about this deposition?
- 4 A. Well, my wife knows I am here. I
- 5 don't know if that is what you are going for. I
- 6 am seeing my parents later today. They know I am
- 7 here.
- 8 Q. Okay. Anyone else?
- 9 A. My brother.
- 10 Q. Did you speak with anyone from Apple
- 11 about this deposition?
- 12 A. I have not.
- 13 Q. Did you review any documents to
- 14 prepare for this deposition?
- 15 A. So, there is a list of materials
- 16 considered. I have reviewed all of those.
- 17 Q. Did you review any other documents
- 18 other than what is in your list of documents
- 19 considered?
- 20 A. To prepare for today, not that I can
- 21 think of.
- 22 Q. Did you review your declaration that

- 1 you submitted in this IPR?
- 2 A. Yes. I am sorry, maybe that is not
- 3 included in the list of materials considered
- 4 because it is the document that carries that
- 5 list.
- But, yes, I reviewed my declaration.
- 7 Q. Thank you.
- 8 And are you aware that Apple has
- 9 sued Masimo alleging infringement of the '257
- 10 patent in the District of Delaware?
- 11 A. I have heard that. I don't know the
- 12 particulars.
- 13 Q. Have you reviewed any documents
- 14 related to that Delaware lawsuit?
- 15 A. The claim construction brief
- 16 associated with that proceeding is among the
- 17 materials considered.
- And I have only really reviewed the
- 19 portion that relates to the, one of the construed
- 20 terms in this case.
- 21 Q. Any other documents from that
- 22 Delaware case that you have reviewed?

- 1 A. No.
- 2 Q. So you have been retained by Apple
- 3 to serve as an expert witness in this IPR for the
- 4 '257 patent. Correct?
- 5 A. Correct.
- 6 Q. Have you also been retained by Apple
- 7 in the corresponding District Court litigation in
- 8 Delaware?
- 9 A. I think the answer is no.
- 10 Q. You haven't done any work on that
- 11 case for the Delaware case?
- 12 A. No.
- 13 Q. Have you been retained by Apple for
- 14 any other litigation matters?
- 15 A. Historically, quite a few, yes.
- 16 Dating back almost, I think a decade. Yes. So,
- 17 quite a few others.
- 18 Q. And can you give me an estimate of
- 19 about how many?
- 20 A. I am just thinking the best way to
- 21 answer that question.
- I think it is probably half a dozen

- 1 different instances. Some of them involved a
- 2 single patent such as today.
- 3 Others have involved a group of
- 4 patents.
- Q. And in what jurisdictions have those
- 6 been, in IPRs and District Court cases?
- 7 A. I think -- I'm not sure about some
- 8 of the earlier work. But, I believe those, I
- 9 believe a couple of earlier cases were District
- 10 Court cases.
- There was some cases between Apple
- 12 and Qualcomm that I think were District Court
- 13 cases.
- More recently it has all been IPR
- 15 cases.
- 16 Q. Any other jurisdictions like the ITC
- 17 or other jurisdictions?
- 18 A. I don't think I have been involved
- in any ITC cases with Apple. I had some others
- 20 over the last four or five years. I just wanted
- 21 to think through that for a moment.
- 22 And, as far as jurisdictions, I

- 1 think the District Court cases have been in
- 2 various places, San Jose, for example, but I
- 3 don't know the full list.
- 4 Q. Regarding your background, in your
- 5 experience have you ever worked with cardiac
- 6 sensors?
- 7 A. Worked with, meaning, you know, for
- 8 example --
- 9 Q. Have you ever designed a device that
- 10 has cardiac sensors?
- 11 A. That is a clearer question. Thank
- 12 you.
- I have not.
- 14 Q. Has any of your work been related to
- 15 cardiac sensors?
- 16 A. So, my work includes my teaching. I
- 17 teach a course on sensors at Stanford University.
- 18 I have taught for 30 years.
- 19 Definitely cardiac sensors are a
- 20 part of the discussion in that class.
- 21 Particularly there have been a number of efforts
- 22 over the years to include inertial sensors into

- 1 pacemakers as a way of determining the user's
- 2 activity level and make adjustments based on
- 3 that.
- 4 So, I know people who have worked
- 5 closely on that. I have worked for a while with
- 6 some engineers from Medtronic on developing ultra
- 7 low power accelerometers that could be used in
- 8 cardiac sensing. That was 20 years ago, I think.
- 9 So, those are some examples.
- 10 Q. In those cardiac sensors, did those
- 11 include leads and pads?
- 12 A. The pacemakers, those accelerometers
- 13 would be incorporated -- would certainly have
- 14 included leads, yes.
- 15 Q. And, in those cardiac sensors, did
- 16 any of those include leaf springs?
- 17 A. I don't know for sure.
- 18 Q. Do you recall the arrangement of the
- 19 leads in those cardiac sensors that you had
- 20 worked on?
- 21 A. The pacemaker leads?
- 22 Q. Yes.

- 1 A. I know they were positioned relative
- 2 to the heart, but I don't know the details.
- 3 Q. Do you have any experience with
- 4 wrist-worn medical monitors?
- 5 A. Again, this would include my
- 6 teaching where we describe and discuss different
- 7 features and opportunities for designing
- 8 wrist-worn monitors.
- 9 This has become a very active
- 10 discussion in my time at Stanford.
- 11 First publications of, for example,
- 12 wrist-worn pulse oximetries date back to the late
- 13 1980's.
- 14 And I have been discussing and
- describing the early versions and the more modern
- 16 versions of those technologies in my class with
- 17 students.
- 18 I have worked with Ph.D. students
- 19 that have developed wearable instruments for
- 20 various kinds of monitoring.
- So, I think generally, the answer is
- 22 yes.

- 1 Q. And do any of those wrist-worn
- 2 medical monitors, do they have cardiac sensors
- 3 that you are aware of?
- 4 A. So, I would say that a pulse
- 5 oximeter is an instrument that can detect cardiac
- 6 pulse rate. So, that could be included.
- 7 Q. Did any of those wrist-worn monitors
- 8 include leads with a pad that detected cardiac
- 9 signals?
- 10 A. I am sorry for the pause here. But,
- 11 just to explain.
- In my sensors class, the last main
- 13 exercise each quarter is for the students to come
- 14 up with an application on their own that they
- 15 think sensing could be an important part of.
- And then they do some patent
- 17 searching. They look at some products, and they
- 18 write a report on where they think the
- 19 opportunity might emerge.
- 20 And over 30 years, roughly 50
- 21 students and offerings, so I'm thinking among
- those 1,500 term papers that I have read, have

- 1 any included leads for pace -- for heart EKG and
- 2 pace sensing, I can't say no for sure.
- 3 But I don't remember any
- 4 specifically at this time.
- 5 Q. Have you personally designed any
- 6 wrist-worn medical monitor?
- 7 A. I think no is the answer there.
- 8 Yes, as far as I can recall.
- 9 Q. And have you personally in your
- 10 design work, worked with any skin contact cardiac
- 11 leads?
- 12 A. In my design work? I think that
- is -- the answer is no.
- 14 O. I would like to pull up your
- 15 declaration. So, this is Exhibit 2003.
- 16 (Whereupon, previously marked
- 17 Exhibit 2003,
- 18 first referral.)
- 19 THE WITNESS: Okay if I pick up my
- 20 hard copy of that here from the stack of
- 21 papers in front of me?
- 22 BY MR. EVERTON:

- 1 Q. Sure. Does that hard copy have any
- 2 annotations or notes or anything in it?
- 3 A. Nope. It is fresh off the press.
- 4 Q. Okay.
- 5 Is this Exhibit 2003, is that a copy
- 6 of your declaration that you submitted in this
- 7 IPR proceeding for the '257 patent?
- 8 A. Yes.
- 9 Q. Does this declaration, Exhibit 2003,
- 10 set forth all of your opinions regarding the
- 11 patentability of the '257 patent claims?
- 12 A. So, I think it reflects my opinions.
- 13 I am capable of having more opinions than were
- 14 stated at the time of January 21st, 2024.
- But, I think this is a fair
- 16 reflection.
- There is no changes to my opinions
- 18 relative to what is in this report which I think
- 19 is what you are getting at.
- Q. Right. And, are there any opinions
- 21 that you are aware of that you have that are not
- 22 represented in this report, Exhibit 2003?

- 1 A. I imagine that you might ask me some
- 2 questions today that I didn't explicitly write
- down the answers to in this report, but for which
- 4 I might be willing to provide answers, perhaps
- 5 hypotheticals and the like.
- 6 So, I think depending on the
- 7 questions, I might say things that aren't written
- 8 exactly here, that, that is certainly a true
- 9 statement.
- 10 Q. But there aren't any opinions that
- 11 you have intentionally left out about your
- declaration regarding the '257 patent, correct?
- 13 A. That's correct.
- 14 O. As you sit here today, are you aware
- of any corrections that need to be made to this
- 16 declaration, Exhibit 2003?
- 17 A. Since you mention it, yes.
- On Page 34, the first sentence that
- 19 describes the Markel reference is, I think
- 20 accidentally the transcription of the first
- 21 sentence describing the Mills reference,
- 22 referenced two pages earlier.

- 1 So, I would offer that we should
- 2 just strike that first sentence on Page 34
- 3 referring to Markel as a compact lightweight
- 4 wrist-worn cardiac data and event monitor.
- 5 That sentence is the sentence that
- 6 was written to describe Mills, and it just got
- 7 transcribed here by mistake.
- 8 Q. So, that first sentence in
- 9 Paragraph 57 is actually a quote from the Mills
- 10 reference. And that was a mistake, correct?
- 11 A. Yes. It is -- it includes that
- 12 quote from the Mills reference. So just a
- 13 mistake.
- Not -- it doesn't impact anything
- 15 except for that one sentence.
- 16 Q. Are there any other corrections that
- 17 need to be made to your declaration for the '257
- 18 patent?
- 19 A. Not -- there might be a typo or two
- 20 in the headings of certain sections, just the way
- 21 they are numbered with letters and numbers.
- 22 I don't know if I could find that

- 1 quickly, and I don't think it matters, honestly.
- But, otherwise, nothing important.
- 3 Q. Were you asked to analyze any issues
- 4 in this IPR for the '257 patent that do not
- 5 appear in your declaration?
- 6 A. I'm not sure what you mean by
- 7 issues.
- I think the answer is no. But, I
- 9 just want to be sure I understand the question
- 10 you are asking.
- 11 Q. Yes. Do you have any other analysis
- of the '257 patent that is not included in your
- 13 declaration?
- 14 A. I don't think so. None that was
- 15 prepared.
- 16 Q. Does your declaration contain all of
- 17 the legal principles that you considered in your
- 18 analysis in this IPR?
- 19 A. I believe so.
- 20 Q. And, for example, if you turn to
- 21 Pages 123 and 124 of your declaration, you will
- 22 see that there is a legal principles section.

- 1 Do you see that?
- 2 A. I see that.
- 3 Q. So, these are the legal principles
- 4 that you applied in your declaration and your
- 5 analysis of the '257 patent?
- 6 A. So, that section at the end includes
- 7 legal principles that I applied. I am not trying
- 8 to be evasive.
- 9 There are other places in the
- 10 declaration that I believe are describing legal
- 11 principles.
- So, for example, on Page 11,
- 13 Paragraph 18, at the bottom there is advice from
- 14 counsel as to how I ought to consider the
- 15 materials.
- I -- maybe we are into semantics.
- 17 That might be considered a legal principle.
- 18 Q. But, did you rely on any legal
- 19 principles that are not stated in your
- 20 declaration?
- 21 A. I don't believe so.
- 22 Q. Does your declaration set forth your

- 1 entire opinion regarding the level of ordinary
- 2 skill in the art for the '257 patent?
- 3 A. I believe so.
- 4 Q. Do you believe that you were a
- 5 person of ordinary skill in the art, as of
- 6 November 2008?
- 7 A. So, if I read from Paragraph 23, it
- 8 describes my opinion of what the qualifications
- 9 of a person of ordinary skill, as of the critical
- 10 date.
- 11 This person, "would have had at
- 12 least a bachelor's degree in electrical
- 13 engineering, mechanical engineering, biomedical
- 14 engineering, computer engineering, physics or a
- 15 related field."
- And I have degrees in physics.
- 17 And it goes on to say, "and would
- 18 have had at least two years of relevant work
- 19 experience with capture and processing of data or
- 20 information, including but not limited to
- 21 physiological information, or equivalents
- 22 thereof."

- 1 And I have, I think way more than
- 2 two years such experience.
- And then the last part, "Less work
- 4 experience may be compensated by a higher level
- 5 of education and vice versa."
- I have a Ph.D. in physics, which is
- 7 a higher level than what is described as the
- 8 requirements for a person of ordinary skill.
- 9 And, I would add that, you know,
- 10 over my 30 years at Stanford, as I am teaching, I
- 11 am always learning.
- 12 Interaction with some of the
- 13 smartest students in the world has added to my
- 14 education on all of the topics we have discussed,
- including all of the Ph.D. students that I have
- 16 worked with and a much larger number of students
- in all of my classes.
- So, I would say that it is not
- 19 unreasonable to state that I have had 30 years of
- 20 educational experience, interacting with these
- 21 students at Stanford.
- Q. Focusing on the date of the time

- 1 frame of November 2008.
- 2 At that time, did you meet these
- 3 requirements in your declaration for the level of
- 4 ordinary skill in the art?
- 5 A. So, I had the degrees I have
- 6 mentioned from prior to that time. 1983 and 1989
- 7 were my Bachelor's, Master's and Ph.D.
- I was appointed at Stanford in 1994.
- 9 So there would, by then, have been 14 years of
- 10 teaching experience, interaction with Ph.D.
- 11 students, collaborators, researchers.
- 12 And that included the time in which
- 13 I was in collaboration with the team at Medtronic
- 14 over instrumentation for pacemakers.
- 15 Q. So, you would consider yourself at
- 16 least a person of ordinary skill in the art as of
- November 2008.
- 18 Is that correct?
- 19 A. That's correct.
- 20 Q. And when designing a device for
- 21 detecting cardiac signals in that time frame of
- November 2008, where would a person of ordinary

- 1 skill start to begin that design work for
- 2 designing a device for detecting cardiac signals?
- 3 A. If they were starting from scratch
- 4 or if they were part of an organization such as
- 5 Medtronic?
- 6 Q. Let's start with starting from
- 7 scratch.
- 8 A. So, all by themselves out in the
- 9 wild, decided to design an instrument.
- 10 I think they would read textbooks in
- 11 the field. I think they might look at
- 12 publications and patents.
- Q. Would they look at anything else for
- 14 examples or a starting point for their design?
- 15 A. I hope they would look at existing
- 16 products, perhaps a trip to the hospital or a
- 17 clinic. Some research into the product lines
- 18 offered by companies in the same domain.
- 19 Q. Do you think a person of ordinary
- 20 skill in the art, in November 2008, would look at
- 21 the best available devices for detecting cardiac
- 22 signals that were on the market?

- 1 A. I think they would look at available
- 2 devices, hopefully including the best. Best
- 3 available is a matter of, perhaps, opinion within
- 4 that space.
- 5 Best might mean least expensive,
- 6 most readily available, most accurate, most
- 7 reliable in the long-term.
- 8 You know, I think they would look at
- 9 hopefully a collection of devices that would be
- 10 perceived to be good at doing the job.
- 11 Q. Were there devices for detecting
- 12 cardiac signals on the market, prior to November
- 13 2008?
- 14 A. I think I recall that there were
- 15 some maybe on the market. Meaning for hospital
- 16 use or for civilian use?
- 17 Q. For any use.
- 18 A. Both?
- 19 Q. Both.
- 20 A. I think for sure there were
- 21 instruments and products available for detecting
- 22 cardiac signals in hospitals prior to that.

- 1 Q. What were those products and devices
- 2 for detecting cardiac signals at that time?
- 3 A. I remember my own trips to the
- 4 hospital for checkups where leads were applied to
- 5 various parts of my chest and the EKG signal was
- 6 captured.
- 7 The first versions of that go quite
- 8 a ways back.
- 9 Q. Did those devices have leads with
- 10 pads?
- 11 A. I don't know whether that particular
- 12 term was used to describe what those leads looked
- 13 like.
- 14 But, I remember things that were
- 15 roughly an inch in diameter, flexible electrodes
- 16 that were -- had some adhesive that were applied
- 17 to my chest.
- One might call those pads, I
- 19 suppose. I don't know if that was their official
- 20 term of description.
- 21 Q. In those devices that you were aware
- of, prior to November of 2008, can you describe

- what the lead looked like?
- 2 A. Are you -- so, in this case the lead
- 3 is which piece of that structure?
- Q. That is what I am asking you, is,
- 5 what is the lead? You mentioned that they had
- 6 leads. What were those leads?
- 7 A. So, there were wires from those
- 8 adhesive patches that ran to an instrument, each
- 9 one of them. I think there might have even been
- 10 things like alligator clips and such.
- 11 O. Did the leads include the wire and
- 12 the pad portion that is at the end of the wire
- 13 that was attached to the patient?
- 14 A. Sorry. I don't know how those
- 15 elements would have been described at that time.
- If I opened up a kit of those parts
- 17 would they each be in packages with labels like
- 18 leads and pads and so on? I don't know.
- 19 Q. From your view, would you describe
- 20 the lead as including the wire and the pad?
- 21 A. In that context, frankly in that
- 22 context, I haven't really thought about how I

- 1 would describe those elements.
- 2 I'm not sure of the relevance to the
- 3 materials in my report or in the, in the other
- 4 documents that I have considered.
- 5 So, I'm just -- honest -- I am being
- 6 fully honest. It never occurred to me to apply
- 7 those specific terms to the items that were
- 8 placed on my chest when I had my EKG done prior
- 9 to 2008.
- 10 Q. Currently you don't have an
- 11 understanding of whether the lead in that type of
- 12 a device would include the wire and the pad at
- 13 the end of the wire?
- 14 A. So, I understand the instrument or
- 15 the device includes the pad and the wire, perhaps
- 16 some connectors.
- 17 Honestly, I don't know whether a
- 18 doctor or a nurse practitioner would use those
- 19 terms to describe those elements in a particular
- 20 way.
- 21 Q. But I am asking how you would
- 22 describe it, not a practitioner or a nurse.

- 1 Just whether you would describe it
- 2 that way?
- A. As a patient lying on the table?
- 4 Q. As you, yourself, right now.
- 5 A. So, maybe repeat the question
- 6 underneath all of this again.
- 7 Q. Sure. How would you describe the
- 8 lead that you are describing in these devices,
- 9 prior to November 2008.
- 10 Would you describe it as including
- 11 the wire part and the pad that is at the end of
- 12 the wire that attaches to the patient?
- 13 A. You know, honestly I hadn't thought
- 14 about it. I don't remember that I ever spent
- 15 time describing the experience to others.
- 16 And honestly part of why I am
- 17 hesitating is that pads and leads are terms that
- 18 are in the claims of the '257 patent.
- 19 So, I'm a little reluctant to say
- 20 things in general that might be interpreted as
- 21 statements about the claims.
- So, I guess my answer is, frankly,

```
Page 33
     in terms of my own experience as a patient having
 1
     an EKG, I have never frankly thought through what
     I might call the individual pieces of that
 4
     assembly.
 5
                  I'm happy to talk about the terms in
     the '257 patent.
 6
 7
                  So, in the context of the '257
     patent, can a lead include a pad?
 8
 9
                  Mind if I take a look at that
            Α.
10
     patent, just to be sure that I have the right
11
     context?
12
            Ο.
                  Sure.
13
            Α.
                  Okay. Thank you.
14
            Q.
                  For the record, the '257 patent has
15
     been previously marked as Exhibit 1001.
16
                   (Whereupon, previously marked
17
                       Exhibit 1001,
18
                       first referral.)
19
                  THE WITNESS: Thanks.
                                          Sorry.
20
                  And so the first place I'm looking
21
         here, just so you know what I'm doing, I am
22
         looking at Claim 1, which describes a heart
```

	Page 34
1	sensor configured to detect the user's
2	cardiac signal. The heart sensor comprising
3	a first lead, comprising a pad and
4	First lead further comprises a first
5	connector coupled to the pad and configured
6	to provide the first electric signal detected
7	by the pad to the processor.
8	So, in this context, if I think of
9	the structures used for my EKG, I would agree
10	that one might describe the lead as the
11	entirety of what I saw.
12	So that included the pad attached to
13	my chest and the wire that ran from the pad
14	including whatever connectors were included
15	with that, all of the way back to the
16	instrument that captured that signal.
17	BY MR. EVERTON:
18	Q. Okay. So, the lead would include
19	all of the different parts from the pad that is
20	attached to the patient, all of the way back to
21	the processor of the device. Is that correct?
22	A. In yes. In the '257 patent, the

- 1 lead includes the pad. It includes the first
- 2 lead further comprising a first connector coupled
- 3 to the pad and configured to provide the electric
- 4 signal to the processor.
- 5 I think, in all honestly, in my
- 6 hospital experience, I had a patch, I had a cable
- 7 that I think makes up the lead. The cable is
- 8 connected to, I believe, a box of some sort. I
- 9 don't remember the details of that.
- There is probably a number of other
- 11 things along the path before it gets to a
- 12 processor. And I want to think about whether I
- 13 would incorporate all of the stuff inside the box
- 14 as part of the lead or not as we are parsing this
- 15 out.
- But, if I think of the path from the
- 17 pad to the processor, there, the lead -- so, I
- 18 understand the claim of the patent encompasses
- 19 everything from the pad to the processor.
- 20 Q. And by claim you mean Claim 1 of the
- 21 '257 patent. Correct?
- 22 A. That's correct.

- 1 Q. Other than this device that you have
- 2 described pre-November 2008 that was used in
- 3 hospitals with a lead and a pad, are you aware of
- 4 any other devices for detecting cardiac signals
- 5 that were used or available prior to
- 6 November 2008?
- 7 A. So, I think earlier I mentioned that
- 8 there was -- there were some products on the
- 9 market for monitoring athletic performance for
- 10 people doing various kinds of training.
- I believe Nike, perhaps some others,
- 12 had some wearable instrumentation for measuring
- 13 those signals as part of the kit that an elite
- 14 athlete might use when training for some elite
- 15 sporting event.
- I believe some of those products
- were on the market in the early 2000's. I
- 18 don't -- off the top of my head, I don't remember
- 19 the names or the specifics.
- But, I vaguely recall that there
- 21 were such things available that had them.
- 22 Q. How did those devices detect cardiac

- 1 signals?
- 2 A. I believe they had -- I am vaguely
- 3 remembering a product, perhaps by Nike, as
- 4 something that you would wear around your chest,
- 5 an elastic strap that you could tighten.
- 6 There was a sensor that could be
- 7 placed somewhere on the front that had a pair of
- 8 electrodes somewhere on that surface contacting
- 9 the anatomy of the wearer.
- 10 And that was detecting electrical
- 11 signals associated with heart activity.
- 12 Q. Do you know whether those devices
- 13 had pads in the leads?
- 14 A. The electrodes -- I believe these
- 15 were exposed metal electrodes. And then the
- 16 course of putting on the product you might apply
- 17 some gel as well to improve the contact with the
- 18 skin.
- I suppose those electrodes could be
- 20 called a patent, like the patch that I would wear
- 21 getting my EKG taken in the hospital.
- 22 Q. In those Nike or athletic products

- 1 that you are describing, did the leads contact
- 2 the skin of the wearer?
- 3 A. I believe that was the intent.
- 4 Q. Why would the leads contact the skin
- 5 of the wearer?
- 6 A. My understanding is that that was to
- 7 provide a good quality signal from the, from the
- 8 patient, the wearer, into the instrument.
- 9 Q. Would a person of ordinary skill in
- 10 the art, in November 2008, be aware of these Nike
- or athletic products that you are describing that
- 12 had leads in a strap?
- 13 A. You know, I would say probably.
- 14 But, maybe just backing up, they would certainly
- be aware of the more conventional hospital type
- 16 instrumentation that I was also describing,
- 17 either I think from their own experience or the
- 18 experience of family members. That seemed to be
- 19 a routine procedure.
- 20 Q. Other than this athletic strap with
- 21 leads that contacted the skin, are you aware of
- 22 any other devices for detecting cardiac signals

- 1 that were available prior to November 2008?
- 2 A. I think there might have been
- 3 similar instruments for people to do home health
- 4 monitoring by 2008.
- 5 So, might be something a bit more
- 6 complicated than the Nike example, because the
- 7 intended market probably was for bedside
- 8 monitoring. And you don't need something that an
- 9 athlete would need to attach to their body while
- 10 they are exercising.
- But, I believe there were things
- 12 that people could buy and take home or might be
- offered to them by their doctors that would
- 14 comprise a bedside cardiac monitor of the kinds
- 15 that we have been talking about.
- 16 O. Would those take-home bedside
- 17 monitors be similar to what you described as
- 18 being used in the hospitals with the wire and pad
- 19 that is attached to the patient?
- 20 A. It would include arrangements like
- 21 that. But, there might be other arrangements
- 22 where, by 2008, there might be some portion of

- 1 that that would be wireless, just because
- 2 wireless technology was becoming well established
- 3 by 2008.
- 4 Q. What did those wireless designs look
- 5 like that were available prior to 2008?
- 6 A. So, I don't have direct hands-on
- 7 experience with those devices.
- 8 I'm more speaking in the context of
- 9 examples that were discussed in conversations
- 10 with students in my class.
- 11 Q. So, you don't know what the design
- 12 was or what they looked like?
- 13 A. Not at a fully detailed level. I,
- 14 you know, the conversations with my students
- 15 would have included discussion of what the
- 16 possibility for use of Bluetooth or other
- 17 communication methods might be for delivering
- 18 signals from a wearable instrument to some kind
- 19 of a bedside monitor, just as a way of reducing
- 20 the size and awkwardness of the full instrument,
- 21 so that what the patient is wearing is more
- 22 convenient.

