

THE UNIVERS OF AMERICA

TO ALL TO WHOM THESE; PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

**United States Patent and Trademark Office** 

January 22, 2024

THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE RECORDS OF THIS OFFICE OF THE FILE WRAPPER AND CONTENTS OF:

APPLICATION NUMBER: 61/170,526 FILING DATE: April 17, 2009



8451119

Certified by

Kathi

Performing the Functions and Duties of the Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office

Attorney Docket No.: 022287-002700US

# **PROVISIONAL**

# PATENT APPLICATION

# WIDEBAND TUNER ARCHITECTURE

Inventors: Madhukar Reddy, a citizen of The United States, residing at

2011 Palomar Airport Road, Suite 305

Carlsbad, CA 92011

Curtis Ling, a citizen of The United States, residing at

3667 Adams Street Carlsbad, CA 92008

Assignee: MaxLinear, Inc.

2011 Palomar Airport Road, Suite 305

Carlsbad, CA 92011

Entity: Small business concern

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834 Tel: 650-326-2400

# WIDEBAND TUNER ARCHITECTURE

Madhukar Reddy, Curtis Ling

## 1. OVERVIEW

This invention is related to a method and apparatus for receiving several channels located in discontinguous portions of a frequency spectrum, and downconverting them in such a way that when combined, they form a contiguous group of channels at a much lower intermediate frequency (IF). One of the applications this invention targets is the reception of television channels being transmitted on a cable. These channels are distributed across a wide range of frequencies. For data transmission, the standard requires the simultaneous reception of several channels at once; these channels may not be contiguous.

### 1.1 BACKGROUND INFORMATION

The receivers used to downconvert and selectively filter TV channels are referred to as *tuners*, and tuners designed to simultaneously receive several TV channels are referred to as *wideband tuners*. Existing tuners for these applications downconvert a *swath* of channels to an intermediate frequency which are then sent to a demodulator. Because the desired channels are not contiguous, this swath includes the desired channels as well as undesired channels. The demodulator employs a high-speed data converter to capture this swath of desired and undesired channels in the digital domain and subsequently filter out the desired channels. A typical implementation is show below

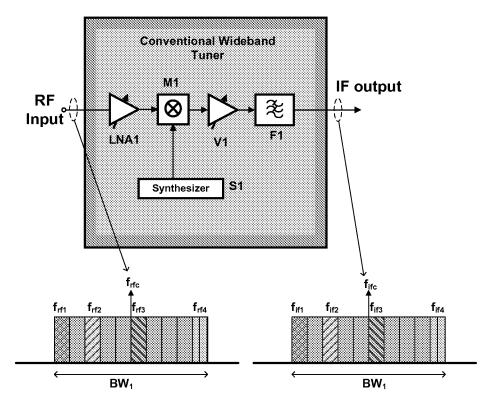


Figure 1. Illustration of frequency conversion using a conventional wideband tuner

Referring to Figure 1, the swath of channels occupies a bandwidth BW1 at an RF center frequency  $f_{\text{rfc}}$ . The synthesizer S1 is tuned to mix the channels to a center frequency  $f_{\text{ifc}}$ , which are amplified and filtered by V1 and F1 respectively. Bandwidth BW1 typically contains 10 to 15 channels, or 60-100MHz, of which only four are desired. This requires that the IF frequency  $f_{\text{ifc}}$  be at least BW1/2, or about 50MHz.

# 2. DETAILED DESCRIPTION OF THE INVENTION

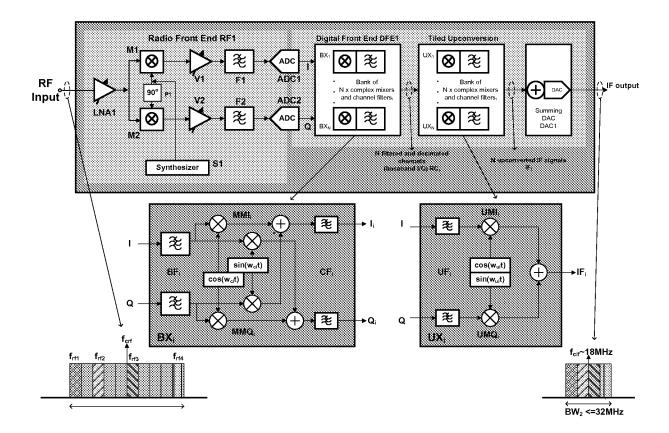


Figure 2. Illustration of the wideband personal radio recorder

The invention may be a single very wide-band tuner receiver RF1 which captures the desired swath of channels. This swath is amplified via a wide dynamic range low-noise amplifier LNA1 and mixed down into a complex signal path by I/Q mixers M1 and M2. The invention filters out unwanted portions of the spectrum via filters F1 and F2, and converts the signal to the digital domain using the wide band ADC converters ADC1 and ADC2. This portion of the system is similar to conventional tuners.

The invention focuses on how the swath of channels is preprocessed before being sent to the demodulator. The complex I/Q digital signal from