- 1 So they can get up in the middle of
- 2 the night, go to the bathroom and not have to
- 3 peel off a bunch of electrodes and then place
- 4 them back on afterwards.
- 5 Things like that I think were
- 6 regarded as convenient and useful in the market
- 7 were becoming present in products.
- 8 Q. So, prior to November 2008, there
- 9 were wearable devices for detecting cardiac
- 10 signals that were used and on the market.
- 11 Correct?
- 12 A. I think that is true. Again, I
- don't have a particular example presented in my
- 14 report.
- But, from what I remember of
- 16 technology at that time, 2008 and before, maybe
- 17 2000 through 2008, there were starting to be a
- 18 lot of products on the market that included some
- 19 technology for a patient health monitoring,
- 20 combined with some features that made them more
- 21 wearable and therefore easier to use in different
- 22 environments.

- 1 And where different kinds of
- 2 accommodations of wires and wireless
- 3 communication were relied upon.
- 4 Q. Let's turn to your declaration that
- 5 is Exhibit 2003. If we turn to Paragraph 25, on
- 6 Page 14.
- 7 A. Okay.
- 8 Q. Does Paragraph 25 set forth the
- 9 legal standards that you used in analyzing claim
- 10 construction for this IPR?
- 11 A. I believe so.
- 12 Q. In forming your opinions on claim
- 13 construction for the '257 patent claims, you
- 14 applied the ordinary and customary meaning to a
- 15 POSITA, person of skill in the ordinary art, at
- 16 the time of the invention. Is that correct?
- A. So, if we turn to Paragraph 31,
- 18 which describes my opinion on this.
- "In my opinion the term 'embedded
- 20 in' should be given its plain and ordinary
- 21 meaning which does not require an explicit
- 22 construction.

- 1 "A POSITA would have known what it
- 2 means for a first material, structure or
- 3 component to be 'embedded' in a second material,
- 4 structure or component based on both the plain
- 5 and ordinary meaning of this term and the way in
- 6 which this term is used in the '257 patent
- 7 specifications, which conforms with the plain and
- 8 ordinary meaning."
- 9 And, "However, should it be
- 10 necessary to define the parameters of the plain
- and ordinary meaning for this term, an express
- 12 construction for the term 'embedded in' that a
- 13 POSITA would have recognized as consistent with
- 14 the plain and ordinary meaning of this term is
- 15 'at least partially set in or partially
- 16 surrounded by a material'."
- I believe this construction is
- 18 consistent with the plain and ordinary meaning
- 19 supported by the use of the term in the
- 20 specification of the '257, and that it is
- 21 consistent with how a person of ordinary skill
- 22 would understand that term.

- 1 Q. Regarding all of the other claim
- 2 terms in the '257 patent, you just applied the
- 3 plain and ordinary meaning without any specific
- 4 construction. Is that correct?
- 5 A. I believe so. Yes.
- 6 O. And even with the "embedded in"
- 7 term, you are applying the plain and ordinary
- 8 meaning, correct?
- 9 A. Yes. Which the description of "at
- 10 least partially set in or partially surrounded by
- 11 a material" is consistent with the plain and
- 12 ordinary meaning.
- 13 Q. So, in your opinion, you don't think
- 14 that any of the claim terms of the '257 patent
- 15 require a construction that is different from the
- 16 plain and ordinary meaning?
- 17 A. Coupled with my opinion that a
- 18 person of ordinary skill in the art would
- 19 recognize that the plain and ordinary meaning is
- 20 consistent with at least partially set in or
- 21 partially surrounded by a material.
- 22 Q. You just read from Paragraph 31 of

- 1 your declaration.
- 2 That first sentence that says, "The
- 3 term 'embedded in' should be given its plain and
- 4 ordinary meaning which does not require an
- 5 explicit construction."
- 6 What did you mean by that?
- 7 A. I think the sentence speaks for
- 8 itself.
- 9 And that a POSITA, a person of
- 10 ordinary skill in the art, would have recognized
- 11 that the plain and ordinary meaning of this term
- is consistent with at least partially set in or
- 13 partially surrounded by a material.
- 14 O. Going back to the first sentence,
- 15 just the part where you say, "which does not
- 16 require an explicit construction."
- 17 Why did you say that about embedded
- in, that it doesn't require an explicit
- 19 construction?
- 20 A. I think a POSITA would understand
- 21 that the plain and ordinary meaning is at least
- 22 partially set in or partially surrounded by a

- 1 material.
- 2 Q. And that person of ordinary skill
- 3 wouldn't need a construction of that phrase in
- 4 order to know what it means; is that correct?
- 5 A. I think they would understand that
- 6 the term "embedded in" has plain and ordinary
- 7 meaning that is consistent with the phrase "at
- 8 least partially set in or partially surrounded by
- 9 a material".
- 10 Q. Further down in Paragraph 31 where
- 11 you read, you provide an express construction of
- 12 the term "embedded in".
- 13 Is that correct?
- 14 A. That's correct.
- 15 O. That construction is "at least
- 16 partially set in or partially surrounded by
- 17 material"?
- 18 A. By a material, yes.
- 19 Q. Why did you construe the phrase
- "embedded in" rather than the term "embedded"?
- 21 A. I think it is important to state
- 22 what I believe a person of ordinary skill would

- 1 understand when asked to explain what embedded in
- 2 means in the context of a patent.
- 3 I understand that Mr. Oslan and that
- 4 Masimo had proposed alternate constructions.
- 5 And I want to be clear about where I
- 6 think a person of ordinary skill would land when
- 7 asked to understand this term in the context of
- 8 these alternate constructions.
- 9 Q. Is there a difference between -- a
- 10 difference in meaning between the terms "embedded
- in" and "embedded"?
- 12 A. I believe so, yes.
- 13 O. What is that difference?
- 14 A. The term "embedded" is broader than
- 15 "embedded in".
- 16 O. How so?
- 17 A. So, Paragraph 32 provides some part
- 18 of my understanding of this distinction,
- 19 referring to the '257 patent. And I am just
- 20 reading right now from my report.
- "The '257 patent uses the term
- 'embedded' throughout, including in the title.

- 1 The various uses of the term 'embedded' also
- 2 indicate that the claim term 'embedded in' has a
- 3 narrower meaning than the term 'embedded' on its
- 4 own, as used in the specification.
- 5 "This is demonstrated by several
- 6 examples from the '257 patent specification in
- 7 which the term 'embedded in' is used in contrast
- 8 to other terms such as 'embedded adjacent to' or
- 9 'embedded along'."
- 10 Q. So, you mentioned that the '257
- 11 patent uses the term "embedded", but that you
- 12 have construed embedded in.
- 13 So, what does the term "embedded"
- mean in the context of the '257 patent?
- 15 A. So, maybe just thinking of this
- 16 linguistically.
- 17 Embedded is a verb, on its own.
- 18 Embedded in adds an adverb that gives me a
- 19 relationship between the term "embedded" and
- 20 other elements of the surrounding sentence.
- So, for example, embedded in is
- 22 different than embedded adjacent to or embedded

- 1 along.
- 2 All three of those phrases use the
- 3 word "embedded". But, embedded in is narrower
- 4 than just embedded, on its own, in that the term
- 5 "embedded" on its own can be attached to an
- 6 assortment of different adverbs that broaden the
- 7 use of that single word "embedded" relative to
- 8 the more specific embedded in, which includes the
- 9 word "embedded" and the adverb "in".
- 10 Q. Removing the adverb "in" in the
- 11 other additions that you have mentioned and just
- 12 focusing on the term "embedded", what does
- 13 embedded mean in this context of the '257 patent?
- 14 A. So, the term "embedded in" means at
- 15 least partially set in or partially surrounded by
- 16 a material.
- 17 Embedded, on its own, could include
- 18 terms such as "embedded adjacent to" or "embedded
- 19 along" which imply a less particular
- 20 relationship, typically would not require that
- 21 the object is partially set in or partially
- 22 surrounded.

- 1 Q. And how is -- so, is what you are
- 2 saying that the word "in" after "embedded" limits
- 3 the meaning of embedded to be surrounding or set
- 4 in; is that how you came to your construction?
- 5 A. Yes. So, I think embedded in
- 6 implies a relationship with the next object in
- 7 the sentence, embedded in, blank.
- 8 And then I understand that to mean
- 9 that the item we are discussing is at least
- 10 partially set in or surrounded by a material,
- 11 which represents the object that would be the
- 12 next word in the sentence that included the
- 13 phrase "embedded in".
- 14 Q. Looking at your construction, if we
- 15 go back to Paragraph 31. About two-thirds of the
- 16 way down, the quote, "at least partially set in
- or partially surrounded by a material."
- 18 That is your construction of
- 19 embedded in. Correct?
- 20 A. Yes. That is -- I understand a
- 21 person of ordinary skill would recognize that the
- 22 plain and ordinary meaning of the term "embedded

- 1 in" is at least partially set in or partially
- 2 surrounded by a material.
- 3 Q. In that construction, you say, "at
- 4 least partially set in".
- 5 What does that mean? That it is --
- 6 that something is at least partially set in?
- 7 A. I think it means what it says. I
- 8 think it is clear. At least it is at least
- 9 partially set in a material or it is partially
- 10 surrounded by a material.
- 11 Q. So, at least partially set in.
- So, set in, could that mean placed
- 13 on?
- 14 A. I think there is a distinction
- 15 between on and in, in this particular
- 16 construction.
- I think in means, you know, within,
- 18 partially surrounded by or set in.
- And maybe just to relate this to an
- 20 example that was discussed in my report and in
- 21 Mr. Oslan's report, if I have a book on the
- 22 table, these are two flat objects, and at least

- 1 on the surfaces that they are contacting each
- 2 other. Flat parallel surfaces, both objects
- 3 being exactly that.
- 4 I would say, and I understand
- 5 Mr. Oslan would agree from his deposition, that a
- 6 book on the table is a description of two objects
- 7 which neither is embedded in the other.
- 8 So, in that regard, the distinction
- 9 between the book on the table versus the book
- 10 partially set in the table or partially
- 11 surrounded by the table, would make it clear to
- 12 me which -- how to understand whether the book on
- 13 the table is embedded in the table or not.
- 14 Q. So, for, to be at least partially
- 15 set in, does the pad need to be inside the other
- 16 material?
- 17 A. So, maybe just referring to this
- 18 particular clearer example of a flat object in
- 19 contact with a second flat object.
- I think for one object to be
- 21 partially set in the other, there must be some
- 22 disruption of that boundary, perhaps a

- 1 penetration of one into the other.
- 2 But at least excluding the example
- 3 of two flat objects in contact with each other, I
- 4 would say partially set in means that some part
- 5 of the object that is embedded must go into the
- 6 dimensions or the shape of the object to which it
- 7 is being embedded in.
- 8 Q. So, in your example of the book on
- 9 the desk, what would you have to do to make the
- 10 book embedded in the desk surface?
- 11 A. So, if the book had some
- 12 protrusions, you know, tabs sticking out, for
- 13 example, that went into slots in the table, you
- 14 know, tab and slot kind of relationship, I would
- 15 say the book, including the tab, is embedded in
- 16 the table, including the slot in the table, as an
- 17 example.
- 18 Q. Are there any other ways to, that
- 19 you could make the book embedded in the surface
- 20 of the desk?
- 21 A. So, partially set in or partially
- 22 surrounded by.

- 1 So, if we think of maybe the drawer
- of the desk, the book could be in the drawer,
- 3 that would be partially surrounded by the
- 4 material of the desk.
- 5 Q. So, if you placed the book in an
- 6 empty drawer of the desk, the book would be at
- 7 least partially surrounded by the desk in your
- 8 opinion?
- 9 A. If I am including the drawer as part
- 10 of the desk, then yes, there would be at least a
- 11 partial surrounding of the book by the sides and
- 12 bottom of the drawer.
- 13 O. Would the book need to contact --
- 14 have direct contact with the desk in order to be
- 15 embedded in the desk?
- 16 A. Just hypothetically, you are
- 17 thinking of an example where there is a book, it
- is wrapped in Christmas paper, for example, and
- 19 placed in the drawer of the desk.
- That would be an example where the
- 21 book itself has contact with the desk but with
- 22 this layer of wrapping paper in between.

- In that case, I would still regard
- 2 the book as partially surrounded by the material
- 3 of the desk, even if there were other structures
- 4 intervening.
- 5 Q. So, let's say that there is a
- 6 situation where the book is somehow able to float
- 7 within the drawer of the desk.
- 8 A. So, it is levitating?
- 9 Q. Yes, the book is levitating in the
- 10 drawer of the desk.
- Is that book embedded in the desk?
- 12 A. So I think the understanding of
- 13 embedded in is at least partially set in or
- 14 partially surrounded by the material of the desk
- 15 in this case.
- 16 I think if the book is in the drawer
- 17 surrounded by paper or by space, somehow floating
- 18 within, I would still think the important
- 19 relationship is that the book is in a position
- 20 where the material of the desk partially
- 21 surrounds the book within that structure.
- So, yes.

- 1 Q. So, in the '257 patent claims, the
- 2 pad or the lead does not need to contact the
- 3 enclosure in order to be embedded in the
- 4 enclosure. Correct?
- 5 A. I think if the pad is partially set
- 6 in or partially surrounded by the material of the
- 7 enclosure, then it would be regarded as embedded
- 8 in that enclosure.
- 9 Q. Even if the pad doesn't contact the
- 10 inside of the enclosure, correct?
- 11 A. I think that is correct. That, if
- 12 the pad is at least partially set in or partially
- 13 surrounded by a material, it would meet -- a
- 14 person of ordinary skill would understand that to
- 15 be embedded in the enclosure.
- And that would be true if there were
- 17 intervening layers, yes.
- 18 Q. Going back to our example of the
- 19 desk.
- Now let's say that the desk drawer
- 21 is open so that the top of the drawer is not
- 22 covered, it is open to the environment. And you

- 1 place the book in the drawer that is open on top.
- 2 Would that book be embedded in the
- 3 desk?
- 4 A. So we are still thinking of the
- 5 drawer as part of the desk --
- Q. Yes.
- 7 A. -- not a separate thing?
- 8 It is still connected and we all
- 9 would agree that if it were embedded in the desk
- 10 and embedded in the drawer is the same thing.
- 11 Q. Right. Let me rephrase it.
- 12 Let's say, so you put the book in an
- 13 open drawer. It doesn't have a top on it. It is
- 14 not covered on the top.
- 15 Is the, is that book embedded in the
- 16 drawer?
- 17 A. Thank you. That is clearer. You
- 18 know if the drawer is conventional and that there
- is walls in the bottom and the book is below the
- 20 top of the walls, or resting in the bottom of the
- 21 drawer so that if I am, if I am the book looking
- 22 around, I see the surface that I am in contact

- 1 with.
- 2 And I see boundaries that reach up
- 3 above on some or all sides. Then I would say the
- 4 book is embedded in the drawer. And that the
- 5 important distinction is that the bottom of the
- 6 drawer, if I think of that as a separate element,
- 7 a flat piece of material, the book on the bottom
- 8 of the drawer is not embedded in the bottom of
- 9 the drawer, but it is embedded in the drawer if I
- 10 regard all of the drawer, including its bottom
- 11 and its sides, as the drawer.
- 12 I'm sorry. I think that is a
- 13 long-winded way of saying, if the book is inside
- 14 the drawer, and not somehow above it, it is
- 15 actually in the drawer, then it is embedded in
- 16 the drawer.
- 17 Q. So, to be embedded in a pad would
- 18 not need to be surrounded by the enclosure on all
- 19 sides; is that correct?
- 20 A. It needs to be partially surrounded,
- 21 not fully surrounded.
- 22 Q. And is the -- would that book inside

- 1 the drawer be embedded even if the book is able
- 2 to shift or change positions within the drawer?
- 3 A. I think so, yes. The book, as long
- 4 as it stays within the drawer, while it is in the
- 5 drawer, it is partially surrounded by the
- 6 material of the drawer.
- 7 And therefore, I would say it is
- 8 embedded in the drawer.
- 9 MR. BISENIUS: Counsel, we have been
- 10 going for about an hour and 20 minutes. Do
- 11 you think we could do a break around now?
- MR. EVERTON: Yeah, let me just
- do -- I have like two more questions on this
- 14 section.
- MR. BISENIUS: Okay, all right.
- 16 BY MR. EVERTON:
- 17 O. What is the difference between set
- in and partially set in, in your construction?
- 19 A. If we are thinking of the book
- 20 again, maybe the book has some protrusions of
- 21 some sort. I don't know why that would be, but
- 22 maybe let's consider that.

- 1 A protrusion that is part of the
- 2 book could be set in the material without the
- 3 entire book being set in the material.
- 4 I think that would be an instance of
- 5 a book with some protrusions being partially set
- 6 in the material.
- 7 And I think the earlier example of a
- 8 book with tabs and a table with slots, if you can
- 9 imagine such a thing, if the tabs were in --
- 10 somehow in the slots of the table, that that
- 11 book, including the tabs, would be partially set
- in the table, which includes those slots.
- 13 O. If any portion of the pad is set in
- 14 the other material, then it is embedded in; is
- 15 that correct?
- 16 A. Yes. I think if, when it says at
- 17 least partially set in, I take partially to mean
- 18 any portion of the book or the object would be
- 19 embedded in the material, if any portion of it,
- 20 large or small, is set in the material.
- 21 Q. Right. Any amount of being set in
- 22 can satisfy this embedded limitation. Right?

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 1
            Α.
                  There is no -- there is nothing here
     that asks for a specific percentage of or implies
     some threshold. I would say any amount.
                  MR. EVERTON: Okay. I think we can
 4
 5
         take a break. Do you want to do five
 6
         minutes, 10 minutes?
 7
                  THE WITNESS: Five works for me.
 8
                   (Recess taken -- 11:20 a.m.)
 9
                   (After recess -- 11:27 a.m.)
10
     BY MR. EVERTON:
11
                  Let's go back to Paragraph 31 of
            0.
     your declaration, Exhibit 2003.
12
13
                  We were discussing your construction
14
     for embedded in.
15
            Α.
                  Okay.
16
            Q.
                  And your construction states, "at
17
     least partially set in or partially surrounded by
18
     a material."
19
                  Is that correct?
                  That's correct.
20
            Α.
21
            Q.
                  What do you mean by partially
22
     surrounded, as opposed to surrounded, in your
```

- 1 construction?
- 2 A. I think actually the examples that
- 3 we were discussing before the break of a drawer,
- 4 an example of an object that has five sides but
- 5 not a lid.
- 6 Objects inside of a drawer can be
- 7 partially surrounded by the drawer. Or if the
- 8 drawer somehow had a sealed top like a box, that
- 9 might be considered fully surrounded.
- 10 Q. Does your construction of embedded
- in require that a certain number of sides of the
- 12 pad be surrounded by the material?
- 13 A. I don't think so, no.
- 14 Q. But if the pad is placed on a flat
- 15 surface of the material, that is not sufficient
- 16 to be surrounded -- for the pad to be surrounded,
- 17 at least partially, by that material?
- 18 A. I agree. I think the example we
- 19 discussed, and Mr. Oslan also considered in his
- 20 deposition, of a book on the table, I think he
- 21 and I agree that that book on the table is not
- 22 surrounded by the table.

- 1 It is two flat parallel surfaces in
- 2 contact with each other.
- 3 Q. It is not partially surrounded by
- 4 the table because it is flat. Is that what you
- 5 are saying?
- 6 A. I think two flat parallel surfaces,
- 7 neither of them is surrounded by the other.
- 8 So, the book on the table, the book
- 9 is not surrounded by the table and the table is
- 10 not surrounded by the book.
- I think Mr. Oslan and I would agree
- 12 on that sentence.
- 13 Q. If any portion of the pad is
- 14 surrounded, does that satisfy your construction
- 15 of embedded in?
- A. Any portion of the pad -- sorry, my
- 17 brain, I'm thinking through geometric examples.
- 18 Sir, would you be a little more
- 19 specific?
- 20 O. Sure.
- 21 A. Is there an example that we can talk
- 22 about?

- 1 Q. Let's go back to the book and the
- 2 desk example.
- 3 A. Okay.
- Q. So, let's say the book -- let's say
- 5 the drawer is a very shallow drawer and the book
- 6 is placed in the drawer but only a small portion
- 7 of the book actually extends down into the
- 8 drawer.
- 9 Would the book be embedded in the
- 10 drawer?
- 11 And specifically -- sorry. Would
- 12 the book be at least partially surrounded by the
- 13 drawer?
- 14 A. I want to rule out any extreme
- 15 examples where we might be talking about a
- 16 tabletop that has a monolayer of atoms in one
- 17 location. I mean I think we are talking about
- 18 structures that are big enough, we all agree are
- 19 important.
- 20 But that in general if the book is
- in a shallow drawer and perhaps the depth of the
- 22 drawer is less than the thickness of the book, I

- 1 would still say that the book is embedded,
- 2 partially embedded, or I would say partly
- 3 surrounded by the material of the drawer.
- 4 Q. So, any amount of surrounding by the
- 5 material of the surrounding of the pad, could
- 6 satisfy the embedded in limitation under your
- 7 construction. Correct?
- 8 A. Any amount that -- you know, again I
- 9 want to rule out a monolayer of atoms on a
- 10 surface as being somehow surrounding other
- 11 things.
- I think my understanding is that we
- 13 are talking about objects that are, that are
- 14 physical, real things.
- 15 And thickness, they have properties.
- 16 But, I -- you know, any amount I think if you are
- 17 talking about how shallow the depth of that
- 18 drawer is, I think if we all agree that the
- 19 drawer has sides to it that are higher than the
- 20 bottom of the drawer, that everyone could look at
- 21 it and see that.
- Then we could all agree that the

- 1 book on the surface of the drawer surrounded by
- 2 walls that anyone can see extended upward from
- 3 the wall, upward from the bottom of the drawer,
- 4 that that would meet this description.
- 5 That a person of ordinary skill
- 6 would see the book partly surrounded by the
- 7 material of the drawer.
- 8 Q. Your construction of embedded in
- 9 doesn't require any specific amount of being
- 10 surrounded by.
- 11 Is that correct?
- 12 A. I think as long as a person of
- 13 ordinary skill can look at the structures of this
- 14 example and see that there is some material that
- is partially surrounding the book, or the object
- in this case, then that would be regarded as
- 17 partially surrounding the object.
- 18 Q. Right. If any portion of the pad is
- 19 at least partially surrounded by the material,
- 20 then that would satisfy your claim construction
- 21 for embedded in.
- 22 A. It would say that a person of

- 1 ordinary skill recognized that a pad is embedded
- 2 in a material, if the pad is at least partially
- 3 set in or partially surrounded by the material.
- 4 Q. And to be partially surrounded by
- 5 the material, only a portion of the pad needs to
- 6 be partially surrounded. Right? Not the whole
- 7 pad.
- 8 A. I'm trying to think if -- some
- 9 examples. Again, if we could make this a bit
- 10 more physical.
- 11 Q. I am just trying to quantify how
- 12 much of surrounding is necessary.
- 13 You have included the word
- 14 "partially", at least partially surrounding --
- A. Uh-huh.
- Q. -- surrounded by.
- 17 What does that mean with regards to
- 18 the pad? And why does it, what does the
- 19 partially, at least partially mean in that
- 20 phrase?
- 21 A. And I think we discussed the idea of
- 22 a book in a shallow drawer. And I agree that

- 1 person of ordinary skill would think of that book
- 2 as partially surrounded by the drawer, even
- 3 though part of that book is perhaps, if you would
- 4 imagine a very thick book in a relatively shallow
- 5 drawer.
- 6 That, I think, is what you are
- 7 talking about. Where a portion of the book is
- 8 surrounded by the drawer, but that the entire
- 9 book isn't, you know, within and below the walls
- 10 of the drawer.
- 11 Am I understanding your question
- 12 properly?
- 13 Q. Yes. That is an example.
- So, going back to the desktop or
- 15 bottom.
- The surface of the desk, and placing
- 17 the book upon the surface of the desk.
- 18 What would you need to do to that
- 19 surface of the desk so that it at least partially
- 20 surrounds the book?
- 21 A. I think you would need to have some,
- 22 you know, I don't know what to call it on the

- 1 desk.
- But, some structures that come
- 3 upwards from the top of the desk that would
- 4 perform this role of partially surrounding the
- 5 book.
- 6 Q. So, are you saying that you would
- 7 have to surround other sides of the book, in
- 8 addition to the bottom of the book?
- 9 A. Well, we know that if it was just a
- 10 book on a flat surface, that that is not
- 11 surrounded. We all agree on that.
- 12 So, that you need to construct
- 13 something that looks like the sides of a drawer
- 14 off of the top of that desk to partially surround
- 15 a book on the top of the desk.
- So you need to make the table look a
- 17 bit more like a drawer.
- 18 Q. Is that because you need to surround
- 19 more than just one side of the book?
- 20 A. Just as a side comment. Having a
- 21 Ph.D. in physics and 30 years of education and we
- 22 are talking about -- anyway.

- 1 Could you ask the question again?
- 2 Sorry. My fault.
- 3 Q. So, you said in order to make the
- 4 book on the surface of the desk be at least
- 5 partially surrounded by the desk, you would need
- 6 to make the table look a bit more like a drawer.
- 7 And I asked, is that because you
- 8 need to surround more than just one side of the
- 9 book for it to be partially surrounded?
- 10 A. I don't think we are talking about
- 11 surrounding the side of the book. I think we are
- 12 talking about surrounding the book or partially
- 13 surrounding the book.
- 14 And one way to do that would be to
- 15 construct some things that look like the sides of
- 16 a drawer above the surface of the desk in order
- 17 to partially surround a book on the table.
- That would be one possible way to
- 19 arrive at a situation that a person of ordinary
- 20 skill would agree is a book partially surrounded
- 21 by this desk which had some kind of a lip on it.
- Q. Are there any other ways to make it

- 1 so the desk, at least partially, surrounds the
- 2 book, other than building side walls around the
- 3 book?
- 4 A. You know, these side walls don't
- 5 need to be rectangular, orthogonal structures.
- 6 You could have other shaped features
- 7 that have that same function of extending upwards
- 8 from the surface, the flat surface that the book
- 9 is on, in order to provide some partial
- 10 surrounding of the book.
- 11 Q. So, I think it would be helpful.
- 12 So, your construction says
- 13 "partially set in" or "partially surrounded by".
- 14 And we have been discussing those words.
- 15 Can you just explain maybe the
- 16 difference between partially set in or partially
- 17 surrounded by?
- 18 A. So, I think the two examples that we
- 19 have talked about are representing those two
- 20 concepts in this definition.
- So, partially set in would be the
- 22 notion of the book having a tab and the table

- 1 having a slot.
- 2 So, that portion of the book is
- 3 protruding into the table. That would be
- 4 partially set in.
- 5 And partially surrounded would be
- 6 the example of the book in a shallow drawer that
- 7 we have discussed.
- 8 Q. Wouldn't something that is at least
- 9 partially set in always be partially surrounded
- 10 by the material?
- 11 A. You know, I think -- yeah, I mean, I
- 12 think these two portions of this description are
- 13 describing these two arrangements.
- 14 One where a portion of the book, the
- 15 tab is inserted in a slot in the table. And then
- 16 the other where the book is in the drawer and it
- is partially surrounded by the drawer.
- 18 You know, I imagine if we think of
- 19 all possible pairs of structures of different
- 20 geometric shapes, we might be able to blur the
- 21 boundary between partially set in or partially
- 22 surrounded by.

- 1 And I think a person of ordinary
- 2 skill could look at examples and make that
- 3 judgment in a, you know, in a consistent way.
- 4 So, maybe rather than trying to
- 5 answer the abstract question, I would be happy to
- 6 talk about some particular geometries and whether
- 7 or not they would satisfy this understanding of
- 8 embedded in.
- 9 I mean, it is just hard for me to
- 10 think of the whole universe of geometries and
- 11 classify the entire thing all at once.
- 12 Q. In your construction, does the
- 13 phrase "at least partially set in" require that
- 14 the pad contact the material, the other material?
- 15 A. I think this example of the tab and
- 16 slot, if -- again, we are kind of going into the
- 17 minutia here.
- But, if the tab and the book,
- 19 including the tab, were wrapped in Christmas
- 20 paper in a way that the tab was still a
- 21 protrusion but inside the wrapper.
- 22 And that you could put that

- 1 protrusion into the slot in the table without
- 2 somehow tearing or displacing the wrapper, I
- 3 think one of ordinary skill would still consider
- 4 that tab, and the book associated with it, to be
- 5 partially set in the table, even if there is a
- 6 layer of material in between.
- 7 Q. Okay. So, to be at least partially
- 8 set in the material, the pad doesn't need to
- 9 directly contact the material of the enclosure.
- 10 Correct?
- 11 A. I think that is right. There can be
- 12 intervening layers. I am thinking practically
- 13 and not, I mean, the example of a levitated pad,
- 14 you know, in a -- I guess we could discuss that.
- But, I don't think that is what
- 16 anyone might have been contemplating in the
- 17 context of the '257 patent.
- I think things -- there would be
- 19 perhaps intervening layers but perhaps the
- 20 structures would be attached through those layers
- 21 in general. I wouldn't want a pad floating
- 22 around inside of a product and where its position

- 1 depends on how I have handled it.
- 2 That seems like a pretty bad idea
- 3 that one of ordinary skill would not want to
- 4 consider.
- 5 Q. Can you give me an example of
- 6 something that is at least partially set in but
- 7 is not at least partially surrounded by the
- 8 material?
- 9 A. You know again, I think these two
- 10 examples of the tab of the book into the slot of
- 11 the table is -- I think everyone would agree that
- 12 makes sense as partially set in.
- 13 And the book in the drawer is partly
- 14 surrounded.
- 15 If you are asking would someone go
- 16 so far as to say a book in a drawer is somehow
- 17 partially set in the drawer, or whether the tab
- is partly surrounded by the desk, I guess I would
- 19 say I'm not sure I want to draw a boundary
- 20 between those two parts of the construction here.
- I think they are, together, are very
- 22 clear. That one might look at a situation and

- 1 prefer to think of it as partly set in or partly
- 2 surrounded by.
- But, I think given that combination,
- 4 one of ordinary skill should be able to make a
- 5 consistent and reliable determination of whether
- 6 an object is partially set in or partially
- 7 surrounded.
- 8 But I agree with you that one can
- 9 maybe get into the weeds of what might be the
- 10 boundary between the two parts of this.
- I just don't think it matters
- 12 because we are describing them together.
- Q. But you can't give me an example of
- 14 something that is at least partially set in that
- is not at least partially surrounded by a
- 16 material. Right?
- 17 MR. BISENIUS: Objection. Asked and
- answered.
- 19 THE WITNESS: You know, so, I may be
- 20 cycling through our examples.
- So, a book on the table, flat table,
- flat book, no fancy protrusions.

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1	We all agree that that book is not
2	partially set in and it is not partly
3	surrounded by the table and therefore it is
4	not embedded in the table.
5	I think we agree that the book in a
6	drawer, whether the drawer is open or closed,
7	is partly surrounded by the drawer.
8	And I think I don't know if we
9	agree or not, but I think the tab slot
10	example is an example where a person of
11	ordinary skill would say that the tab is
12	partially set in the table.
13	And again, I, I don't think there is
14	a situation where well, I don't know. I
15	just think that given that to be embedded
16	in something means that one is either
17	partially set in or partially surrounded, to
18	me means I don't need to distinguish those
19	two, the construction.
20	This definition allows either or to
21	satisfy.
22	And I, you know I think once I am

Page 78 1 satisfied that at least the example being discussed is consistent with partially set in or partially surrounded, I'm done. I don't 4 need to parse the other part of it. 5 I have met -- I have reached a point 6 where a person of ordinary skill would 7 conclude that the object is embedded in or is not embedded in. 8 BY MR. EVERTON: 9 10 Ο. You mentioned that a person of 11 ordinary skill using your construction would be 12 able to look at a device or a pad and determine whether it is embedded in. 13 14 So, can you explain how a person of 15 skill looking at a pad and a device would 16 determine if the pad is at least partially set in the enclosure? 17 18 I think one of ordinary skill would look to decide whether they believed it was 19 20 partially set in or partially surrounded. 21 That they would look at the 22 situation and make a yes or no judgment based on

- 1 that understanding as to whether something is
- 2 embedded in.
- And, but, you know, it is hard to
- 4 tell you the process in the case of the abstract
- 5 hypothetical.
- I think if we looked at some
- 7 particular examples, I could tell you how a
- 8 person of ordinary skill would arrive -- I think
- 9 had arrived, at a determination as to whether the
- 10 object was embedded in the other object.
- 11 O. But as we have discussed with the
- 12 previous examples, under your construction of
- 13 embedded in, the pad does not need to be in
- 14 contact with the surface of the other material or
- 15 the enclosure. Right?
- 16 A. Yes. For a pad to be embedded in an
- 17 enclosure, it needs to be partially set in or
- 18 partially surrounded by the material.
- 19 That does not require direct contact
- 20 between object one and object two.
- I think your example of the book in
- 22 the drawer somehow magically levitated a little

- 1 bit off of the surface but still within the sides
- 2 of the drawer would meet this description of the
- 3 book is still partially surrounded by the
- 4 material.
- 5 I would say in contrast, there could
- 6 be many examples of things where they are in
- 7 contact and partially set in or partially
- 8 surrounded by. And those would be regarded as
- 9 embedded in.
- 10 Having contact doesn't exclude an
- 11 object from being embedded in the other object.
- 12 The book in the drawer is resting on the bottom
- of the drawer, you know, arguably in contact with
- 14 the drawer and it is also embedded in the drawer.
- 15 Q. So, let's go to Paragraph 32 of your
- 16 declaration. If you can read the third sentence
- 17 there that starts, "This is demonstrated by."
- 18 A. Okay.
- 19 Q. It says, "This is demonstrated by
- 20 several examples from the '257 patent
- 21 specification in which the term 'embedded in' is
- 22 used in contrast to other terms such as 'embedded

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- 1 adjacent to' or 'embedded along'."
- 2 Do you see that?
- 3 A. I do.
- 4 Q. Is that your opinion?
- 5 A. Yes.
- 6 Q. So, now if we go and look at
- 7 Exhibit 1001.
- 8 A. Uh-huh.
- 9 Q. This is the '257 patent, Column 9,
- 10 Lines 18 through 24.
- 11 A. 18 through 24. I am just
- 12 highlighting so I can find this again and again
- 13 if we need to.
- Q. Do you see the part that says, "Lead
- 15 422 can be embedded along the outer surface of
- 16 bezel 410 such that lead 422 is exposed to the
- 17 user during use"?
- 18 A. "Lead 422 can be embedded along the
- 19 outer surface of the bezel such that lead 422 is
- 20 exposed to the user during use."
- 21 Q. Now, let's look at the corresponding
- 22 figure.

- 1 If we look -- if we pull up also
- 2 Figure 4A from the '257 patent.
- Is the lead 422 in Figure 4A
- 4 embedded the bezel?
- 5 A. So, this section of the patent says
- 6 that Figure 4A, which we are looking at, is a
- 7 cross-sectional view of an illustrative
- 8 electronic device having a bezel with an embedded
- 9 heart sensor lead. And so on.
- 10 So, the specification is telling me
- 11 that this example, the 422, is in -- 422 is the
- 12 lead and it describes Figure 4A as an example of
- 13 having a bezel with an embedded heart sensor
- 14 lead.
- So, I believe my report and the
- 16 specification would agree this is an example of a
- 17 lead that is embedded in a bezel.
- 18 Q. And the bezel here in Figure 4A is
- 19 part of the enclosure; is that correct?
- 20 A. Could I have the question again?
- 21 Sorry.
- 22 Q. Yes. I just said, so the bezel,

- 1 that pad 422 is embedded in, is part of the
- 2 enclosure. Correct?
- 3 A. I agree that item 410, which is
- 4 called bezel, is part of the enclosure of this
- 5 embodiment.
- 6 Q. How is the lead or pad 422 embedded
- 7 in the bezel?
- 8 A. I think a person of ordinary skill
- 9 in the art would see that it is at least
- 10 partially set in or partially surrounded by the
- 11 material of the bezel.
- 12 Q. So, to be clear, is it at least
- 13 partially set in the bezel?
- 14 A. I think so, in this case, yes.
- 15 Q. And is it partially surrounded by
- 16 the material of the bezel?
- 17 A. Actually, in this case I think
- 18 probably a person of ordinary skill would say yes
- 19 as well. It is both in this case.
- Q. And you said in Paragraph 32 of your
- 21 declaration, that this lead 422 is embedded
- 22 adjacent to the bezel. Is that correct?

- 1 A. I'm just trying to find -- you are
- 2 asking me if I have said this example shows a
- 3 lead embedded adjacent to.
- I guess I'm not finding where I said
- 5 that.
- 6 Q. So, can you tell me whether or not
- 7 lead 422 is embedded adjacent to the bezel in
- 8 Figure 4A?
- 9 A. So, I just, reading from the bottom
- 10 part of Page 19 and on to Page 20, "Based on this
- 11 example in the '257 patent, a person of ordinary
- 12 skill in the art would have recognized that for
- 13 the lead to be 'embedded in' the housing requires
- 14 more than the lead in a portion of the housing to
- 15 be positioned such that the lead is merely in
- 16 contact with a flat interior surface or otherwise
- 17 simply adjacent to a portion of the housing.
- 18 "Rather, this example from this
- 19 specification of '257 would guide a person of
- 20 ordinary skill in the art to understand that for
- 21 a first object to be 'embedded in' another object
- 22 at least a portion of the object, such as the

- 1 embedded pad or lead, must be at least partially
- 2 set in or partially surrounded by a second
- 3 material or component."
- 4 And that this example shown in
- 5 Figure 4A of the lead 422 embedded in the bezel
- 6 410, I think a person of ordinary skill in the
- 7 art would agree that this is embedded in the
- 8 bezel. The lead 422 is embedded the bezel 410.
- 9 Q. And my question was whether or not
- 10 that lead 422 is embedded adjacent to the bezel.
- 11 A. I think a person of ordinary skill
- 12 would say this lead is embedded in the bezel.
- 13 Q. And is that different from embedded
- 14 adjacent to the bezel?
- 15 A. So, I think a person of ordinary
- 16 skill would have recognized that for the lead to
- 17 be embedded in the housing, requires more than
- 18 the lead and a portion of the housing to be
- 19 positioned such that the lead is in contact with
- 20 a flat interior surface or otherwise simply
- 21 adjacent to a portion of the housing.
- So, embedded in and adjacent to are

- 1 distinct.
- 2 And that a person of ordinary skill,
- 3 looking at Figure 4A, on the left of the bottom
- 4 of the page, would see the lead 422 embedded in
- 5 the bezel 410.
- 6 Q. How about embedded along? Is the
- 7 lead 422 embedded along the bezel?
- 8 A. Sorry about that. May I have the
- 9 question again?
- 10 Q. So, I asked, is the lead 422, in
- 11 Figure 4A, embedded along the bezel?
- 12 A. So, the patent of Line 22 of
- 13 Column 9, says, "Lead 422 can be embedded along
- 14 the outer surface of the bezel."
- So, that is the language used by the
- 16 patent.
- 17 Q. And is it your opinion that that is
- 18 correct, that the 422 is embedded along the
- 19 bezel?
- 20 A. I think, if we are asking, does that
- 21 arrangement represent an example of an electrode
- that is embedded in the bezel, that one of

- 1 ordinary skill would appreciate that.
- 2 But in this case, the lead would be
- 3 partially set in or partially surrounded by the
- 4 material. That is clearly shown in the
- 5 illustration.
- I think one of ordinary skill would
- 7 agree that the lead 422 is partially set in or
- 8 partially surrounded by the material.
- 9 Q. Let's go to Paragraph 34 of your
- 10 declaration, Exhibit 2003.
- A. Uh-huh.
- 12 O. In the first two sentences of this
- 13 Paragraph 34, you say, "In a first arrangement
- 14 described with respect to Figure 4B, the '257
- 15 patent describes that 'lead 472 of the heart
- 16 sensor can be positioned against the back surface
- of the bezel 460.'"
- Do you see that?
- 19 A. Yes.
- Q. And then you go on to say, "As shown
- 21 in the figure, the lead 472 and the back surface
- 22 of the bezel 460 are both curved, with the lead

- 1 472 embedded in the bezel 460 because of the
- 2 position of the lead 472 on the inside curved
- 3 surface of the bezel 460."
- 4 Is that correct?
- 5 A. That is correct.
- 6 Q. So, now if we go to Figure 4B that
- 7 you are discussing in that paragraph.
- 8 A. Uh-huh, yes.
- 9 Q. 4B of the '257 patent shows the lead
- 10 472 that is embedded in the bezel. Correct?
- 11 A. That is correct. As described and
- 12 as I explained -- as shown in the figure, the
- 13 lead 472 and the back surface of 460 are both
- 14 curved, with the lead 472 embedded in the bezel
- 15 460 because of the position of the lead 472 on
- 16 the inside curved surface of the bezel 460.
- 17 Q. How many sides of the lead 472 are
- 18 contacting the bezel 460?
- 19 A. So, it is a curved object. I think
- 20 sides to many people invoke flat surfaces.
- So, I would call it a curved
- 22 surface.

- If you want to ask how many surfaces
- 2 of the lead are in contact with the bezel, I
- 3 would say that the, the inside curved surface
- 4 of -- sorry.
- 5 The curved surface of the lead and
- 6 the curved surface of the bezel are in contact
- 7 with each other.
- I don't really want to chop that up
- 9 into sides. It is a curved surface.
- 10 Q. Other than that curved surface that
- 11 contacts the bezel, are there any other surfaces
- of the lead 472 that contact the bezel 460?
- 13 A. At least as shown in this drawing, I
- 14 don't think so.
- 15 Q. Is the pad 472 set in the bezel?
- 16 A. I think a person of ordinary skill
- 17 would agree that the lead 472 is at least
- 18 partially set in or partially surrounded by the
- 19 material of the bezel.
- 20 Q. But, I would just -- sorry, go
- 21 ahead.
- 22 A. Therefore, it is embedded in the

- 1 bezel.
- 2 Q. So, I was asking specifically about
- 3 the first part of your construction. The "at
- 4 least partially set in" and whether or not the
- 5 pad in Figure 4B, the pad is 472 is at least
- 6 partially set in the bezel 460?
- 7 A. So, first, I think it would be easy
- 8 to agree that the lead is partially surrounded by
- 9 the bezel. It is similar to the examples we have
- 10 considered earlier.
- 11 Whether it was partially set in, I
- 12 think it is a -- I think probably. The bezel,
- 13 maybe like my hand, is a curved surface. The
- 14 lead is in that curved surface. It is partially,
- 15 at least partially set in the bezel. And it is
- 16 partially surrounded by, partially surrounded by
- 17 the bezel.
- So, I think the answer is yes.
- 19 Q. So, the lead 472 doesn't need to
- 20 protrude into the material of the bezel 460 in
- 21 order to be at least partially set in the bezel?
- 22 A. So, we are into the realm of

- 1 abstract geometry here whether a curved surface
- 2 is different from a slot into an object and so
- 3 on.
- 4 I think a person of ordinary skill
- 5 looking here would say the lead is embedded in
- 6 the bezel because it is partially set in or
- 7 partially surrounded by the material of the
- 8 bezel.
- 9 I don't know that an ordinary person
- 10 of ordinary skill in the art is going to get into
- 11 abstract geometry and attempt to distinguish and
- 12 classify shapes based on things like
- 13 discontinuities in surface and so on.
- It is really not relevant here. I
- 15 think a person of ordinary skill would see this
- 16 lead and this bezel and say the lead is partially
- 17 set in or partially surrounded by the material of
- 18 the bezel.
- 19 Q. But earlier we were discussing how
- 20 to make the book on the desk at least partially
- 21 set in and that you could add tabs that would
- 22 protrude into the material of the desk in order

- 1 to be partially set in.
- A. That would be one way to do that.
- 3 Q. But, Tab 472 here in Figure 4B
- 4 doesn't have any portions that protrude into the
- 5 bezel material 460. Is that correct?
- 6 A. I think the only reason I am
- 7 hesitating here.
- 8 So, the figure itself as it is drawn
- 9 with these aspect ratios and these radii
- 10 curvature which are the particulars of this case.
- I think a person of ordinary skill
- 12 would say that the lead is partially surrounded
- 13 by the material of the bezel.
- 14 And, I think they probably wouldn't
- 15 say that it is partially protruding into the
- 16 bezel.
- 17 But, I could imagine a similar
- 18 geometry where the radii curvature were tighter
- 19 and instead of just 90 degrees of curvature, we
- 20 were talking about, you know, 300 degrees of
- 21 curvature and the electrode on the inside of that
- 22 entire curvature, somewhere along the way, I

- 1 think a person of ordinary skill would start to
- 2 think of that as partly set in.
- But, in either case, it is partly
- 4 surrounded and, therefore, embedded in. And I, I
- 5 think the opinion of a person of ordinary skill
- 6 is clear as to whether that structure has a lead
- 7 embedded in the bezel.
- 8 Q. So, the lead 472 wouldn't be at
- 9 least partially set in the bezel, but it would be
- 10 at least partially surrounded by the material of
- 11 the bezel. Is that correct?
- 12 A. I think, given the details,
- 13 admitting that it is not an engineering drawing,
- 14 that is probably how a person of ordinary skill
- 15 would look at this. And then based on that, they
- 16 would conclude that the lead 472 is embedded in
- 17 the bezel 460.
- 18 Q. How is the lead 472 surrounded by
- 19 the material of the bezel?
- 20 A. Partially surrounded, I think.
- 21 Correct?
- Q. And how is that, that it is

- 1 partially surrounded by the material of the
- 2 bezel?
- 3 A. In the case of -- it is distinct
- 4 from the case of the two flat parallel surfaces,
- 5 adjacent to another or in contact with one
- 6 another, the book on the table.
- 7 This is closer to the category of an
- 8 object inside of a drawer. And then some portion
- 9 of the bezel surrounds the lead.
- Not that there is a flat part and a
- 11 flat part, but there is a curvature. And, the
- 12 curvature of the bezel at least partially
- 13 surrounds the lead.
- 14 Q. So, if there is a curvature on a
- 15 surface and the lead contacts that curved
- 16 surface, then the lead is at least partially
- 17 surrounded by the material of that surface; is
- 18 that correct?
- 19 A. Yes. So, in my report a little
- 20 second sentence in Paragraph 34 that is shown in
- 21 the figure, "The lead 472 and the back surface of
- the bezel are both curved, with the lead 472

- 1 embedded in the bezel because a portion of the
- 2 lead 472 is on the inside curved surface of the
- 3 bezel 460."
- Because -- I'm sorry. "Because the
- 5 position of the lead 472 is on the inside curved
- 6 surface of the bezel 460."
- 7 Q. What if the bezel 460 was not
- 8 curved, it was a flat surface where it contacts
- 9 the lead 472, would the lead be embedded in the
- 10 bezel?
- 11 A. The bezel is flat, the entire bezel,
- 12 like a tabletop?
- 13 O. Yes.
- 14 A. I think an object on a flat surface
- 15 like that is not embedded in that flat object.
- 16 Like, if we had the desk drawer without the sides
- on it, it was just the bottom of a desk drawer,
- 18 that book is not embedded in that part of the
- 19 desk drawer.
- If the drawer has the side walls,
- 21 then it would be embedded.
- MR. BISENIUS: Counsel, we have been

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 1
         going for over an hour again, and I think
         they have had lunch delivered at the
 3
         Minneapolis office.
 4
                  Would now be a good time to break
 5
         for lunch?
 6
                  MR. EVERTON: Yes. Let me just
 7
         finish my last question.
 8
                  MR. BISENIUS: Okay.
     BY MR. EVERTON:
 9
10
            Ο.
                  So, following that, let's say the
11
     bezel 460 is flat where it contacts the lead 472,
12
     but then curves at different portions of the
13
     bezel where it is not contacting the lead 472,
14
     would the lead be embedded in?
15
            Α.
                  I think that is similar to the
16
     example of the book in the shallow drawer of a
     desk.
17
18
                  So, the book is a flat object and
19
     the drawer has at least that flat bottom.
20
                  But, because there is sides to the
     drawer of, you know, perceptible height, it is
21
22
     embedded in the drawer because the drawer has
```

- 1 those sides.
- 2 It doesn't matter that a portion of
- 3 the drawer is flat, as long as the drawer --
- 4 other locations extends up beyond and partially
- 5 surrounding the book.
- 6 Q. Does it matter which way the bezel
- 7 curves, whether it curves toward the lead 472 or
- 8 curves outward?
- 9 A. I think it matters, just as it would
- 10 with the example of the desk drawer.
- 11 The book, if it is inside the drawer
- of the desk where we would normally place it, it
- is surrounded by a portion of the desk drawer.
- If alternately I have the desk
- drawer partially out and I take the book and
- 16 place it underneath the bottom of the desk drawer
- 17 so that the shape of the drawer is like this, and
- 18 the book is below on the other side, I don't
- 19 think anyone would say that that book is
- 20 surrounded by the drawer.
- So, it matters.
- 22 Q. So, in order for the tab, for the

- 1 lead to be at least partially surrounded by the
- 2 bezel, there would need to be some curvature in
- 3 the bezel that extends toward or around the lead
- 4 itself, 472.
- 5 Is that what you are saying?
- A. I don't think it has to be a
- 7 curvature. You know, the desk drawer example,
- 8 the sides of the drawer are abrupt. Changes in
- 9 the surface, you know, 90-degree angles, I don't
- 10 know that anyone would refer to that as
- 11 curvature.
- 12 You need some departure from a flat
- 13 surface to come up and partially surround the
- 14 book in order for the surface to surround the
- 15 book.
- 16 That can be a flat section with a
- 17 curved area, like a lip or something, or it can
- 18 be a flat section with a right angle upwards. It
- 19 could be any angle, frankly.
- 20 As long as it partially surrounds
- 21 the book then it would be, the book would be
- 22 embedded.

- 1 Q. What about a 5-degree curvature of
- 2 the material that is contacting the pad 472,
- 3 would that be enough curvature to at least
- 4 partially surround the pad?
- 5 A. I think if one of ordinary skill
- 6 looking at this structure sees that the surface
- 7 protrudes upwards in a way that partially
- 8 surrounds the book, that person wouldn't care
- 9 about the angles of those departures from a flat
- 10 surface.
- It doesn't have to be 90 degrees. I
- 12 think it just has to look like it comes upwards
- 13 some amount.
- 14 MR. EVERTON: Okay, I think we can
- go ahead and take a break.
- 16 Did you say you wanted to do a lunch
- 17 break, a longer break?
- MR. BISENIUS: Yes, would 45 minutes
- 19 be okay?
- MR. EVERTON: Yes, 45 minutes.
- 21 MR. BISENIUS: Let's reconvene at 20
- after the hour.

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 1
                  MR. EVERTON: 20 after, okay, sounds
 2
         good.
                  MR. BISENIUS:
                                  Sure.
 4
                   (Recess taken -- 12:38 p.m.)
 5
                   (After recess -- 1:19 p.m.)
 6
     BY MR. EVERTON:
 7
                   So, Dr. Kenny, we left off looking
            Q.
     at Figure 4B of the '257 patent.
 8
 9
            Α.
                   Uh-huh.
10
            Ο.
                  That is Exhibit 1001. We were
     talking about the bezel 460 and the lead 472.
11
12
            Α.
                  Yes.
13
                   Do you know how the pad 472 is
14
     secured to the bezel of the enclosure?
15
                   The patent only says that it is
            Α.
16
     positioned against the back surface of bezel 460.
17
            Q.
                  Okay. So, we don't know exactly how
18
     this pad 472 is attached or positioned there.
19
                   Is that correct?
20
            Α.
                  At least just looking at that one
     description of Figure 4B.
21
22
                   I'm looking quickly to see if there
```

- 1 is other places where the assembly is described
- 2 in more detail. I'm not finding it.
- 3 Q. What if the pad 472 were glued to
- 4 the bezel in Figure 4B, would the pad 472 still
- 5 be embedded in the bezel?
- 6 A. Yes, I think consistent with some of
- 7 the earlier examples of a material layer between
- 8 the book and the desk drawer, such as may be a
- 9 layer of Christmas wrapping, that the presence of
- 10 that layer doesn't change the way a person of
- 11 ordinary skill would understand whether an object
- 12 was embedded in another object.
- Q. What if the pads 472 in Figure 4B
- 14 were deposited on the inside of the bezel 460,
- would it still be embedded in the bezel?
- 16 A. It is deposited on such as with the
- 17 molding process?
- 18 Q. Let's start with that, yes.
- 19 A. I think as long as we were still
- 20 talking about the same shapes.
- 21 And as I described in the example,
- 22 the lead and the back surface of the bezel are

- 1 both curved, and it is that physical arrangement
- 2 that a person of ordinary skill would interpret
- 3 to determine whether one was embedded in the
- 4 other.
- 5 I don't think it would be important
- 6 to know how the parts were produced as long as
- 7 this is the final arrangement.
- 8 Q. So, if the pad 472 was deposited on
- 9 the inside of the bezel, would that make the pad
- 10 472 at least partially set in to the bezel?
- 11 A. I think if you ended up with this
- 12 arrangement of shapes, a person of ordinary skill
- would agree that this was partially surrounded by
- 14 the material of the bezel, and therefore it is
- 15 embedded in the bezel.
- I don't think there would be any
- 17 important distinction for how the part 472 is
- 18 manufactured.
- 19 Q. What other ways could the pad 472 be
- 20 deposited on the inside of the bezel there?
- 21 A. Well, in the most general sense, you
- 22 know, depositing could be the same as placing.

- 1 So, I could -- one might say I am
- depositing my Coke can on the table, in which
- 3 case any way of making 472 would line up and be
- 4 consistent.
- Q. So, long as --
- 6 A. I could deposit this Coke can on the
- 7 table without knowing anything about how it is
- 8 made.
- 9 Q. Right. So long as the pad 472 is
- 10 ultimately in that position relative to the bezel
- 11 460, then it would be embedded in the bezel.
- 12 Is that what you are saying?
- 13 A. As long as it would be partly set in
- or partially surrounded by the material of the
- 15 bezel, yes.
- 16 Q. And how about a -- if the, if the
- 17 pad 472 were deposited by chemical vapor
- deposition onto the surface of the bezel, would
- 19 it still be embedded in the bezel?
- 20 A. Yes. I'm trying to think why it
- 21 would matter how it was made. And I don't think
- 22 it does.

- 1 Q. And are you familiar with that
- 2 method of depositing this chemical vapor
- 3 depositing, CVD?
- 4 A. I am.
- 5 Q. So, if that pad is chemical vapor
- 6 deposited onto the bezel, would that increase the
- 7 likelihood that it is at least partially set in
- 8 and that there would be maybe a transfer of
- 9 material from the pad into the bezel?
- 10 A. I don't think there would be any
- 11 transfer in a normal physical vapor deposition
- 12 process, if that is what you are referring to.
- 13 I think in fact normally that is
- 14 something you are hoping doesn't happen. In the
- 15 most cases where such processes are used, you are
- 16 trying to confine the layers to their, you know,
- 17 to their form and not have much interdiffusion.
- 18 At least during the deposition process that is
- 19 the objective.
- 20 Q. So, if the pad were chemical vapor
- 21 deposited onto the bezel 460, then it would be at
- least partially surrounded by the bezel but not

- 1 set in to the bezel.
- 2 Is that correct?
- 3 A. I think it would be partially
- 4 surrounded by the bezel, by the material of the
- 5 bezel, yes.
- 6 O. Uh-huh.
- 7 A. And therefore, it would be embedded
- 8 in the bezel.
- 9 Q. But the pad being chemical vapor
- 10 deposited onto the bezel wouldn't prevent the pad
- 11 from being at least partially set in to the bezel
- 12 460, correct?
- 13 A. If the bezel had some notches or
- 14 grooves or slots carved in it and then one
- deposited material that filled up those slots and
- 16 grooves, at the end of the process you have a
- 17 structure that we would call a lead that includes
- 18 those protrusions into the bezel, I think a
- 19 person of ordinary skill would say those are
- 20 partially set in.
- 21 And that otherwise the rest of the
- 22 structure is still partially surrounded.

- 1 Q. And in that chemical vapor deposited
- 2 pad onto this bezel, with surfaces like you would
- 3 expect here, wouldn't there always be some
- 4 protrusions and grooves at, at least some scale
- 5 that would make the pad 472 at least partially
- 6 set into the bezel 460?
- 7 A. Are you maybe describing atomic
- 8 scale roughness?
- 9 Q. Or even larger. I don't know. Is
- 10 there -- there is those imperfections on such a
- 11 surface?
- 12 A. You know, if the part is gouged or
- 13 scratched intentionally prior to the chemical
- 14 vapor deposition, to form features like that.
- 15 You know, as I said earlier, I think
- 16 if, you know, if we are talking about the table
- 17 and a book. I am overlooking atomic scale
- 18 structures as being able to partially surround
- 19 the book.
- I think one of ordinary skill is
- 21 going to look at the object and they will see
- 22 what they see. With the naked eye they are not

- 1 going to be able to see atomic scale roughness.
- 2 If there is micrometer to millimeter
- 3 scale features that are formed, then one might
- 4 begin to see an arrangement where the film that
- 5 is grown is partially set in the underlying
- 6 structure.
- 7 I think it is kind of a gray zone,
- 8 frankly. It depends on size and shape. And it
- 9 really doesn't matter anyway because the film is
- 10 embedded in the bezel as long as it is partly
- 11 surrounded by the material of the bezel which is
- 12 true for this geometry regardless of those micro
- 13 or nanoscale features.
- 14 Q. Looking at Figure 4B again, the '257
- 15 patent.
- Would the pad 472 be embedded in the
- 17 bezel 460, regardless of the thickness of the pad
- 18 472?
- 19 A. We are not concerned with whether
- 20 the pad that we are describing here meets all of
- 21 the other limitations of the first pad of the
- 22 patent. Just talking about geometry is all here.

- 1 Q. Yes. Is there any requirement to,
- 2 for the thickness of the pad 472, for it to be
- 3 embedded in the bezel 460 here?
- 4 A. I think one of ordinary skill would
- 5 want to focus on structures that are, you know --
- 6 that are not so thin that one begins to question
- 7 whether they are actually a structure.
- 8 So, a monolayer of atoms, there
- 9 would be no way to do anything to it without
- 10 destroying its properties and therefore it would
- 11 begin to be doubtful whether it really should be
- 12 called a pad.
- But, I think any kind of, any
- 14 macroscopic thickness that one could see with the
- 15 naked eye would be satisfactory.
- 16 Q. And the bezel 460, would it have to
- 17 be a certain thickness in order for the pad 472
- 18 to be embedded in the bezel 460?
- 19 A. You do start to encounter some
- 20 practical problems if that layer 460 is super
- 21 thin.
- Just structurally in the Figure 4B

- 1 and the description in the patent, that structure
- 2 460 is regarded as part of the overall housing of
- 3 the system. And at least the electrode 472 is,
- 4 as shown here is, you know, attached or placed in
- 5 contact with it without any other obvious means
- 6 of supporting it.
- 7 I think -- so, I have considered
- 8 these geometries in the context of things like
- 9 460 being thick enough to be structurally
- 10 meaningful in the design of the product to, you
- 11 know, provide some sort of role as an enclosure.
- 12 And therefore those structures need
- 13 to have some kind of physical strength and
- 14 ability to be freestanding elements that don't,
- 15 you know, disintegrate the minute they are on
- 16 their own.
- 17 Q. But specifically talking about the
- 18 embedded in.
- 19 For the pad to be embedded in that
- 20 portion of the bezel, that portion of the bezel
- 21 could be any thickness, right, if you ignore the,
- 22 any needed structural integrity of the bezel?

- 1 A. So earlier we talked about a book
- 2 and wrapping paper. The wrapping paper around
- 3 the book, one could regard the book embedded in
- 4 the wrapping paper.
- 5 You know, in that case the wrapping
- 6 paper is orders of magnitude thinner than the
- 7 whole book. If it is a 1,000 page book, it is
- 8 1,000 times thinner.
- 9 Q. So, the material --
- 10 A. I don't think there is any explicit
- 11 limitation on the dimensions of that structure.
- But, if we are going to talk about
- 13 that structure in the context of the claim, it
- 14 describes a system that performs a function. I
- wouldn't want that structure to be arbitrarily
- 16 thin.
- 17 It begins to lose its capability of
- 18 performing its obvious required features.
- 19 If it is an atomic layer thick and
- 20 you somehow temporarily create such a thing, it
- 21 disintegrates on its own almost immediately. It
- 22 is kind of a meaningless consideration.

- 1 Q. But to satisfy the embedded in
- 2 limitation, the material that the patent is
- 3 embedded in doesn't have any -- doesn't need to
- 4 have any specific thickness; is that correct?
- 5 A. I think that is correct. I mean,
- 6 perhaps it could be embedded in another object
- 7 but the object that it is embedded in has to be
- 8 an object. It can't just be an arbitrary
- 9 temporary assortment of a bunch of atoms in free
- 10 space. It has to be an object.
- 11 And so, I think there is a
- 12 reasonable boundary between macroscopic things
- 13 that are centimeters, millimeters and atomic
- 14 things that might be a single atomic layer thick.
- And I think, I don't think an
- 16 atomically thin structure shaped like 460 with
- 17 that curvature or as thin as an atomic layer
- 18 would be regarded as an object that is capable of
- 19 surrounding something.
- 20 It is just -- it is not an object.
- 21 It is -- the minute you create it, it
- 22 disintegrates on its own.

- 1 Q. You mentioned previously that the
- 2 pad 472 is embedded in the bezel 460 in Figure 4B
- 3 because of the curvature of the bezel 460.
- 4 Do you recall that?
- 5 A. Correct. Paragraph 32.
- 6 O. How much curvature does the surface
- 7 of the enclosure need to have in order for the
- 8 pad to be embedded in that material?
- 9 A. I think it has to be sufficient for
- 10 a person of ordinary skill in the art to look at
- it and see that there is a departure from a flat
- 12 surface that is sort of visible to the eye.
- I mean, I don't think -- again, a
- layer of atoms around the perimeter that forms
- 15 perhaps a slight ledge isn't what we are talking
- 16 about here.
- We are talking about persons of
- 18 ordinary skill looking at structures that are,
- 19 you know, associated with the sides of a handheld
- 20 instrument, like we are talking about in the 5,
- 21 in the 5 -- '257 patent.
- I don't want to -- I want to try to

- 1 draw some boundary down in the micrometer scale
- 2 where it is or it isn't.
- I think one of ordinary skill in the
- 4 art knows when they see curvature that leads to a
- 5 thing being partly surrounded by material. It
- 6 could be millimeters. It could be more.
- 7 Somewhere below what they can see and it seems to
- 8 be functionally relevant would probably not
- 9 matter.
- 10 Q. What about microns, could it be
- 11 microns of curvature?
- 12 A. I think somewhere in the microns.
- 13 You know, more than one, less than a million,
- 14 maybe.
- If I handed you a very smooth
- 16 surface and there was a micron layer deposited on
- 17 part of that, you would be able to see it. You
- 18 probably would be able to see the edge of it and
- 19 detect with your eye by tilting it around in the
- 20 light.
- 21 You could detect that there was a
- 22 discontinuity in the shape of the surface.

- 1 Again, I think in this context we
- 2 are not talking about a micron. You know, there
- 3 is no scale in Figure 4B, but I understand we are
- 4 talking about millimeters to centimeters here and
- 5 not microns or fractions thereof.
- 6 Q. And in that context, what about
- 7 1 degree of curvature, would that be sufficient
- 8 to the embedded in?
- 9 A. It would depend on the radius as
- 10 well as the radius of the curvature.
- 11 Again, you are trying to get me into
- 12 a space where I am going to define things based
- 13 on some amount of distance. And I think,
- 14 frankly, a person of ordinary skill is going to
- 15 look at it and they are going to see the drawing.
- Would they see Figure 4B? Yeah.
- 17 That is a lead embedded in a bezel.
- 18 If you take them through a
- 19 progression of things that are sort of flatter
- 20 and flatter all of the way to flat, they will
- 21 probably get uncomfortable somewhere along the
- 22 way, and at the end say no, it is not embedded.

- 1 You know, whether they make that
- 2 decision at one degree or one micron, I just
- 3 don't think it is relevant for this case.
- 4 No one is proposing any examples of
- 5 any kind where we are talking about one micron
- 6 departures in the shape of a surface in the
- 7 context of whether something is embedded in
- 8 something.
- 9 I think it is -- I think the meaning
- 10 is straightforward on a scale of the examples we
- 11 care about.
- 12 Q. Right. But we need to know the
- 13 scope of the claims. And so, can you explain to
- 14 me where along that spectrum that you have
- 15 described of a person of skill looking at
- 16 different curvatures, where would it be that the
- 17 person of skill would say okay, that is, that is
- 18 no longer a sufficient curvature to be embedded
- 19 in?
- 20 A. I think if we are looking at
- 21 drawings of scale bars, I mean, if we are really
- 22 going to try to make an absolute determination

- 1 based on a dimension, we would want to look at
- 2 examples that were not artist rendering. Like we
- 3 have here, the really engineering drawings with
- 4 dimensions and tolerances and all of that.
- 5 I think at least an engineer or
- 6 someone of ordinary skill would understand that
- 7 there are certain tolerances in the specification
- 8 of the shapes of things.
- 9 And it would maybe specify the
- 10 surface of the bezel of having a roughness of
- 11 plus or minus a micron, or 10 microns or more,
- 12 depending on how it is made.
- 13 And an understanding of the
- 14 tolerances is basically we have an understanding
- of what matters and what doesn't.
- If the change in the shape is, you
- 17 know, larger than the tolerance on the shape, you
- 18 know, so if the shape is specified to plus or
- 19 minus a mil, or 100 microns, maybe, the
- 20 departures from flat that are larger than the
- 21 tolerance would be considered relevant.
- 22 And the departures that are kind of

- 1 within the tolerance, I think not intentional,
- 2 probably wouldn't be considered relevant.
- 3 Q. Referring to --
- 4 A. The table here is not atomically
- 5 flat.
- If I place a book on this table, I
- 7 don't know how precisely it was manufactured but
- 8 it might have a little, a slight -- I don't know,
- 9 a very slight bow to it, depending on how it was
- 10 polished.
- I think that would be something that
- 12 would be regarded as within the tolerance of the
- 13 manufacture of the table and therefore not
- 14 relevant.
- 15 Q. So, if that table did have a slight
- 16 curvature in it, would that be sufficient for --
- 17 A. It would depend on how that
- 18 curvature depended on the specifications for the
- 19 shape of the table.
- I can tell you with absolute
- 21 certainty this table is not atomically flat. And
- 22 I could tell you a person of ordinary skill in

- 1 the art would call this a flat table. Those
- 2 things are both true.
- 3 Q. Is any surface atomically flat?
- 4 A. You can make atomically flat
- 5 surfaces on a fairly small scale in certain very
- 6 careful manufacturing processes.
- 7 There are atomic force microscope
- 8 images of regions of a single crystal silicone
- 9 that are flat to the atomic level.
- No one would try to make a table
- 11 that flat because nobody would ever care. And
- 12 certainly nobody would want to pay for that kind
- of precision on the scale of this table.
- 14 The table does its job. It is flat
- 15 enough to the eye to do its job. It is probably
- 16 regarded by anyone of skill in the art to be a
- 17 flat table.
- And if I put a book on it, everyone
- 19 is going to agree that the book is not embedded
- 20 in the table because you have two flat parallel
- 21 objects, even admitting that there are some
- 22 imperfections in the flatness of both objects at

- 1 some scale which is relevant to the discussion at
- 2 hand.
- If you want to draw a boundary,
- 4 probably I would ask what are the tolerances
- 5 associated with these objects, and what is
- 6 understood to be the important understanding of
- 7 the actual shape of the surface.
- 8 And things that are smaller than
- 9 that don't matter. And things that are bigger
- 10 than that which are intentional can matter and
- 11 might, for example, lead to shapes that would be
- 12 capable of partially surrounding an object.
- But, you know, at the atomic scale,
- 14 there is no absolute thing. It is situational.
- 15 And I think one of ordinary skill would
- 16 understand that.
- 17 Q. So, any interior surface of an
- 18 enclosure would not be absolutely flat. Correct?
- 19 A. There can be -- no, not absolutely
- 20 flat, no.
- 21 There can be interior surfaces of an
- 22 enclosure that are flat to within the tolerances

- 1 that matter for that enclosure and that I think
- 2 one of ordinary skill would look at it and go,
- 3 yeah, that looks flat.
- 4 They would look at this bezel and
- 5 say yeah, that is curved.
- 6 You know, the two objects in
- 7 Figure 4B, there is 452, which I think is a
- 8 portion of the display, and there is 460, which
- 9 is this curved bezel.
- 10 If I asked people of ordinary skill
- 11 which is flat and which is curved, I am
- 12 100 percent sure they would all agree one of
- 13 those is flat and one of those is curved.
- 14 Even though if I took that drawing
- under a very high quality microscope, I might see
- 16 some raggedness in the boundary between the black
- 17 and the white on the page.
- 18 And if I took the real object into
- 19 the right kind of laboratory, I might see some
- 20 roughness on some scale within the tolerances.
- 21 But, you know, I think one of
- 22 ordinary skill in the art would look at this or

- 1 look at the physical objects this represents and,
- 2 yeah, the bezel is curved. The lead is curved.
- 3 The display 452 is flat.
- 4 Q. So, you agreed that the, that any
- 5 inner surface in an enclosure like this will not
- 6 be absolutely flat. Right?
- 7 A. At the atomic scale? I don't think
- 8 anyone of ordinary skill in the art would imagine
- 9 that any of the companies working in this space
- 10 would ever bother to manufacture an atomically
- 11 flat interior surface. It is just huge cost and
- 12 no benefit.
- 13 Q. So then whether or not the pad on
- 14 the interior of that surface is embedded in would
- depend on the tolerances for manufacturing that
- 16 device; is that correct?
- 17 A. Yes. And on whether the shape of
- 18 that structure was intentionally departing from
- 19 what would be the tolerance for a flat surface.
- Q. What do you mean by that,
- 21 intentionally departing?
- 22 A. I think, you know, I think once

- 1 designing a structure with some portions that are
- 2 intended to be flat and some portions that are
- 3 intended to be curved, I think one of ordinary
- 4 skill in the art can look at any of the
- 5 illustrations and any of the examples of prior
- 6 art or any of the illustrations of this patent
- 7 and anyone could tell, oh, here is a part that is
- 8 flat and here is a part that is not flat. This
- 9 part is curved.
- They don't need to get out their
- 11 microscope or go to a laboratory and look at
- 12 these things and distinguish the flat from the
- 13 curved parts.
- 14 There is just -- they are not --
- 15 they are in different groups. They are not close
- 16 together.
- 17 Q. So, if the interior surface of an
- 18 enclosure was intended by the designers to be
- 19 flat, but it ended up actually being curved at
- 20 some level, at some dimension, then would the pad
- 21 on the interior of that surface be embedded in?
- 22 A. I want to look at some examples

- 1 here. It is hard to make an absolute statement.
- 2 I think it would depend on, you know, what you
- 3 can see with the naked eye, what seems to be
- 4 roughness that is accidental versus intentional
- 5 shape changes.
- 6 You know, again, I don't think
- 7 anyone is going to look at these claims and say
- 8 that two objects like a book on a table are
- 9 anything but not embedded in one another and that
- 10 one doesn't have to get out precision instruments
- 11 and look at those curvatures to make that
- 12 determination.
- I think there are things that are
- 14 flat. Everyone knows what they are when they see
- 15 them.
- There are things that are curved and
- 17 I think everyone else knows what they are when
- 18 they see them.
- 19 And it is obvious in this case that
- 20 if a curved object is placed on the interior
- 21 curved surface of another object, that one of
- 22 ordinary skill would describe that as being

- 1 partially surrounded by that object.
- I don't think -- you know, I don't
- 3 think anyone -- no person of ordinary skill is
- 4 going to get out microscopes and look at where
- 5 the atoms are to make these determinations.
- 6 Q. Okay. So a person of ordinary skill
- 7 would just eyeball it and determine whether or
- 8 not a surface is flat or curved and therefore the
- 9 corresponding pad embedded in or not; is that
- 10 right?
- MR. BISENIUS: I don't think this is
- that hard a process. We could look at every
- single figure in every piece of prior art and
- every figure in this patent, look at any
- particular line in any of those figures.
- I think a person of ordinary skill
- 17 would agree -- groups of them would agree on
- 18 which of those are flat and which are not.
- 19 It is not like there is a big
- ambiguous gray zone here. These structures
- in these products in these patents, there are
- some parts of them that are flat and plus or

	Page 125
1	minus a few atoms. Nobody cares.
2	And there are some parts that are
3	curved which, you know, within the
4	tolerances, nobody cares.
5	And I, again, we could look through
6	all of these illustrations, but I think it is
7	kind of obvious. You know, some things are
8	flat. Some things are not. This table is
9	flat to ordinary thinking.
10	I don't need a microscope or an
11	atomic force microscope or any fancy
12	instruments. Yeah, it looks pretty flat.
13	The floor in this room is pretty flat.
14	So, I and again we are talking
15	about books and tables. We are talking about
16	leads and bezels. I don't think this is that
17	hard.
18	I know you are trying to find some
19	precision boundary between the two. And
20	there are no examples that live in that
21	boundary.
22	It is, things are flat or they are

	Page 126
1	curved. And, we can look through every
2	single figure here and identify hundreds and
3	hundreds of elements.
4	And I think it is absolutely trivial
5	to say which ones are flat and which ones are
6	curved.
7	There is no, there is no controversy
8	here. I'm sorry. Just, maybe just for
9	context, my Ph.D. thesis was measurements of
10	the heat capacity of a monolayer of helium
11	atoms.
12	So, I know a little something
13	professionally about flatness of the atomic
14	scale. And none of the things we are talking
15	about here are in that context.
16	It is, you know, this table is flat.
17	The side of my Coke can is curved. No one is
18	going to disagree about that. That is what
19	we are talking about.
20	BY MR. EVERTON:
21	Q. But, if the inner surface of the
22	enclosure has a curvature but that curvature is

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     imperceptible to the naked eye, would the pad on
 1
     the inside be embedded in?
                  MR. BISENIUS: Objection, asked and
 4
         answered.
 5
                  THE WITNESS: I mean,
         imperceptible -- again, I think, one of
 6
 7
         ordinary skill would pick the part up and
         look at it and say yeah, that is flat.
 8
 9
         no, that looks like it is curved.
10
                  Your eyes are pretty good at
11
         detecting relatively small amounts of
12
         curvature in, you know, just by looking at
         reflections and shapes of things.
13
14
                  I don't think anyone would have any
15
         doubt about whether an object was flat or
16
         not.
17
     BY MR. EVERTON:
18
            Q.
                  So, previously we talked about the
     table example with the book.
19
20
                  So -- and you testified that if you
     have a flat tabletop and you place a book on top
21
22
     of it, that book is not embedded in the tabletop.
```

- 1 Correct?
- 2 A. Myself and Mr. Oslan have made
- 3 exactly that statement, yes.
- 4 Q. Now, let's say that the table is an
- 5 old table and so it has now bowed. And you can
- 6 see that it has bowed a little bit. There is
- 7 some curvature on the top of the table.
- 8 And you place a paperback book on
- 9 top of that slightly bowed table in the middle of
- 10 that table. Is that paperback book embedded in
- 11 that table?
- 12 A. I think one of ordinary skill in the
- 13 art walking in and looking at that, if they could
- 14 see the table was curved, bowed, you know, just
- 15 kind of on impression, not with instruments and
- 16 much effort, if it was just obviously oh, yeah,
- 17 the table has got some warp to it.
- 18 And then the book on the table, sort
- 19 of in the middle of this bow, and it is on the
- 20 inside of that curvature and not the outside of
- 21 it.
- I think given this definition that

- 1 they would see that the table is, it partly
- 2 surrounds the book in that concept.
- 3 They would see the curvature and
- 4 they would see the book on the table and that
- 5 would be consistent with the definition.
- 6 Very much like the example of the
- 7 desk drawer where we are talking about a big book
- 8 and kind of a shallow drawer.
- 9 Q. But if the table that we are talking
- 10 about -- let's say a new scenario where you have
- 11 again an old tabletop that is bowed but you can't
- 12 really tell. It is imperceptible, at least to
- 13 the naked eye.
- 14 And you can't tell at first glance
- 15 whether the tabletop has or has not bowed. And
- 16 you place a paperback book on top of that bowed
- 17 tabletop, would that be -- would the book be
- 18 embedded in the table?
- 19 A. So, you know, I think if you walk in
- 20 the room and there is a table in the room, your
- 21 first impression is that it is a table and
- 22 probably has a flat top, flat enough for its use.

- 1 If just in the course of looking at
- 2 it for a minute or two, while you are doing what
- 3 you normally do in a room with a table, which by
- 4 the way doesn't include giving out measuring
- 5 sticks or rolling balls across the surface to see
- 6 what the actual shape might be, but just, hey,
- 7 here is a table. It has got stuff on it.
- 8 If the first impression is that it
- 9 is flat, then I would say the person of ordinary
- 10 skill would not say that the book is embedded in
- 11 the table.
- 12 If the first impression is like wow,
- 13 that table has been around a long time, look how
- 14 warped it is. I can see with the naked eye. It
- 15 took me a second to notice that.
- I think that is different. I think
- 17 a person of ordinary skill would perceive the
- 18 curvature of the table and would appreciate that
- 19 the book is partly surrounded by some elements of
- 20 that curved table.
- 21 But, it is -- if they have to get
- 22 out, you know, instruments to determine whether

- 1 something is flat or not, you know, in this weird
- 2 hypothetical of a table in a room, whether it is
- 3 flat or not, you know, again it is a little bit
- 4 like with many definitions. You know, you sort
- 5 of know it when you see it.
- 6 Look at the table, like this table,
- 7 this looks pretty flat.
- 8 The table in my cabin up in northern
- 9 Minnesota that has been there for generations of
- 10 other owners, the top of that thing is like, oh,
- 11 that thing is not flat.
- 12 And it is just, I think one of
- ordinary skill in the art is going to base their
- 14 determination of whether something is embedded in
- 15 something else based on what they see.
- 16 Q. So, looking at Figure 4B here, of
- 17 the '257 patent.
- 18 If the inner surface of the
- 19 enclosure has undulations and the pad 7 -- or 472
- 20 conforms to those undulations, is the pad
- 21 embedded in the enclosure?
- 22 A. So these could both be flat in that

- 1 case, for example. Like a table with a
- 2 corrugated surface, like a washboard, for
- 3 example.
- 4 Q. Let's say that the inner surface of
- 5 the enclosure is otherwise flat, other than
- 6 undulations along the surface.
- 7 And then when the pad is placed on
- 8 that surface, it conforms to those undulations.
- 9 Would that be embedded in?
- 10 A. If those undulations were bigger
- 11 than atomic scale. Again, can you see them? Are
- 12 they there for a reason? What is going on?
- 13 One of ordinary skill I think would
- 14 look at it and say that would correspond to an
- 15 example of the item -- the lead, I guess, as we
- 16 are calling it here in this hypothetical, being
- 17 partially set in that corrugated surface.
- That would be distinct from two
- 19 things that are flat to the naked eye.
- Q. Okay. So, undulations on a flat
- 21 surface could allow for the pad to be embedded in
- 22 that surface so long as the undulations are large

- 1 enough.
- Is that what you are getting at?
- 3 A. Yeah, we could go down the same kind
- 4 of set of questions that we just talked about
- 5 with respect to the curvature of the table.
- I think, you know, one of ordinary
- 7 skill would look at the structure and say looks
- 8 pretty flat to me. And that if at the atomic
- 9 scale there were undulations, I think one of
- 10 ordinary skill would overlook those.
- 11 But, if the undulations were there
- 12 perhaps for a purpose or they were just apparent
- 13 to the naked eye that there is something there,
- 14 then one might see that as an object being partly
- 15 set in the other object by having a surface that
- 16 corresponds to those undulations on its own side.
- 17 Maybe just as a counter example: If
- 18 I put a book on a washboard, the book is probably
- 19 rigid enough that it doesn't, it doesn't follow
- 20 the shape of the undulations. And I think
- 21 everyone would agree the book was not partially
- 22 set in the washboard.

- 1 But if the book was a different
- 2 object that was more compliant and it sort of
- 3 filled in all of that space, if it was made of
- 4 putty, for example, then I think one would say
- 5 that layer of material that sort of corresponded
- 6 to the undulations of the shape of the washboard
- 7 is partially set in the washboard.
- 8 Q. And you agree with me that all inner
- 9 surfaces like this for an enclosure of this type
- 10 of device would have some undulations on the
- 11 inner surface, right?
- 12 A. I think a person of ordinary skill
- 13 would distinguish undulations that were large
- 14 enough to be sort of noticeably visible or
- 15 perhaps even obviously useful, from undulations
- 16 that were just, you know, within manufacturing
- 17 tolerance of the part and not obviously important
- 18 in any way.
- But that same thing we have been
- 20 talking about with respect to a flat table. I
- 21 will admit that the inside surface of any of
- 22 these structures is not going to be atomically

- 1 smooth.
- 2 And I think a person of ordinary
- 3 skill would make a judgment about whether those
- 4 undulations are -- you know, are important for
- 5 thinking about whether an object is embedded in
- 6 another object.
- 7 I don't think we have very many
- 8 examples of undulated surfaces amongst the
- 9 various references that are on the table today.
- So, I can't point at a bunch of
- 11 things. But, I think it is the same test.
- 12 A person of ordinary skill would
- 13 look at it and say yeah, those are undulations
- 14 that part is embedded in the other part.
- Or they would say, that is just
- 16 unintentional roughness. It is irrelevant and
- 17 not important, and therefore not sufficient to
- 18 say that one part is embedded in the other.
- 19 Q. If we could turn now to Paragraph 36
- 20 of your declaration.
- 21 A. Okay.
- Q. About two-thirds of the way down

- 1 that Paragraph 36 you say, "To be clear, a
- 2 lead/pad can be both embedded in and embedded
- 3 behind a portion of an enclosure, and indeed
- 4 Claim 1 requires this configuration."
- 5 Do you see that?
- 6 Sorry. Maybe you didn't hear my
- 7 question. I'm just asking if you see that
- 8 sentence.
- 9 A. Sorry. I am admittedly unpacking
- 10 all of that that is discussed in Paragraph 32 and
- 11 looking at Paragraph 36 now.
- 12 All right. So, I think I have
- 13 caught up.
- Q. Okay. So, my question is, how can a
- 15 lead be embedded in and embedded behind the
- 16 enclosure at the same time?
- 17 A. So, the claim requires that the lead
- is embedded in a first portion of the enclosure
- 19 and that it is positioned underneath the exterior
- 20 surface of the first portion.
- So, it requires two things. It must
- 22 be embedded and it must be positioned underneath

- 1 the exterior surface.
- 2 So, I think this is the
- 3 understanding that it must be embedded in, which
- 4 is the required language.
- 5 And it must be behind or underneath
- 6 the portion of the enclosure in order to meet all
- 7 of the limitations of Claim 1 and not just the
- 8 embedded in, entirely.
- 9 Q. Okay. So, the position beneath
- 10 corresponds to what you say is embedded behind?
- 11 A. Well, it has to be embedded in and
- 12 it has to be underneath.
- So, yes. I say yes, I think.
- 14 Q. And can you give me an example of a
- 15 lead that is embedded in and embedded behind the
- 16 enclosure?
- 17 A. 4B is such a thing. So, it is -- we
- 18 have spoken a lot. I think a person of ordinary
- 19 skill would say that the lead 472 is embedded in
- 20 the bezel 460.
- 21 And it is also positioned such that
- 22 the -- it is positioned underneath the exterior

- 1 surface of the first portion. So, it has both
- 2 features.
- 3 Q. How about Figure 3 of the '257
- 4 patent.
- If we look at Figure 3, is the lead
- 6 326 embedded in and embedded behind the display
- 7 screen?
- 8 A. So, I am just reading from Column 8
- 9 of the spec from about Line 40 down.
- 10 It describes the lead 326 shown here
- on Figure 3 as embedded in or behind display 302.
- 12 Q. So, is that lead 326 embedded in and
- 13 embedded behind the display screen?
- 14 A. I am just reading literally the
- 15 words of the spec. It says embedded in or behind
- 16 display 302.
- So, it could be on the surface, you
- 18 know, like, for example, electrode 422 on the
- 19 bezel. Or it could be beneath like electrode 472
- 20 under the bezel in the 4A, 4B examples.
- 21 Drawing -- this drawing is not -- a
- 22 cross-section would be helpful.

- But, in the absence of that, I am
- 2 relying a bit on the text which says embedded in
- 3 or behind the display 302.
- 4 Q. So, if the lead 326 is behind the
- 5 display screen, then would that lead be embedded
- 6 in and embedded behind the display?
- 7 A. I don't know from this drawing
- 8 whether we are looking at a curved surface or not
- 9 for either these elements. This doesn't give any
- 10 information about that. It could be curved. It
- 11 might not be.
- I don't know anything about the
- 13 inside surface.
- So, I can't say for sure it is
- 15 embedded in -- that it is embedded behind, I
- 16 should say.
- 17 The patent says it is embedded in
- 18 the display or behind the display.
- I think if it is -- we are talking
- 20 about the case where it is embedded in, then we
- 21 are talking about an arrangement similar to
- 22 Figure 4A.

- 1 And otherwise, it is embedded in or
- 2 behind. I don't know whether the patent is
- 3 saying that it is simply behind the display or
- 4 whether it is embedded behind the display. I
- 5 can't give you a yes or no.
- 6 Q. Okay. So, it is unclear whether it
- 7 is embedded behind.
- 8 But if the -- if the lead 326 is
- 9 behind the display as shown, but it is behind,
- 10 then it is embedded in the display screen, at
- 11 least.
- 12 Is that correct?
- 13 A. You are asking me if item 326 is
- 14 underneath the display screen 302?
- 15 Q. Yes. --
- 16 A. Is that the case where it is
- 17 embedded in the screen?
- 18 Q. Yes.
- 19 A. I would love a cross-section. But
- 20 my impression here is that 302 is probably flat,
- 21 and that the back surface, the underlying surface
- 22 of 302 is probably flat.

- 1 And then an object placed in contact
- 2 with that is just like a book on a table.
- 3 Q. Okay. So, the lead 326 cannot be
- 4 embedded in the flat display; is that correct?
- 5 A. So, unless the back side of the
- 6 display has a recess that the electrode 326 is
- 7 placed into, I don't know if that is the case
- 8 here.
- 9 So, I can't say for sure. If it is
- 10 the case that the back surface is flat and the
- 11 electrode 326 is flat, then it is like a book on
- 12 the table.
- But, I don't know if that is an
- 14 accurate description of all of the details here
- 15 because this illustration isn't sufficient to
- 16 say.
- 17 I would love a cross-section like we
- 18 have in figures 4A and 4B. That would make it
- 19 possible to say definitively.
- But, without that, I can't really
- 21 give you a yes or no.
- Q. Would embedding the layers -- let's

- 1 say you embedded the lead 326 in the layers of
- 2 the LED display, would that disrupt the
- 3 functionality of the display?
- A. Maybe. I don't think necessarily or
- 5 absolutely.
- It would depend on where those
- 7 layers are and how much other structural material
- 8 there might be underneath those layers to use for
- 9 doing this.
- 10 Q. Going back to the desk example, the
- 11 desk and the book.
- So, if we say that the desk is flat,
- 13 the top of the desk. And we are only considering
- 14 the top of the desk right now, or the, the flat
- 15 top part of the desk.
- 16 But it has a thickness. And there
- is an opening in the top of the desk.
- 18 If you put the book down into that
- 19 opening in the top of the desk so that the book
- 20 extends through the opening, from one side to the
- 21 other of the opening, through the thickness of
- the desk, is the book embedded in the desk?

- 1 A. I am probably looking at this table
- 2 which has one of these openings for electrical
- 3 connections. I may or may not be able to get
- 4 into.
- 5 So, there is like a pocket here in
- 6 the table right in front of me.
- 7 Q. Uh-huh.
- 8 A. Probably not too visible on the
- 9 camera because the flat opens the wrong way.
- But, there is a pocket with some
- 11 electrical things that I can plug my computers
- 12 and things into.
- 13 If, you know, if I take this object
- 14 and put it in here, it is embedded in the table.
- 15 It is partly surrounded by the table.
- 16 Q. So -- and, so my question is a
- 17 little bit different.
- There is not a pocket. There is
- 19 just an opening. So, the item -- the object is
- 20 hovering within that opening in the table, so
- 21 that the -- so that this object, the book, is
- 22 surrounded around its perimeter by the thickness

- of the table, of the desk, but it is not -- there
- 2 is nothing -- no part of the desk is on, you
- 3 know, is around either end of the top of the
- 4 bottom of the book.
- 5 Do you understand what I am saying?
- 6 A. I think so.
- 7 Q. So, basically you have the pad and
- 8 then you have a flat surface -- not just a flat
- 9 surface but a flat desktop around the pad.
- 10 And there is just an opening that
- 11 the pad fits into so that only the edges of the
- 12 pad are surrounded by the material and not the
- 13 top or the bottom.
- Would that pad be embedded in?
- MR. BISENIUS: Objection, relevance.
- 16 THE WITNESS: So, yeah, if there was
- a hole through the table and extending
- through to the bottom, and I, somehow the
- book part way into the hole, I think one of
- 20 ordinary skill would say the book is partly
- set in or partly surrounded by the material
- of the table.

- 1 BY MR. EVERTON:
- 2 Q. So, it would be embedded in?
- 3 A. I think so.
- 4 Q. And maybe to make it easier, let's
- 5 go to Figure 4A of the '257 patent.
- 6 A. Okay.
- 7 Q. And, you can see that under the lead
- 8 422, there is another layer of material, right?
- 9 The bezel.
- 10 Do you see that?
- 11 A. Yeah, I am interpreting that as a
- 12 portion of item 410.
- 13 Q. Right. So there is a layer of bezel
- 14 underneath the lead 422.
- A. Uh-huh.
- Q. What if in Figure 4A, there was no
- 17 layer of bezel there under 422; there is only the
- 18 portions of bezel at the sides of the lead 422.
- Would that lead 422 still be
- 20 embedded in the bezel?
- 21 A. I think one of ordinary skill would
- 22 say that the lead 422 is at least partially set

- 1 in or partly surrounded by the material of the
- 2 bezel, even if that lower slice of bezel were
- 3 absent.
- I think I answered the question you
- 5 asked.
- 6 Q. So, it would be embedded in,
- 7 correct?
- 8 A. It would still be embedded in. It
- 9 would still be partially set in or partly
- 10 surrounded by.
- 11 Q. So, for the pad to be embedded in,
- 12 it doesn't need any enclosure material, above or
- 13 below the pad, so long as there is enclosure
- 14 material around the sides of the pad. Is that
- 15 correct?
- 16 A. Yeah, I think you have just asked
- 17 the same question I answered where you replaced
- 18 bezel with enclosure material.
- I don't think the label on that
- 20 surrounding material affects the way a person of
- 21 ordinary skill would look at this situation.
- 22 But am I misrepresenting your

- 1 question?
- Q. So, I was putting it more in terms
- 3 of the claim language, right. Or enclosure
- 4 instead of bezel. Just what you said.
- 5 Let me just ask it again to make
- 6 sure we are clear.
- 7 So, the pad does not need to have
- 8 enclosure material above or below the pad to be
- 9 embedded in the enclosure so long as there is
- 10 enclosure material around the sides of the pad?
- 11 A. As long as one of ordinary skill in
- 12 the art would agree that the pad is at least
- 13 partially set in or partly surrounded by the
- 14 material or enclosure in this case, then one
- 15 would say it is embedded in.
- 16 Q. And if it is -- if the material is
- 17 surrounding only the sides of the pad, that is
- 18 sufficient for partially surrounded by the
- 19 material, right?
- 20 A. Yeah, I think so.
- 21 Could you restate that just so I am
- 22 sure?

- 1 Q. I need to reset my Realtime here so
- 2 I can --
- 3 A. Sorry about that. I wandered off
- 4 into geometry world for a moment there. I forgot
- 5 your question.
- 6 Q. Okay. So, if the enclosure material
- 7 is surrounding only the sides of the pad, that is
- 8 sufficient for partially surrounding the pad and
- 9 satisfying the embedded in requirement. Right?
- 10 A. So, looking at Figure 4A, if
- 11 item 422 was positioned relative to the bezel as
- 12 shown, except that that thin -- that thinner
- 13 slice of the bezel underneath the pad was gone.
- 14 So, we have this arrangement of the
- 15 pad surrounding by the bezel on the sides but not
- 16 beneath.
- 17 I think one of ordinary skill would
- 18 agree that that would represent the pad being at
- 19 least partially set in or partially surrounded by
- 20 the material of the bezel.
- 21 Q. Okay. So, I think we are clear.
- 22 So, what I am trying to get at is yes, the pad

- 1 can be partially surrounded on its sides, not
- 2 just the top and the bottom, right?
- A. The reason I'm hesitating is I'm
- 4 trying to think of all of the different
- 5 geometries that might fit this circumstance.
- I know in the context of this
- 7 Figure 4A geometry, I agree that the electrode
- 8 422 is surrounded by the bezel, even if that
- 9 underlying curved layer is removed.
- I, honestly, I would want to think a
- 11 little bit about the much more general
- 12 hypothetical because we have explored quite a bit
- of the world of geograph -- or geometry and
- 14 topology today.
- 15 And just sitting here today, I can't
- 16 say that one couldn't come up with something that
- 17 sounded like what you said, but might not seem
- 18 like it is partially set in or partly surrounded.
- But, I think if the question is in
- 20 the context of Figure 4A, you know, I could give
- 21 you the clean answer. The much more general
- 22 question you asked, I just --

- I want to think about that some
- 2 more. It is way outside of anything I have
- 3 considered prior to today.
- And, ultimately, it could be pretty
- 5 vague. I am just, I am just uncomfortable saying
- 6 yes.
- 7 For this case, for your 4A, yes.
- 8 Q. Uh-huh. So, what if we altered
- 9 Figure 4A so that the, that whole bezel part 410
- 10 and the lead 422, those are all flat.
- 11 And then you take away the layer of
- 12 the bezel beneath 422, but you still have the
- 13 bezel 410 on both sides of the lead 422.
- Would that lead 422 still be
- 15 embedded in the bezel 410?
- 16 A. Yeah, I think that is similar to the
- 17 example that you described with the hole in the
- 18 table.
- 19 Q. Uh-huh.
- 20 A. That, you know, the object that is
- 21 positioned in that hole and surrounded by, you
- 22 know, the table in the XY plane around the hole.

- 1 I think one of ordinary skill would
- 2 agree that that, that object could be partly set
- 3 in or partly surrounded by the material of the
- 4 table.
- 5 The only reason I'm hesitating is
- 6 this is a simple curved object. We are talking
- 7 about a flat example. The universe could include
- 8 all kinds of weird crazy shapes, and I might end
- 9 up in a place where I am not so sure.
- But, in this case, the simple curved
- 11 shape of Figure 4A of the example that you have
- 12 given with a flat table with a hole in the middle
- 13 and an object sort of positioned in that hole, I
- 14 think one of ordinary skill in both cases would
- 15 say that the object is at least partially set in
- or partly surrounded by the material of the bezel
- 17 or the table.
- 18 Q. If we turn to Paragraph 37 of your
- 19 declaration. You mention a phrase "embedded
- 20 directly in" from the 2007 --
- 21 A. That is quoting from the patent,
- 22 yes.

- 1 Q. So, you say, "Leads 322 and 324 are
- 2 embedded directly in bezel 310."
- What does that mean, embedded
- 4 directly in?
- 5 A. So, the last sentence or the last --
- 6 you know, the last sentence of that paragraph,
- 7 beginning at the bottom Column 8 in the patent,
- 8 says, "This may allow leads 322 and 324 to be
- 9 embedded directly in bezel 310 without the need
- 10 for additional isolating material which can add
- 11 cost and complexity to the manufacturing
- 12 process."
- 13 So here the notion of being directly
- 14 embedded in is saying that this is done without
- 15 any additional material between the lead and the
- 16 bezel.
- 17 Q. Okay. So, the difference between
- 18 embedded in and embedded directly in, is that
- 19 embedded directly in requires that there is
- 20 nothing between the lead and what it is being
- 21 embedded in.
- Is that what you are saying?

- 1 A. So, embedded in -- embedded directly
- 2 in means that there is, at least interpreting
- 3 this one sentence in the spec, that the leads may
- 4 be embedded directly in the bezel without the
- 5 need for additional isolating material.
- So, one can be embedded in the
- 7 bezel. And these leads are embedded in the
- 8 bezel.
- 9 But they also have this additional
- 10 feature that they are embedded without any
- 11 intervening material. And therefore one could
- 12 call them embedded directly in.
- But, they are still embedded in.
- Q. Okay. So, maybe this goes back to
- 15 our discussion earlier of how embedded in
- 16 includes leads that do not contact the enclosure?
- 17 A. Yeah, it includes both categories,
- 18 leads that have additional isolated material and
- 19 leads that do not.
- 20 Embedded in includes both.
- 21 Q. If an adhesive layer is applied on a
- 22 surface, is that adhesive layer embedded in that

- 1 surface?
- A. The adhesive layer is embedded; is
- 3 that the question?
- 4 Q. Yes, is it embedded in the material
- 5 of that surface it is applied to it?
- 6 A. So, if -- perhaps Figure 3, if the
- 7 leads 322 and 324 are not present.
- 8 So, there is chambers in the bezel,
- 9 some openings part way through or maybe all of
- 10 the way through.
- If I put adhesive in there, you are
- 12 asking me is that adhesive embedded in the bezel?
- 13 O. Sure.
- 14 A. I think one of ordinary skill would
- 15 say that adhesive is at least partially set in or
- 16 partially surrounded by the bezel.
- So, it would be embedded in the
- 18 bezel.
- 19 Q. So, how about a flat surface, if you
- 20 just have a flat surface and you applied adhesive
- 21 to it.
- 22 Would the adhesive be embedded in

- 1 that material of that flat surface?
- 2 A. I think we are back to a case like
- 3 the book on the table. If you have two flat
- 4 parallel surfaces of two objects and that they
- 5 are not embedded in each other in that
- 6 circumstance.
- 7 So, if I poured glue on the table,
- 8 glue is not embedded in the table.
- 9 MR. BISENIUS: Counsel, we have been
- 10 going for over an hour again. Would now be a
- 11 good time for a break?
- 12 MR. EVERTON: Yeah, I will finish up
- with a couple of questions, and then we can
- 14 take a break.
- 15 BY MR. EVERTON:
- 16 Q. So, what if the surface is a curved
- 17 surface and you applied the adhesive to it.
- 18 Is the adhesive now embedded in the
- 19 curved surface?
- 20 A. I think if it is -- the surface has
- 21 the kind of curvature that any one of ordinary
- 22 skill in the art would agree is present, then

- 1 that adhesive on that surface would be embedded
- 2 in that surface just as any other object on that
- 3 surface.
- 4 Q. If some of the adhesive is absorbed
- 5 into the material of the flat surface, is that
- 6 adhesive embedded in the material of that flat
- 7 surface?
- 8 A. I think that is going to be a
- 9 complicated case because that diffusion or, you
- 10 know, intrusion of the adhesive into the surface
- 11 might be seen as redefining the interface between
- 12 the adhesive and the table.
- 13 You have some region that is both, I
- 14 quess.
- So, you know, we are talking about
- 16 an object and another object. And now you've
- 17 made a -- some portion of material that is a
- 18 brand new object on that surface, somehow in that
- 19 layer.
- You know, I think one of ordinary
- 21 skill is going to look at it and, assuming the
- 22 amount of that penetration is small'ish compared

- 1 to the curvatures that we are talking about, it
- 2 would still be embedded within -- embedded in I
- 3 should say.
- 4 If I poured the glue on the table
- 5 and the glue melts entirely into the table and it
- 6 is just no longer obvious what is what anymore,
- 7 then I don't know that there is an obvious
- 8 statement that can be made about whether
- 9 something is surrounded by something or not.
- I mean, if the glue dissolves into
- 11 the table, there is no longer a piece of glue for
- 12 me to talk about, whether or not it is embedded
- 13 or not.
- It is gone. It has been replaced by
- 15 other stuff.
- 16 Q. But, if only portions of the two
- 17 parts intermix, would that make it so that it is
- 18 embedded in?
- 19 A. So, I have a table and I have glue.
- 20 And you are asking me is the glue embedded in the
- 21 table.
- 22 And there is this other layer of

- 1 stuff that has now appeared because of the
- 2 chemistry of interaction between the glue and the
- 3 table.
- 4 Q. Yes.
- 5 A. I think I would ignore that stuff
- 6 and look at, you know, what is the structure of
- 7 the glue, what is that object, and what is the
- 8 table, and apply the same tests we have been
- 9 applying all along.
- 10 Is the glue as it is positioned on
- 11 that surface partially set in or partly
- 12 surrounded by a material.
- 13 And I would distinguish the glue
- 14 from this new stuff that is now some sort of
- 15 table and glue hybrid.
- And I will answer the question about
- 17 whether the glue is embedded and it will depend
- 18 on whether it is set in or whether it is
- 19 partially surrounded.
- Q. But, if it is not a new material
- 21 that is formed between the two and really it is
- 22 just part of the glue is absorbed into the

- 1 surface, would that still be embedded in because
- 2 it is now set into the flat surface?
- 3 A. I just, I want to understand what
- 4 you meant, what is that region where somehow glue
- 5 is absorbed into the table.
- Is that still table or is it glue or
- 7 is it some other mushy intermediate.
- I think I would want to know where
- 9 to draw the boundaries between the two objects
- 10 before I say what is the relationship of one to
- 11 the other.
- 12 Q. And let's say it is glue that has
- 13 been absorbed into the pores of the material of
- 14 the table.
- 15 It hasn't changed form, it has just
- 16 been absorbed.
- 17 A. I think like a lot of these kind of
- 18 gray zone questions that we have been talking
- 19 about, you know, to me it is going to depend a
- 20 little bit on the size of those pores and, you
- 21 know, are we talking about something meaningful
- 22 or not.

- 1 This table looks like it is some
- 2 kind of polished granite or marble.
- 3 It probably has some very tiny pores
- 4 in it, it is hard to see, but it looks like there
- 5 might be some.
- I think a person of ordinary skill,
- 7 if you poured glue on this table and the glue,
- 8 you know, invaded or somehow conformed to the
- 9 micro texture of this table, I don't think they
- 10 would see that as partly set in or partly
- 11 surrounded by.
- 12 If the pores or the features on the
- 13 surface were, you know, sort of big enough to
- 14 matter structurally or if they were intentional,
- like a washboard, for example, or something of
- 16 some of the other examples that we talked about,
- 17 I think probably a person of ordinary skill would
- 18 say that that was partially set in or partially
- 19 surrounding.
- 20 And again, we are, sort of the
- 21 nature of this question has to do with, you know,
- 22 possibly microscopic structural details on the

- 1 surface which, you know, I don't think that is
- 2 the kind of issue anyone is thinking about with
- 3 respect to the figures of the patent.
- We are talking about things that are
- 5 macroscopic, not on the micron scale or things
- 6 that I can't hardly even see with my eye.
- 7 I know it is long-winded. I think a
- 8 person of ordinary skill would look at this table
- 9 and say it is a flat table.
- If I poured glue on it, the glue is
- 11 another flat object. Neither is embedded in the
- 12 other.
- 13 If this table was all scratched up
- 14 and there were big gouges and other kinds of
- scars that were sort of noticeably macroscopic,
- 16 and you poured glue on the table and it filled in
- 17 those gouges and scratches, then I think they
- 18 might say that is partly set in.
- But, neither of these examples
- 20 relate to any of the prior art or illustrations
- 21 of the patent or as I understand what anyone of
- 22 ordinary skill would think about the details in

```
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 1
     these patents.
 2
                  MR. EVERTON: Okay. I think we can
         take a break. Do you want to do five or
         10 minutes?
 4
 5
                  THE WITNESS: I would say five.
 6
                  MR. EVERTON: Okay.
 7
                  MR. BISENIUS: Sounds good.
 8
                   (Recess taken -- 2:51 p.m.)
 9
                   (After recess -- 2:58 p.m.)
10
     BY MR. EVERTON:
                  So, I'm thinking of another example
11
            0.
12
     of something that is molded into something else.
13
                  So, for example, if we take a
14
     toothbrush and, you know the toothbrushes how
15
     they have the grip on them?
16
                  Uh-huh.
            Α.
                  That material?
17
            Q.
18
            Α.
                  Okay.
19
                  So, if the grip is molded into the
            Q.
20
     toothbrush, is that grip embedded in that
21
     toothbrush?
                  I would love to see a cross-section
22
            Α.
```

- 1 just to get a sense of, you know, where is the
- 2 boundary between the brush and the grip in this
- 3 process.
- 4 If -- you know, at least the
- 5 toothbrush I used this morning, I think, the
- 6 brush handle has kind of a, you know, sort of a
- 7 chamber removed. And the molding fills that up
- 8 and extends outward with the rough surface.
- 9 I think the part of that is kind of
- 10 within the chamber of the handle of the
- 11 toothbrush. I think anyone would agree that it
- is consistent with this definition of embedded
- 13 in.
- 14 Which is to say that it is -- hold
- on, I want to get it exactly right here.
- 16 Partially set in or partially surrounded by the
- 17 handle of the brush.
- 18 Q. Can you think of any --
- 19 A. But if it opened up this flat on the
- 20 table and I filled that pocket up with glue or
- 21 some other material, then I think the glue would
- 22 be seen as partially set in or partly surrounded

```
Page 164
     by the table as is filling up this pocket in the
 1
     table.
 3
                  MR. EVERTON: Uh-huh. Let's look at
         Exhibit 2006.
 4
 5
                   (Whereupon, previously marked
                      Exhibit 2006,
 6
 7
                      first referral.)
 8
                  MR. EVERTON: If we can pull that
 9
         up. If we can go to the next page. Keep
10
         going.
                 There we go.
11
                  We are going to go to the
         definition.
12
                  THE WITNESS: 2016?
13
14
     BY MR. EVERTON:
15
            Q.
                  2006. The definition of embedded or
16
     embed. There on the left-hand side.
17
                  So, do you have that in front of
18
     you, Exhibit 2006?
19
                         Actually, it is easier for me
                  I do.
20
     to read on the screen than what I have in my
21
     hand.
22
            Q. Yeah, it is nice when he zooms in
```

- 1 like that.
- Okay. Can you read Definition B for
- 3 embed or embedded?
- 4 A. "To make something an integral part
- 5 of."
- 6 O. Is this definition B consistent with
- 7 the ordinary meaning of embedded in?
- 8 A. I think it is vague.
- 9 Q. What do you mean by that?
- 10 A. You know, an object can be an
- 11 integral part of another object in many ways that
- 12 are not related to geometries.
- 13 You know, this integral part -- the
- 14 CEO of our company is an integral part of our
- 15 team.
- You know, integral language is, I
- 17 think quite broad, almost to the point where it
- 18 doesn't particularly help here.
- I mean, I understand when something
- 20 is partially set in or partly surrounded by.
- But an integral part of, it gets,
- 22 you know -- you are talking about, you know,

- 1 relationships and importance of things and not so
- 2 much geometry that one of ordinary skill would
- 3 understand how to interpret.
- 4 MR. EVERTON: Now if we look to
- 5 Exhibit 2005, and if we go to the definition
- of embedded.
- 7 (Whereupon, previously marked
- 8 Exhibit 2005,
- 9 first referral.)
- 10 BY MR. EVERTON:
- 11 Q. So, it says for the definition of
- 12 embedded, "That which has been incorporated into
- 13 a surrounding mass or enclosure. For example, an
- 14 embedded electronic component or device. Also
- 15 that which has been included within a larger
- 16 entity."
- 17 Is this consistent with the plain
- 18 and ordinary meaning of embedded in?
- 19 A. I think being incorporated into a
- 20 surrounding mass or enclosure is the same as or
- 21 understandably the same as at least partially set
- in or partly surrounded by an enclosure.

- 1 Q. Okay. So, this definition you think
- 2 is a reasonable definition for embedded in this
- 3 context; is that right?
- 4 A. I think the more elegant and compact
- 5 definition is that at least partially set in or
- 6 partly surrounded by a material.
- 7 That particular structure has
- 8 allowed you and I to have a lengthy conversation
- 9 about many geometric situations, you know, where
- 10 we have talked about curved and flat surfaces and
- 11 all of the rest.
- 12 I think this language incorporated
- 13 into a surrounding mass or structure is a little
- 14 less particular or precise.
- That leaves open some places where a
- 16 person of ordinary skill might be uncomfortable
- 17 making decisions.
- 18 Like to me, a person of ordinary
- 19 skill can think of every single example we have
- 20 discussed over the last several hours and make a
- 21 pretty reasonable judgment about whether it is at
- least partly set in or partly surrounded by.

- 1 Q. But this definition of embedded here
- 2 at least is not inconsistent with what you think
- 3 is the plain and ordinary meaning of embedded in?
- 4 A. I would say it is a little less
- 5 clear.
- 6 One being incorporated into a
- 7 surrounding mass or enclosure, I think, the
- 8 question I ask is, give me an example. Is it
- 9 partially set in or partially surrounded by.
- 10 And I think I will know, for
- 11 example, how to interpret that in many contexts,
- 12 such as the thing we have covered in the last
- 13 four hours.
- 14 Incorporated into a surrounding mass
- 15 or enclosure.
- I mean, I think these mostly
- 17 overlap. I like the one that we have been
- 18 talking about. I think it makes it, you know,
- 19 geometrically very clear. Partly set in, partly
- 20 surrounding.
- I think those are precise words that
- 22 are incorporated into overlap with, but I have to

```
Page 169
     think about lots of hypotheticals and geometries
 1
     to get comfortable with the incorporated into
 3
     phrase here.
                   MR. EVERTON: So, let's turn to
 4
         Exhibit 1006.
 5
                   (Whereupon, previously marked
 6
 7
                       Exhibit 1006,
 8
                       first referral.)
 9
     BY MR. EVERTON:
10
            Q.
                   This is the Mills reference.
11
            Α.
                   Okay.
12
                   We can look at Figure 1 of Mills.
            Ο.
13
                   Figure 1 of Mills.
            Α.
14
            Q.
                   Are you familiar with this Figure 1
15
     of Mills?
16
            Α.
                   Yes.
17
```

- And is item 18 in Figure 1 of Mills Q.
- 18 described as a bezel and a gasket 18a for the
- 19 bezel?
- 20 Α. All right. Yes. So, let's see.
- Item 18, is described as a bezel with associating 21
- 22 gasket 18a.

- 1 And Column 3. That is right around
- 2 item 12.
- 3 Q. Okay. And then item 12 in Figure 1
- 4 is described as a housing. Correct?
- 5 A. That's correct.
- 6 Q. As shown in Figure 1, do the bezel
- 7 and its gasket fit into a portion of the housing
- 8 12?
- 9 A. All right. I am caught up here.
- 10 Could you ask that question again?
- 11 Q. Sure. And it may be helpful to look
- 12 at Figure 2 as well of Mills.
- Do the bezel 18 and its gasket fit
- 14 into a portion of the housing 12?
- 15 A. I think that is how I would
- 16 interpret Figure 2.
- 17 Q. So, how does the bezel 18 connect to
- 18 the housing 12?
- 19 Can you tell from Figure 2?
- 20 A. I know the gasket plays some role in
- 21 forming that connection.
- This spec doesn't really describe

- 1 the role of the gasket and the bezel.
- 2 But I suspect that together they are
- 3 attempting to form a waterproof seal around the
- 4 bezel as it goes into the housing.
- 5 I would interpret that there might
- 6 be a ledge that the gasket and the bezel drop
- 7 onto and then are kind of flush to the outer
- 8 surface of the housing when it is finished.
- 9 It looks like that is what is shown
- 10 in Figure 1.
- 11 Q. So, does the bezel 18 drop down into
- 12 that portion of the housing 12?
- 13 A. I believe so.
- 14 Q. So, when assembled, the bezel 18
- 15 fits within that opening in the housing, right?
- 16 A. I believe so.
- 17 Q. And does the housing 12 surround the
- 18 outer edge of the bezel 18 and its gasket?
- 19 A. I think that is a reasonable
- 20 interpretation of these two illustrations and the
- 21 description.
- 22 Q. At least around the sides of the

- 1 bezel it is surrounded by the housing 12. Right?
- 2 A. I am -- you know, it would be nice
- 3 to have a cross-section of Figure 1.
- 4 But, I am, I am going to agree that
- 5 I think a person of ordinary skill in the art
- 6 looking at these three-dimensional renderings
- 7 would say that the bezel and the gasket are
- 8 fitting into that portion of the housing.
- 9 Q. And the bezel 18 is set in that
- 10 portion of the housing. Correct?
- 11 A. I believe that is fair.
- 12 O. And the bezel 18 is at least
- 13 partially surrounded by the housing 12, correct?
- 14 A. When the assembly is complete, yes,
- 15 as shown in Figure 1. I think so, yes.
- 16 Again that cross-section would make
- 17 that clear. But, I think that is a reasonable
- 18 interpretation of the illustrations.
- 19 Q. So, bezel 18 is embedded in the
- 20 housing 12 when assembled, correct?
- 21 A. I think that is fair, yes.
- Q. Now, housing 12, is that part of an

- 1 enclosure?
- 2 A. I think that is fair.
- 3 Q. And can you explain to me what parts
- 4 of this embodiment in Figures 1 and 2 of Mills
- 5 are a part of the enclosure?
- 6 A. So, we are talking about how the
- 7 elements of Figures 1 and 2 map to the terms in
- 8 the Claim 1 of the '257.
- 9 Q. Well, the term "enclosure".
- 10 A. Uh-huh.
- 11 Q. And what an enclosure is and what
- 12 parts of Mills Figure 1 and Figure 2, what parts
- of that device are a part of the enclosure?
- 14 A. So, you are asking me to map the
- 15 terms of Claim 1 on to this piece of prior art
- 16 and render my opinions about which elements line
- 17 up with which terms?
- 18 Q. I am just asking about the
- 19 enclosure.
- 20 A. I think on my side, Mr. Oslan and
- 21 also Masimo have provided a couple of documents
- 22 that talk through possible mappings of the

- 1 elements of Figures 1 and 2, onto the terms of
- 2 Claim 1.
- I am happy to share my opinions
- 4 about those choices. I don't think it is -- I
- 5 don't think I have been asked to do the work of
- 6 that mapping myself.
- 7 I have been asked to render opinions
- 8 on the mappings proposed by Masimo and by
- 9 Mr. Oslan. I didn't, I didn't build my own claim
- 10 chart.
- 11 Q. So, you confirmed that the housing
- 12 12 is part of the enclosure.
- 13 Is the bezel 18 part of the
- 14 enclosure?
- 15 A. I think it could be. I think if we
- 16 are talking about the terms of Claim 1, one might
- 17 decide to map 18 to other elements.
- 18 O. How about electrode 16 there at the
- 19 bottom of the device, could that be part of the
- 20 enclosure?
- 21 A. So, Claim 1 requires an enclosure
- 22 and a heart sensor and then defines elements of

- 1 the heart sensor that might include items 16 or
- 2 14 or 18.
- I, again, I don't think -- maybe I
- 4 am misunderstanding my job here today.
- 5 But, I don't think it is my job to
- 6 present a mapping of this reference onto the
- 7 claim. I think I am happy to discuss the
- 8 mappings proposed by Masimo and Mr. Oslan.
- 9 But, I don't think it is my job to
- 10 propose my own mapping front to back.
- 11 Q. Do you have an understanding of what
- 12 enclosure means in the context of the '257
- 13 patent?
- 14 A. I do.
- 15 Q. And could item 16, the electrode
- 16 there, be part of an enclosure?
- MR. BISENIUS: Objection, asked and
- answered.
- 19 THE WITNESS: I -- you know, if
- 20 counsel wants to jump in.
- I think my job is to answer
- 22 questions about these terms in the context of

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1	these assignments that have been made by
2	Masimo and Mr. Oslan and not to propose my
3	own set.
4	I wasn't asked to do that work. I
5	don't have there is no place in my report
6	where I go through and say what I think the
7	appropriate mapping from one to the other
8	might be.
9	Frankly, I don't think there is a
10	complete mapping that makes sense which is
11	part of why I don't think Mills anticipates
12	the '257 patent.
13	I am happy to look at proposals by
14	Masimo and by Mr. Oslan and explain why I
15	think the mappings don't add up.
16	But, I don't want to be stubborn or
17	obnoxious here. I just don't think that I
18	have been asked to build my own mapping and
19	defend it.
20	Frankly, I don't think such a
21	mapping exists. And the proposed mappings
22	that I have seen don't do the job.

- 1 BY MR. EVERTON:
- Q. Right. And I'm not asking you to do
- 3 a mapping.
- 4 I'm simply asking if a part shown in
- 5 Mills could be characterized as a part of the
- 6 enclosure of this device in Mills?
- 7 A. I think the answer to that depends
- 8 on whether that part is also going to be used to
- 9 be part of a lead or a pad or other elements of
- 10 the rest of the claim.
- I don't think a part can be two
- 12 things at the same time. So, the answer to
- 13 whether item 14 can be part of the enclosure, I
- 14 think depends on whether item 14 is also
- 15 responsible for other roles.
- And then I would say the answer to
- 17 your question depends upon whether you are going
- 18 to be assigning other roles to that same part or
- 19 not.
- 20 And I don't know yet, because I
- 21 wasn't asked to do that mapping.
- 22 Q. So, let's just say that item 16 is

```
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     not an electrode and has no other function.
 1
 2
                  Could it be part of the enclosure?
                  MR. BISENIUS: Objection, relevance.
 4
                  THE WITNESS: Are we talking about
 5
         an enclosure outside of the context of
 6
         Claim 1, just the general meaning of a word,
 7
         or are we talking about the enclosure that is
         a required element of Claim 1?
 8
     BY MR. EVERTON:
 9
                  We are talking about an enclosure in
10
            Ο.
11
     the context of the '257 patent. And we are not
12
     assigning any other function to item 16.
13
                  If there is no other assigned
14
     function or mapping, as you put it, could item 16
15
     be part of an enclosure of this device in Mills?
16
                  MR. BISENIUS: Objection, relevance.
17
                  THE WITNESS: So, the question is,
18
         Figure 2, can the structure number 16 on the
19
         bottom be considered to be part of the
20
         enclosure.
                  I think it could be.
21
22
     BY MR. EVERTON:
```

- 1 Q. Would it be the bottom part of the
- 2 enclosure that encloses the device from the -- I
- 3 guess it would be the bottom of the device.
- 4 A. It would be the bottom as I already
- 5 said of Figure 2. It is the top in Figure 3.
- But, I think we are saying the same
- 7 thing.
- 8 Q. How about electrode 14.
- 9 If we were not assigned to any other
- 10 mapping, as you put it, could electrode 14 be
- 11 part of the housing -- or part of the enclosure?
- 12 Excuse me.
- 13 It has been a couple of minutes. Do
- 14 you need me to --
- 15 A. Sorry. I just, you know, in this
- 16 context here. So, what was the question again?
- 17 Q. If it were not assigned -- so, we
- 18 are talking about electrode 14.
- 19 So, if electrode 14 were not
- 20 assigned to any other mapping, as you put it,
- 21 could electrode 14 be part of the enclosure of
- 22 this Mills device?

```
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 1
                  MR. BISENIUS: Same objection.
         Relevance.
                  THE WITNESS: So, if we could go to
         the portion of my report that talks about one
 4
 5
         of the mappings in which this electrode is
         the first portion of the enclosure and my
 6
 7
         explanation for why that doesn't make any
 8
         sense.
     BY MR. EVERTON:
 9
10
            Ο.
                  And if electrode 14 weren't being
11
     mapped to an electrode or any other claim
12
     limitation other than the enclosure, could it be
     part of the enclosure?
13
14
                  And we are not talking about the
15
     first portion but just some other part?
16
                  Correct. Could electrode 14 be part
            0.
     of the enclosure?
17
18
                  So, in my report I explain that I
     don't think it can be the first portion of the
19
     enclosure as described in the claim. Or the
20
     second portion, I think for all the same reasons.
21
22
                  You are asking could it be some
```

- 1 other portion, I think.
- 2 Q. I'm just asking if electrode 14
- 3 could be a portion -- considered a portion of the
- 4 enclosure, along with the housing.
- 5 A. And my answer is, it couldn't be the
- 6 first or second portions described in the claim.
- 7 It could be some other portion, I
- 8 suppose, but it doesn't line up with the first or
- 9 second portion recited in the claim.
- 10 Q. So, the electrode 14 could be
- 11 considered a part of the enclosure because it
- 12 encloses parts of the device; is that correct?
- 13 A. I think as long as we are in
- 14 agreement that it doesn't play the role of any
- other elements of the claim, it is just part of
- 16 the housing, I could agree with that.
- I think if it begins to be assigned
- 18 any other roles associated with any of the other
- 19 claim terms such as lead, add, first portion of
- 20 enclosure, et cetera. Then we have problems.
- 21 But, just as a generic ancillary
- 22 portion of the enclosure, with no other role or

- 1 no relationship to the claim outside of the
- 2 enclosure term, okay.
- 3 Q. Are you aware of anywhere in the
- 4 '257 patent that requires the enclosure to have a
- 5 certain thickness?
- A. I don't believe there is any
- 7 specified dimensions. I might be wrong but I
- 8 don't remember them. I am not finding them.
- 9 Q. Can the enclosure have a different
- 10 thickness for different parts of the enclosure?
- 11 A. I think it could. There is nothing
- in here that specifically describes the thickness
- 13 of parts relative to each other.
- But, I think one of ordinary skill
- would understand that there could be some thin
- 16 and thick parts.
- 17 It cannot seem that explicit, but
- 18 the illustrations don't show any particular
- 19 examples of things being thick or thin.
- But, these are not -- they are not
- 21 engineering drawings so parts could be relatively
- 22 thin or thick.

- 1 The thickness, I think it would be
- 2 impractical for the thickness to be more than
- 3 millimeters in order to keep this whole product
- 4 of a reasonable size.
- 5 And I think for things to be
- 6 structural, part of an enclosure, and withstand
- 7 handling from the outside and other forms of
- 8 forces and pressures applied.
- 9 So, there would be a minimum
- 10 thickness that I think would be, you know,
- 11 a millimeter, 2 or 3.
- 12 Q. But that thickness that you are
- 13 talking about, that minimal thickness, that
- 14 wouldn't necessarily have to apply to every part
- 15 of the enclosure, would it?
- 16 A. I think if these are parts that are,
- 17 you know, sort of have outside surfaces that are
- 18 subject to the kinds of things that happen on the
- 19 outside of a product like this, you would want
- 20 them to be thick enough to withstand the everyday
- 21 use of the product, which includes touching,
- 22 rubbing, putting it in your pocket, taking it

- 1 back out, dropping it, picking it up, et cetera.
- 2 You would want those structures to
- 3 be thick enough relative to their length and
- 4 width to be stiff and therefore not fracture or
- 5 fragment during normal handling.
- And even in the Mills patent, again
- 7 there is no scale bar, no engineering dimensions.
- 8 But I think one of ordinary skill
- 9 would understand that these structures that form
- 10 the enclosure of the product have to be thick
- 11 enough to survive the use of the product.
- 12 Q. Going back to the claims of the '257
- 13 patent.
- 14 You are not aware of anywhere in the
- 15 claims of the '257 patent that requires a certain
- 16 thickness for the enclosure, correct?
- 17 A. Yeah, there is no explicit
- 18 dimensions in the claim.
- 19 I think one of ordinary skill would
- 20 understand that the structures that played these
- 21 roles in the product can't be so thin that they
- 22 would fall apart immediately.

- 1 Q. What is the function of the
- 2 enclosure in this type of a device?
- A. I suspect there is many functions.
- 4 But, the one that I think you are probably
- 5 interested in is to provide a container or a
- 6 package for the interior that protect all of that
- 7 from moisture, dust, other things that might
- 8 otherwise get in and cause problems.
- 9 Q. Right. So, it is basically to
- 10 enclose the parts -- other parts of the device;
- 11 is that right?
- 12 A. Well, to enclose them and provide
- 13 some protection, I think, in the context of these
- 14 wearable products or the handheld product of the
- 15 '257.
- 16 Q. Is item 14 an electrode in this
- 17 Mills embodiment?
- 18 A. You are asking me if Mills calls it
- 19 an electrode?
- 20 O. Yes.
- 21 A. I believe Mills calls it an
- 22 electrode, item 14.

- 1 Q. And what is the part of the device
- 2 that is positioned around that electrode 14?
- 3 Is that the housing 12?
- 4 A. Yes. My understanding of Figures 1,
- 5 2, and 3, is that 14 is placed flush with that
- 6 surface of the housing.
- 7 Q. So, the electrode 14 is positioned
- 8 within that opening in the housing 12, right?
- 9 A. I think so.
- 10 Q. And housing 12 surrounds those outer
- 11 edges of electrode 14, right?
- 12 A. That is my understanding of these
- 13 drawings.
- 14 Again, as with 18 that we discussed
- 15 previously, I would love a cross-section.
- But, I think that is a reasonable
- interpretation of Figures 1, 2, and 3.
- 18 Q. So, electrode 14 is at least
- 19 partially surrounded by the housing 12, correct?
- 20 A. I think so, yes.
- 21 Q. And the electrode 14 is set in that
- 22 portion of the housing 12 as well. Right?

- 1 A. I -- yeah, I suppose. This is
- 2 definitely surrounded by the housing 12.
- 3 Q. So, electrode 14 is embedded in the
- 4 housing 12 as shown in Figure 1 and Figure 2,
- 5 correct?
- A. That is correct.
- 7 Q. Looking at Figure 3A, if we can turn
- 8 to the next figure of Mills Exhibit 1006.
- 9 Is item 16 an electrode in Mills?
- 10 A. Mills calls item 16 an electrode, I
- 11 believe, yes.
- 12 O. How does that electrode 16 attach to
- 13 the housing 12 as shown in this Figure 3A?
- 14 A. I see the four screws that attach
- item 16 to the housing 12 on the bottom of
- 16 Figure 3A.
- 17 O. Does the electrode 16 fit within a
- 18 groove in the housing 12 when it is assembled?
- 19 A. It is a little hard to say from the
- 20 illustrations provided. It is really only this
- 21 figure that gives any depiction of what that
- 22 looks like.

- 1 Q. But, there is a recess in the
- 2 housing part 12 that receives the electrode 16,
- 3 correct?
- 4 A. All I'm going on is this drawing.
- 5 There is no written description of the recess
- 6 that we are talking about here. So, I understand
- 7 it in the patent.
- 8 Q. So, based on the drawing you can see
- 9 that there is a recessed area there that the
- 10 electrode 16 corresponds to and fits in when it
- is assembled to the housing 12. Correct?
- 12 A. It is not absolutely clear. I think
- 13 most persons of ordinary skill looking at this
- 14 would probably arrive at that conclusion.
- But, there is no cross-section to
- 16 verify and there is no written description of the
- 17 thing you are calling a groove.
- 18 It is -- this is an artist's
- 19 rendering of the structure. If that is a
- 20 reasonable interpretation, I can't say for sure.
- But, I can't say that that is the
- 22 wrong interpretation. I just don't know for

- 1 sure. It is more likely than not, I would say.
- 2 I don't know if that is a good
- 3 enough answer for you or not. But, that is, I
- 4 think that is the best I can do here.
- 5 Q. So, when the electrode 16 is
- 6 attached and this assembly is assembled, the
- 7 electrode 16 becomes recessed down into a portion
- 8 of the housing 12 inside that groove that we were
- 9 discussing, correct?
- 10 A. Maybe. Since I can't say yes or no.
- 11 More likely than not would be my answer.
- 12 Q. Okay. And so, if you are looking at
- 13 this Figure 3A of Mills, you will see in the
- 14 corners, right, that there are these screw holes.
- 15 And then there is a shape around the screw holes
- 16 in the housing that is recessed and that
- 17 corresponds to the shape of the corner portions
- 18 of the electrode 16, right?
- 19 A. That is an interpretation of all of
- 20 this. You know, it is, again, I think more
- 21 likely than not, but I can't say with 100 percent
- 22 certainty if -- and I don't think this was the

- 1 focus of the patent, either, the Mills patent.
- 2 Or they might have included either a
- 3 written description or a cross-section to
- 4 illustrate the relationship of all of these
- 5 parts.
- I think the intent is for item 16 to
- 7 be attached to item 12 and to, you know, form an
- 8 enclosure to protect the part.
- 9 So, is it recessed or not? I can't
- 10 say for sure, but I would say it is more likely
- 11 than not.
- 12 Q. Okay. So, let's go with that more
- 13 likely than not interpretation of the groove and
- 14 that it would be recessed.
- And so, the -- when item 16, the
- 16 electrode is attached to the housing and
- 17 recessed. Housing 12, the outer portion of
- 18 housing 12 would surround the electrode 16 when
- 19 they are assembled, correct?
- 20 A. If -- yes. If 16 and the perimeter
- 21 of it ends up inside of a groove, you know, so
- 22 that there is a lip of the housing that extends

- 1 up over the edges of electrodes 16 at the end,
- 2 then I think one of ordinary skill would say that
- 3 item 16 is surrounded by, or at least partially
- 4 surrounded by a portion of the housing.
- 5 Q. And the electrode 16 when assembled
- 6 would be set in the material of the housing 12,
- 7 correct?
- 8 A. Again, with all of the caveats and
- 9 the more likely than nots of prior answers, I
- 10 think so, yes.
- 11 Q. And so electrode 16 would be
- 12 embedded in the housing 12, correct?
- 13 A. I again think that is more likely
- 14 than not.
- 15 Q. Let's go to Paragraph 156 of your
- 16 declaration.
- 17 A. Okay.
- 18 Q. In this Paragraph 156, you discuss a
- 19 leaf spring 62 in Figure 2 of the Mills
- 20 reference. Is that correct?
- 21 A. That's correct.
- Q. What is the purpose of the leaf

- 1 spring 62 in Mills' device?
- 2 A. In Mills, in the bottom of Column 4,
- 3 it says, "Upper electrode 14 is connected to the
- 4 other signal input terminal of the ECG amplifier
- 5 32 via a generally trapezoid-shape, split and
- 6 thus slidably yielding, leaf spring connected to
- 7 a circuit pad formed on the top side of PCB 50."
- 8 Q. And why would a person of skill use
- 9 a leaf spring like this in this situation?
- 10 A. We address that in my report. Take
- 11 me a moment to find that.
- So, Paragraph 209 on Page 118,
- 13 describing the Markel Mills combination which
- 14 Mr. Mills asserts would utilize leaf springs for
- 15 the internal connections in the structure of
- 16 Markel.
- And, my opinion, and I think one of
- 18 ordinary skill in the art at the time of this
- 19 patent would understand, the leaf springs can
- 20 provide that kind of electrical contact but that
- 21 flexible cables -- fairly thin-diameter flexible
- 22 diameter cables with compact pins and receptacles

- 1 were the state of the art at that time.
- 2 Inexpensive, reliable, easily arranged within a
- 3 structure like that. Don't require sort of a top
- 4 to bottom linear arrangement of the components of
- 5 the electrical path.
- 6 So, a lot more flexibility in
- 7 design.
- 8 I think a person of ordinary skill
- 9 in the art would consider a leaf spring and then
- 10 use the flexible cable instead.
- 11 Q. Are there any things that the leaf
- 12 spring would provide that a flexible cable would
- 13 not?
- 14 A. There would be a different assembly
- 15 process, different steps, perhaps in a different
- 16 order.
- But, I think in general if you take
- 18 any of these products apart at that time and you
- 19 looked inside, you would find a lot of flexible
- 20 cables and maybe rarely a leaf spring someplace.
- But, mostly only in places where you
- 22 might be, for example, replacing a battery, like

- 1 a coin cell such as shown in part of Mills.
- 2 Just that the springs are useful for
- 3 those kinds of removable reassembleable parts by
- 4 the user.
- 5 But for anything that is intended to
- 6 stay on electrical contact over the life history
- 7 of the product, I think one of ordinary skill in
- 8 the art would have used flexible cables.
- 9 Q. You mentioned that the leaf spring
- 10 can provide like a springing contact -- yeah, I
- 11 think you mentioned batteries or something.
- 12 So, what is it that the spring part
- of a leaf spring provides to a design?
- 14 A. It exerts a force along the axis of
- 15 the contact.
- So, for example, in Mills, the coin
- 17 battery shown on the inside, you will find it is
- 18 item B in Figure 3A. That is possibly something
- 19 that the user would need to remove and replace
- 20 periodically.
- 21 And so, this would allow the user to
- 22 go in perhaps remove those four screws, pop out

- 1 the battery, drop in a new battery.
- 2 Put 16 back on top and attach the
- 3 screws again and be reasonably confident that the
- 4 electrical contacts that are necessary between
- 5 the battery and all of the other structures would
- 6 be in place without the user having to go in and
- 7 think about electrical contacts.
- 8 Q. So, is it your opinion that leaf
- 9 springs and spring contacts are generally
- 10 interchangeable?
- 11 A. I am thinking about why they
- 12 wouldn't be. So give me a moment.
- I can imagine mechanically designing
- 14 a leaf spring so that you get this slidably
- 15 yielding electrical contact, described right at
- 16 the top of Column 5 of Mills.
- 17 That having such a thing might
- 18 occasionally help restore the electrical contact
- 19 to its best electrical properties by sliding and
- 20 therefore maybe breaking off or rupturing through
- 21 any oxide layers that might have formed on those
- 22 surfaces.

- 1 I am extrapolating from the phrase
- 2 "slidably yielding" in Mills to think of why that
- 3 might be useful.
- 4 Mills doesn't explain any further.
- 5 But, nowadays it is easy to build electrical
- 6 contacts in products like this that have some
- 7 kind of noble metal or other metal on the surface
- 8 that don't form oxides the way a copper contact
- 9 might.
- 10 And therefore, the need for a
- 11 slidable yielding leaf spring might have gone
- 12 away.
- 13 O. And could that slidable leaf spring
- 14 be beneficial in wearable devices where there is
- 15 a lot of movement of the device?
- 16 A. I think in general you don't really
- 17 want the interior of a product like this moving
- 18 around. That leads to wear and failure.
- 19 I think one of ordinary skill would
- 20 much prefer a flexible cable with these kind of
- 21 male/female pin connectors and have the surfaces
- 22 of the electrodes made of materials that don't

- 1 form oxide barriers so that the contacts are well
- 2 maintained through the life of the product.
- 3 The idea of moving parts on the
- 4 inside of a structure to help overcome various
- 5 kinds of failure strikes me as doing more harm
- 6 than good in general.
- 7 Q. And you can't think of any other
- 8 reasons why they would have included this
- 9 moveable leaf spring in the Mills design?
- 10 A. At the time of the invention, I
- 11 think one of ordinary skill would have considered
- 12 flexible cables.
- But, my sense of the drawings in
- 14 Mills is that this provides a way to make an
- 15 electrical connection from the electrode on the
- 16 outside of the package to the circuit board on
- 17 the inside that is different than doing it with a
- 18 cable.
- 19 Q. Okay. So, it is your opinion that
- 20 the moveable leaf spring would have been
- 21 interchangeable with the cable connections that
- 22 you have described?

- 1 A. I mean, they perform some of the
- 2 same functions. But, I think by the time of the
- 3 '257 patent, which dates back to 2008, I think as
- 4 far as its history of provisionals, et cetera --
- 5 you know, that is 14 years after the Mills
- 6 patent.
- 7 Over that 14 years, the
- 8 manufacturing of thin flexible cables became the
- 9 way to go. I think by the time of the, of the
- 10 '257 patent, anyone at that time might look at
- 11 Mills and say okay, huh, that is interesting. I
- 12 would rather use a flex cable.
- 13 That is how I think a person of
- 14 ordinary skill would respond at the time of the
- 15 '257 patent.
- Back in 1994, 14 years earlier,
- 17 maybe -- sorry. I am doing the math wrong.
- 18 2008 to 1994, yeah, that is
- 19 14 years, sorry. Pardon. My math skills are
- 20 failing me.
- But yeah, I think in 1994, someone
- 22 trying to build something like Mills without the

- 1 benefit of 14 years of improvements in flexible
- 2 cables and timing connectors, at that time maybe
- 3 a leaf spring was a reasonable choice.
- 4 Q. Looking at the leaf spring in Mills,
- 5 in Figure 2 of Mills, Exhibit 1006, you will see
- 6 that it has a top portion, a bottom portion, and
- 7 then angled side portions.
- 8 Do you see that?
- 9 A. I do.
- 10 O. And in the context of the '257
- 11 patent, could the top portion of the leaf spring
- 12 62 be considered a pad?
- 13 A. In the '257 patent, there are, there
- 14 are pads as described in the claims, a first pad
- and a second pad, associated with the first lead
- 16 and the second lead, with quite a number of other
- 17 requirements associated with the surrounding
- 18 structures.
- 19 And to answer your question, I need
- 20 to know are other parts of this limitation in
- 21 place or not.
- Do we have the necessary portions of

- 1 the enclosure and the connectors and all of the
- 2 rest all arranged as prescribed by Claim 1?
- 3 And I think you need to answer all
- 4 of that in order to decide if that portion of the
- 5 leaf spring can be a first pad.
- 6 Q. So, let's start with just looking at
- 7 the context of the '257 patent, so not even the
- 8 claims. Just the description of pads and devices
- 9 in the '257 patent.
- In that context of what a pad is,
- 11 could the top portion of the leaf spring in the
- 12 Mills 62 be a pad?
- 13 A. Not a first pad or a second pad.
- 14 But, just an ordinary everyday pad?
- 15 Q. Correct. A pad of a lead.
- 16 A. You could call it a pad, I suppose.
- 17 I think you've got -- making it a first pad or a
- 18 second pad is problematic. But, you know, on its
- 19 own in the universe of absent everything else,
- 20 ignoring the claim, just as a part of that leaf
- 21 spring something that one might call a pad, I
- 22 suppose.

- 1 Q. How about the bottom portion of that
- 2 leaf spring 62 that is attached to the circuit
- 3 board, would the bottom portion be considered a
- 4 pad?
- 5 A. So, the Mills patent says that the
- 6 leaf spring is connected to a circuit pad formed
- 7 on the top side of PCB 50.
- 8 It doesn't say anything about what
- 9 that bottom portion of the spring is or what it
- 10 is called.
- 11 And really the patent only really
- 12 describes the entire thing as a leaf spring. It
- doesn't describe that top portion on its own in
- 14 any way.
- 15 Q. So, you don't know whether or not
- 16 the bottom portion of the leaf spring 62 could be
- 17 a pad?
- 18 A. It looks like it is connected to a
- 19 circuit pad.
- Q. How about in the context of Claim 1
- 21 of the '257 patent?
- 22 A. We are talking about a first pad?

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 1
                  Yes. Could the bottom portion of
            Q.
     the leaf spring 62 be a first or second pad?
 3
                  MR. BISENIUS: Objection, relevance.
                  THE WITNESS:
 4
                                 I mean, to my
 5
         knowledge nowhere has Masimo or Mr. Oslan
         made that assertion.
 6
 7
                  There are assertions about the top
         portion of the leaf spring being a first pad
 8
 9
         in my report, has a lot to say about why that
10
         is a bad idea. It doesn't work in the first
11
         claim.
12
                  I would assume everything that
         doesn't work for the top portion would also
13
14
         be reasons why the bottom portion couldn't be
15
         a first pad or a second pad.
16
     BY MR. EVERTON:
17
                  So, it is your opinion that the
            0.
18
     bottom portion of that leaf spring 62 is not --
19
     cannot be a first or second pad as claimed in
     Claim 1?
20
21
            Α.
                  I think that is right.
22
            Q.
                  Have you ever designed contacts for
```

- 1 a printed circuit board?
- 2 A. I have, working with my students.
- 3 We use printed circuit boards all the time in our
- 4 research.
- 5 Q. Do you recall if any of those
- 6 circuit boards ever used a leaf spring?
- 7 A. You are saying what? Sorry.
- 8 Q. If any of those used a leaf spring.
- 9 A. Certainly nothing recently would
- 10 have used a leaf spring. You are asking me to go
- 11 back 30 years.
- 12 You know, all of the way back then,
- 13 there can be contacts on the edge of a printed
- 14 circuit board where the connector that goes on to
- 15 those contacts includes sort of spring-like
- 16 features that make that contact onto the
- 17 electrodes of the printed circuit board.
- I am, I would suspect -- in fact I
- 19 am pretty sure that some of the work of students
- 20 in my group, such as Aaron Barsalack, would have
- 21 used a printed circuit board with an edge
- 22 connector that if you looked inside the connector

- 1 you would find things that looked like springs to
- 2 make those contacts.
- 3 Q. What was the purpose of using those
- 4 spring type contacts?
- 5 A. In that case it would be so that he
- 6 could disconnect the connector from one printed
- 7 circuit board and make a connection to another
- 8 circuit board with the same design.
- 9 We have done research for that
- 10 student. He was building devices. Helping him
- 11 on circuit boards and then testing them. And
- 12 along the way destroying them one after another.
- 13 So, it was convenient to have the
- 14 detachable connection between the test item and
- 15 the rest of the system.
- 16 O. Let's look at the Markel reference.
- 17 So, Markel is Exhibit 1005.
- 18 (Whereupon, previously marked
- 19 Exhibit 1005.)
- 20 first referral.)
- 21 THE WITNESS: I will put this all
- 22 back together. Okay.

- 1 BY MR. EVERTON:
- 2 Q. And if the we look at Figure 5 in
- 3 this Markel reference.
- 4 A. Okay.
- 5 Q. Does this Figure 5 of Markel show
- 6 electrodes in parallel planes?
- 7 MR. BISENIUS: Objection, relevance.
- 8 THE WITNESS: Sorry. Say that
- 9 again, parallel planes?
- 10 BY MR. EVERTON:
- 11 Q. Right. Parallel planes. In
- 12 Paragraphs 213 and 214 of your declaration, you
- 13 talk about parallel planes.
- So I'm just wondering if Figure 5 of
- 15 Markel shows electrodes in parallel planes.
- 16 A. Figure 5 shows electrode 520 and the
- 17 description of that.
- There is a first electrode 510, the
- 19 position on the send button, and second electrode
- 20 520 disposed on the back of the mobile
- 21 communication device 500.
- 22 And the question is, are these on

- 1 separate planes?
- 2 Q. The question is, are those two
- 3 electrodes in parallel planes?
- 4 A. I think the electrode on the back,
- 5 520 is probably kind of large and flat.
- 6 So, I understand the plane one might
- 7 ascribe to it.
- 8 The button on the front, the 510
- 9 send button is not on the same plane as 520 on
- 10 the back. But I, you know, the button doesn't
- 11 necessarily define a plane by itself. You know,
- 12 generally you need like three points in space to
- 13 define a plane.
- I think the electrode 520, I could
- 15 take three of the four corners of that, and I
- 16 understand the plane of that is kind of in the
- 17 paper.
- The button on the front, I don't
- 19 really know anything about its shape. And I
- 20 don't know where I would pick the three points
- 21 that would define the plane of that button.
- So, I can't say whether the plane of

- 1 510 and the plane of 520 are parallel or not.
- 2 I have a fairly good idea of the
- 3 plane of 520. The plane of 510, it is hard to
- 4 say.
- 5 Q. Are those electrodes, 510 and 520,
- 6 on opposite sides of the device?
- 7 A. I think so. That is the sense of
- 8 Figure 5.
- 9 Q. Now, if we turn to Paragraph 66 of
- 10 your declaration.
- 11 A. Okay.
- Q. And, let's see.
- You say here in the second sentence,
- 14 "Further, a POSITA would understand that this
- very thin film can only be formed or further
- 16 exist as a layer associated with the electrode
- 17 14a, which precludes any possibility that the
- 18 electrode could be positioned relative to the
- 19 film 14b, or any possibility that this film could
- 20 be a portion of the housing."
- Do you see that?
- 22 A. Yes.

- 1 Q. Are 14a and 14b two different
- 2 things?
- A. So, in Mills, starting the bottom of
- 4 Column 3, referring to Figure 3B, "An electrode
- 5 such as electrode 14 preferably comprises of
- 6 expanse 14a formed of a base metal such as
- 7 stainless steel, with 14a being electrically
- 8 connectable with -- with such monitoring
- 9 equipment, as illustrated in Figures 1 and 2.
- 10 "Plating an outer, skin-contactable
- 11 region or surface 14a of expanse 14a is a
- 12 composition 14b which is shown in greatly
- 13 enlarged thickness in Figure 3B relative to that
- 14 of expanse 14a including a first component or
- 15 preferably biocompatible conductive (metallic)
- 16 element such as titanium and a second element
- 17 chosen from a group called, or including nitrogen
- 18 and a carbon."
- 19 That would describe 14 as having two
- 20 layers, 14a and 14b. 14a being the stainless
- 21 steel structure and 14b being the very thin
- 22 coating of titanium, probably titanium carbide or

- 1 titanium nitride.
- 2 Q. So, 14a and 14b are two layers of
- 3 different materials, correct?
- 4 A. Yes.
- 5 Q. And Figure 3B there, of Mills, shows
- 6 that, at least in that figure, 14a is on top of
- 7 14b. Is that correct?
- 8 A. Sure. In that figure, 14a is on top
- 9 of 14b.
- 10 Q. So, 14a's position is above the
- 11 position of 14b in that Figure 3B. Correct?
- 12 A. So, that is the final position
- 13 because one forms 14b by depositing it on 14a. I
- 14 don't believe there is any option for positioning
- other than that 14b is a film grown on 14a.
- It is never a separate item that is
- 17 positioned. It has a position. But to be
- 18 positioned to me involves a verb, where the two
- 19 things could be moved around relative to one
- 20 another.
- 21 And, 14b never exists until it is
- 22 formed on 14a.

- 1 And then there is -- they have a
- 2 relative position. The claim requires that the
- 3 items can be positioned. That is a verb to me,
- 4 which means they exist separately and can be
- 5 moved around.
- 6 Q. So, is it your opinion that when 14b
- 7 was formed on 14a, it was not positioned on 14a?
- 8 A. I think it is formed on 14a. Once
- 9 it is formed it has a position.
- But, if I am talking about the
- 11 active positioning of an object relative to
- 12 another object, the active involves relative
- 13 movement of one with respect to the other.
- And in this part of my opinion, I am
- 15 asserting that the film B never exists except in
- 16 this final position.
- 17 And that, therefore, it is not ever
- 18 being positioned. It just is there.
- 19 Q. And when it is initially formed and
- 20 put there, that is not being positioned 14b
- 21 relative to 14a?
- That is something different?

- 1 A. I think when it is formed, then it
- 2 has a position.
- 3 But if I am talking about
- 4 positioning one item relative to another, I
- 5 think, the way I read this claim -- we haven't
- 6 had a big construction argument here.
- 7 But, I think where the first patent
- 8 is positioned under the exterior surface of the
- 9 first portion, I think that process of a thing
- 10 being positioned is, there is a verb there.
- 11 There is two things, one is
- 12 positioned under the other.
- 13 Q. So, is it your opinion that the
- 14 claim --
- 15 A. Not formed there. It exists, and
- 16 then it is positioned. But, that is my, that is
- 17 my read on this part of the claim.
- 18 Q. So, is it your opinion that the
- 19 claim, Claim 1, requires the act of positioning
- 20 one part relative to another part?
- It has been a couple of minutes. Do
- 22 you need me to re-ask --

- 1 A. Yeah, I guess I'm kind of pondering
- 2 the sort of intersection between what I
- 3 understand from a person of ordinary skill
- 4 position versus the grammar.
- 5 So, I am firmly behind the idea that
- 6 this thin film cannot exist as a freestanding
- 7 structure.
- 8 And, therefore, it can't be a
- 9 portion of the housing on its own. As an
- 10 unsupported freestanding film, it would fail
- 11 immediately.
- 12 This notion that the two objects
- 13 must be moveable relative to each other, I am
- 14 reading the claim right now as I am sitting and
- 15 reading it today with the benefit of your
- 16 focusing my attention here.
- I can't say for sure that the claim
- 18 absolutely requires that these two elements are
- 19 moveable relative to each other.
- I do stand behind the other part of
- 21 the statement that this very thin film can't be a
- 22 portion of the housing on its own.

- 1 It is insufficient to withstand any
- 2 of the things that a housing would have to
- 3 withstand as a freestanding element.
- 4 Q. Right. And the claim itself,
- 5 Claim 1, doesn't require any act of positioning
- 6 one part relative to another part.
- 7 Is that correct?
- 8 A. I think it is hard to say for sure
- 9 from this grammar whether the two items must be.
- 10 And I will admit that five minutes ago that is
- 11 not what I said. You forced me to think about it
- 12 and I have thought about it. I have read the
- 13 claim carefully.
- 14 First pad is positioned underneath
- 15 the exterior surface of the first portion.
- 16 Yeah, I think it is possible to have
- 17 an arrangement where you don't actually
- 18 physically move them around relative to each
- 19 other and meet the claim.
- Q. Right. They don't need to move
- 21 relative to each other. The claim requires that
- they have a position, right, are positioned?

- 1 A. Yes, this single element wherein the
- 2 first pad is positioned underneath the exterior
- 3 surface of the first portion. Reading it now, I
- 4 don't think that absolutely requires that those
- 5 be separate elements that can be moved around.
- I still think the rest of
- 7 Paragraph 66 stands as far as explaining why the
- 8 item 14b can't be a portion of the housing on its
- 9 own.
- 10 Q. Right. Because it -- that is your
- opinion that the layer itself, the plating is too
- 12 thin to be part of the housing?
- 13 A. It wouldn't be able to perform any
- of the functions that a person of ordinary skill
- would expect to be associated with the housing.
- 16 You couldn't touch it. You couldn't
- 17 blow on it. You couldn't do anything without
- 18 destroying it.
- In fact, it will destroy itself
- 20 pretty quickly, just due to the stress and other
- 21 characteristics of such films, if you ever
- 22 attempted to have them be freestanding.

- 1 Q. Do you see anywhere in Claim 1 that
- 2 requires that the enclosure be a freestanding
- 3 structure, separate from moveable relative to the
- 4 other parts of the device?
- 5 A. I think for something to be a
- 6 portion of the enclosure, it has to be able to
- 7 provide that function on its own.
- 8 This can't provide that. Other
- 9 portions of the enclosure I think clearly, given
- 10 the illustrations, are structures that have
- 11 enough length and thickness and other properties
- 12 that they exist as elements that can be
- 13 assembled.
- But, this element can't survive
- 15 without it being supported by the rest of
- 16 item 14.
- 17 Q. Right. And I am just asking
- 18 generally, with respect to Claim 1.
- 19 Is there anything in Claim 1 that
- 20 requires that the enclosure be a freestanding and
- 21 separate structure from the other parts of the
- 22 device?

- 1 A. I think it is just the word
- "enclosure" and what it means. I don't think
- 3 anyone of skill in the art would look at this
- 4 system and say you have 12, item 12 is an
- 5 enclosure.
- And that oh, yeah, that super thin
- 7 film that you can't even really see, that is the
- 8 part of the enclosure that covers over the giant
- 9 opening and the rest of it.
- I don't think anyone of ordinary
- 11 skill would make that association with their
- 12 understanding of the word "enclosure".
- 13 Q. But there are other parts of the
- 14 device that could be separate parts that are also
- 15 part of the enclosure, other than the housing
- 16 piece there could be, you know, a back side
- 17 housing, other separate parts that could inform
- 18 the enclosure. And they don't -- it doesn't need
- 19 to be one unitary housing that forms the entire
- 20 enclosure, correct?
- 21 A. I agree.
- 22 Q. And it doesn't -- the claims also

- 1 don't require -- Claim 1 doesn't require that the
- 2 enclosure be removable from the electrodes, for
- 3 example? Correct?
- 4 A. You know, I think earlier today we
- 5 talked about an enclosure as being a structure,
- 6 perhaps in pieces, that enclose and protect the
- 7 interior elements from the use of the product,
- 8 the external forces, once you are handling all of
- 9 that.
- This thin film doesn't provide any
- 11 such function.
- 12 Q. Did the claim, either Claim 1 or any
- of the claims that you have analyzed, do they
- 14 require that the enclosure have any particular
- 15 structural rigidity?
- 16 A. No. There are no technical
- 17 parameters like one might find on a data sheet.
- 18 I think one would understand that
- 19 the enclosure is the structure that surrounds the
- 20 elements and has to be functional in ordinary
- 21 use.
- This is a handheld device. I

- 1 guarantee you if I handed you a 3-micron thick
- 2 film of titanium nitride and asked you to touch
- 3 it, it would be gone. You know, it would
- 4 disintegrate instantly upon contact.
- 5 That doesn't sound like a useful
- 6 feature for an enclosure in a handheld device.
- 7 Q. Does every portion of the enclosure
- 8 in the '257 claims need to provide this same type
- 9 of structural integrity?
- 10 A. I think an enclosure has to be a
- 11 useful enclosure for this handheld electronic
- 12 device.
- I think one of ordinary skill in the
- 14 art would expect that.
- 15 Q. Let's look at the '257 patent, at
- 16 Figure 4A again. And we talked about this lead
- 17 422.
- 18 Is that lead 422 part of the
- 19 enclosure of the device shown in 4A?
- 20 A. So, lead 422 is embedded in the
- 21 bezel structure 410.
- 22 Q. Correct. And is 422 the lead part

- 1 of the enclosure of that device?
- A. I think in this case, one of
- 3 ordinary skill would consider the bezel 410 to be
- 4 the enclosure.
- 5 And the lead is embedded in the
- 6 bezel. But, it is the bezel that is the
- 7 enclosure.
- 8 Q. So, the layer underneath lead 422,
- 9 that -- would that be the enclosure for that
- 10 part?
- 11 A. I think so, yes. Yes. It is part
- of item 410, the bezel, which makes up part of
- 13 the enclosure.
- 14 O. And would the lead 422 be part of
- 15 the enclosure because it is embedded in the
- 16 bezel?
- 17 A. You know, it is definitely embedded
- 18 in the bezel.
- Is it -- I don't know that it
- 20 obviously is part of the enclosure. I think the
- 21 bezel 410 is providing that function. 422, if
- 22 you take it away and put it back, you have an

- 1 enclosure whether it is there or not.
- 2 So, I think the enclosure is
- 3 associated with item 410.
- 4 Q. And 422 is not part of the enclosure
- 5 in Figure 4A. Correct?
- 6 A. So, it is embedded in the
- 7 enclosure -- or it is embedded in a portion of
- 8 the enclosure.
- 9 You know, I don't know if there is a
- 10 clean yes or no. I can think of examples of
- 11 items embedded in other items where, you know, my
- 12 book in the desk drawer. That doesn't mean the
- 13 book is part of the desk, necessarily.
- Some of the other examples -- I
- 15 think you could go back and forth. But there
- isn't necessarily a case that an item embedded in
- 17 another item is now part of that other item.
- 18 Q. How about Figure 4B. The electrode
- 19 472 is embedded in the bezel 460, correct?
- 20 A. That is correct. We have said that
- 21 a few times.
- 22 Q. Is the, is that electrode -- well,

- 1 is the bezel 460 part of the enclosure?
- 2 A. I think a person of ordinary skill
- 3 would say that.
- 4 Q. And is the electrode 472 part of the
- 5 enclosure?
- 6 A. I don't think that is a yes or no,
- 7 necessarily.
- 8 Again, the example of the book in
- 9 the desk drawer is -- you know, gives me the same
- 10 understanding. The book in the desk drawer is
- 11 embedded in that desk drawer, but it is not part
- 12 of the desk drawer, not necessarily.
- 13 O. We have been talking about these
- 14 bezels in the different patents here.
- What is a bezel -- to give us some
- 16 background, what is a bezel in the context of the
- 17 '257 patent?
- 18 A. So, Figure 3, and the description on
- 19 the top part of Column 8, described 310 as the
- 20 bezel. And, 312 and 314 are the sides of the
- 21 bezel.
- 22 Q. So, is the bezel kind of that front

- 1 surface that extends around the screen?
- Sorry, did you hear my question?
- 3 A. I'm just looking at the different
- 4 ways that the '257 describes the bezel.
- 5 So, looking at Figure 3. My
- 6 understanding of the language and the claim, that
- 7 the bezel is item 310 including the sides 312 and
- 8 314. And then you also have a display, which on
- 9 Figure 5 is 502. Those are distinct items.
- 10 Q. And the bezel surrounds the display,
- 11 correct?
- 12 A. Again, I don't have a cross-section
- 13 but it looks -- it is the bezel. I'm looking at
- 14 Figures 4. These are side view cross-sections
- 15 that are helpful.
- I just want to be sure that I've got
- 17 the right reference numbers. Yes, so, Figure 4A
- 18 shows the display 402 and bezel 410.
- 19 If I assume this represents the
- 20 structure all of the way around, then I think the
- 21 display is surrounded by the bezel.
- 22 Q. If we go to the Mills reference,

- 1 Exhibit 1006. And look at Figure 1.
- 2 A. One, okay.
- 3 Q. So, does this device shown in
- 4 Figure 1 of Mills include a bezel, as the bezel
- 5 is described in the '257 patent?
- 6 A. It is hard to say.
- 7 I think one of ordinary skill
- 8 reading the '257 would see the enclosure, which
- 9 is labeled 12. I don't really see any obvious
- 10 pieces that I would label as a bezel. It is just
- 11 a little hard to say.
- 12 Q. So, there is not a bezel that
- 13 extends around item 18 as part of the housing?
- 14 A. I think the housing extends around
- 15 18. Yeah, I don't see anything necessarily to
- 16 point to it and say that is a bezel.
- 17 Q. So, it is your opinion that looking
- 18 at Figure 1 of Mills, you don't see anything that
- 19 could be a bezel as a bezel is described in the
- 20 '257 patent?
- 21 A. I think there is elements in Mills
- 22 that I would describe as a housing. Mills

```
Page 224
     describes it as an enclosure -- sorry, as a
 1
     housing.
                  I think I might describe some of
     those elements as part of an enclosure.
 4
                                               I don't
 5
     see that anyone would look at Mills and say there
 6
     is the bezel.
                  Just, bezels came into play later on
 7
     as part of these structures for wearable devices.
 8
 9
                  Let's turn to Exhibit 1005, the
10
     Markel reference. We could look at Figure 15.
11
                  THE WITNESS: Actually, may I ask a
12
         question first? Are we in the home stretch
         or should we take a break some time soon?
13
14
                  What do you think?
15
                  MR. EVERTON: We are definitely in
16
         the home stretch but we could take a break.
17
         I would take a break anyway before I finish.
18
         So, if you want to take a break now, that is
19
         fine.
20
                  THE WITNESS: Seems like it might be
21
         a good moment.
22
                  MR. EVERTON: Okay.
```

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Page 225
 1
                   (Recess taken -- 4:59 p.m.)
 2
                   (After recess -- 5:07 p.m.)
 3
     BY MR. EVERTON:
 4
            Q.
                  So, now we are looking at Markel
 5
     Exhibit 1005, Figure 15.
                  Does this Markel device have a bezel
 6
 7
     as shown in Figure 15?
 8
                  Not a very good drawing in terms
            Α.
     of -- really not intended to show any particular
10
     shapes.
11
                  You know, I can imagine a desktop
12
     display where the perimeter might be called a
13
     bezel.
14
                  I can imagine others where it might
15
     be called a frame. And this figure isn't
16
     interested in or really attempting to distinguish
     the details of that shape. It is just, you know,
17
18
     one, two, three rectangles and two ellipses and
19
     one circle, I think.
20
                  There wasn't any attempt to convey a
21
     particular design details of the shape around the
22
     display.
```

- 1 Q. But you would agree that the frame
- 2 around the display could be considered a bezel?
- 3 A. Possibly.
- 4 Q. And if we look now at, back to
- 5 Exhibit 1001, the '257 patent.
- And look at Figure 3 of the '257.
- 7 A. Okay.
- 8 Q. We already talked about this figure
- 9 and looked at it, and we identified the leads,
- 10 right? So, you have 322, 324, 326 as the leads
- 11 we have discussed. Correct?
- 12 A. Yes. The patent describes 324 and
- 326 and as leads and that -- sorry, 322 and 324
- 14 are leads.
- And the 326 is a lead embedded in or
- 16 behind display 302.
- 17 Q. Would you describe any of these
- 18 leads as being adjacent leads?
- 19 A. I think I would want to know
- 20 adjacent to what? Adjacent applies a
- 21 relationship to a second object.
- Q. Yes, so, to each other.

- 1 Would you say that any of these
- 2 leads are adjacent to each other?
- 3 A. Yeah, I don't think anyone would
- 4 describe 322 through 324, or 326 as adjacent to
- 5 one another.
- Q. Then how close, how close would the
- 7 electrodes have to be to one another to be
- 8 adjacent to one another?
- 9 A. Physics, in 30 years of teaching.
- 10 You know, I think for two things to
- 11 be adjacent to one another they need to be, you
- 12 know, relatively close.
- 13 You know, I think relative to any
- 14 other dimensions that are, you know, available
- 15 for comparison.
- So, 322 and 324 are much further
- 17 apart than any of the dimensions of either of
- 18 those elements. So, they are not adjacent.
- And I think 322 and 324 are, you
- 20 know, much closer, maybe. It is a relative term,
- 21 kind of not strictly defined.
- Q. At the time of the '257 patents that

- 1 we are talking, around November of 2008, was it
- 2 common for ECG measurements to be taken with more
- 3 than two electrodes?
- 4 A. I think there are examples of more
- 5 than two, but I think two are sufficient.
- 6 Q. Can you think of any examples when
- 7 there were three electrodes used?
- 8 A. I think all of the way back to my
- 9 trip to the hospital that we talked about
- 10 earlier, I think there were three electrodes, two
- on the front and one on the side, maybe. This is
- 12 20 years ago, which means I am probably overdue
- 13 for a return trip.
- 14 But, it seems like there were more
- 15 than two.
- Q. Would there be any advantages to
- 17 taking an ECG or cardiac signals with more than
- 18 two electrodes?
- 19 A. I think there could be.
- 20 O. What would those be?
- 21 A. If I had just two, then I can
- 22 measure the potential only between those two

- 1 electrodes.
- 2 If I have a third electrode, now I
- 3 have maybe three different pairs I can look at
- 4 and perhaps one of those three pairs has a better
- 5 signal to noise ratio or signal cleanliness than
- 6 one of the other pairs of electrodes.
- 7 So, it gives me some more options
- 8 for extracting a clean signal.
- 9 Q. So, being able to give a cleaner
- 10 signal, cleaner signal the more electrodes you
- 11 have, is that kind of how it works?
- 12 A. I think you have a possibility of a
- 13 cleaner signal with more electrodes.
- 14 O. And that general idea of having more
- 15 electrodes for a cleaner signal, would have been
- 16 known by a person of skill in the art around that
- 17 time frame of November 2008?
- 18 A. I think so, just based on my
- 19 experiences and what a person of ordinary skill
- 20 would understand about these signals and how to
- 21 measure them.
- It is not to say you are required to

- 1 have a particular number of electrodes.
- 2 It is just that if you can have a
- 3 third electrode, you have an opportunity to
- 4 capture a cleaner signal.
- 5 Not a guarantee. But, a
- 6 possibility.
- 7 Q. And why would a person of skill in
- 8 the art in that time frame, November 2008, place
- 9 insulating components between two ECG electrodes
- 10 in a cardiac measurement device?
- 11 A. So, the measurement device is
- 12 measuring the potential differences that arise on
- 13 those two electrodes.
- 14 If those electrodes are electrically
- 15 connected, then that potential difference is
- 16 going to be suppressed or completely eliminated.
- 17 It would be shorted out, I think would be the
- 18 term of jargon. And you don't want that.
- 19 Q. And so, what types of insulating
- 20 components were placed between the ECG electrodes
- 21 in that time?
- 22 A. If we are talking about electrodes

- 1 on a handheld device like the example that we are
- 2 looking at on the screen?
- Q. Yes.
- 4 A. I think you would want insulating
- 5 materials.
- 6 Q. What type of insulating materials
- 7 were typically used between electrodes?
- 8 A. Plastics, perhaps rubber gaskets
- 9 might be able for consideration.
- 10 Q. Other than protecting against
- 11 shorting and problems, those types of problems,
- 12 are there any other reasons for including an
- insulating component between the two electrodes?
- 14 A. There could be other reasons. I
- 15 think the main reason is you want to measure the
- 16 potential difference between those two electrodes
- 17 so you would like them to be electrically
- 18 isolated from one another.
- MR. EVERTON: Uh-huh. Okay, that is
- all of the questions I have for now.
- 21 THE WITNESS: That is the best news
- 22 all day.

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                  MR. BISENIUS: Could we have five
 1
         minutes? I want to talk with co-counsel and
         see if we have any more questions.
                  MR. EVERTON: Sounds good.
 4
         Five-minute break?
 5
 6
                  MR. BISENIUS: Yes.
 7
                   (Recess taken -- 5:20 p.m.)
 8
                   (After recess -- 5:25 p.m.)
 9
                  MR. BISENIUS: No questions for
10
         patent owner.
11
                  MR. EVERTON: All right.
12
        (Whereupon, signature not having been waived,
     the deposition suspended at 5:25 p.m.)
13
14
15
16
17
18
19
20
21
22
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Page 233
 1
                   CERTIFICATE OF NOTARY
                  I, LORI J. GOODIN, RPR, CLR, CRR,
 2
     CA CSR # 13959 the Notary for this deposition, do
     hereby certify that the witness whose testimony
 3
     appears in the foregoing deposition was sworn by
     me; that I am neither counsel for, related to,
     nor employed by any of the parties to the action
     in which this deposition was taken; and, further, that I am
     not a relative or employee of any attorney or
 5
     counsel employed by the parties hereto, or
     financially or otherwise interested in the
     outcome of this action.
 7
                      Lote D. Loodin
 8
                 LORI J. GÖODIN, RPR, CLR, CRR
                 Notary Public in and for:
 9
     STATE OF FLORIDA, COUNTY OF SARASOTA
10
     Notary Commission Number: GG987804
     My Commission expires: May 12, 2028
11
     STATE OF CALIFORNIA, CA CSR# 13959
     My Commission expires: February 22, 2025
12
13
     STATE OF MARYLAND, COUNTY OF ANNE ARUNDEL
14
     My Commission expires: August 2, 2025
15
     DISTRICT OF COLUMBIA, WASHINGTON DC
16
     My Commission expires: June 1, 2026
17
18
     STATE OF DELAWARE: COUNTY OF KENT
19
     My Commission expires: September 9, 2025
     STATE OF PENNSYLVANIA, COUNTY OF LEHIGH
20
21
     My Commission expires: April 5, 2025
22
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	Page 234
1	Thomas Kenny, Ph.D., c/o
	FISH & RICHARDSON P.C.
2	60 South Sixth Street, Suite 3200
	Minneapolis, Minnesota 55402
3	
	Case: Masimo Corporation v. Apple Inc.
4	Date of deposition: March 26, 2024
	Deponent: Thomas Kenny, Ph.D.
5	
6	Please be advised that the transcript in the above
	referenced matter is now complete and ready for signature.
7	The deponent may come to this office to sign the transcript,
8	a copy may be purchased for the witness to review and sign,
9	or the deponent and/or counsel may waive the option of
10	signing. Please advise us of the option selected.
11	Please forward the errata sheet and the original signed
12	signature page to counsel noticing the deposition, noting the
13	applicable time period allowed for such by the governing
14	Rules of Procedure. If you have any questions, please do
15	not hesitate to call our office at (202)-232-0646.
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6	ERRATA SHEET	
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9	Witness Name: Thomas Kenny, Ph.D.	
10	Deposition Date: March 26, 2024	
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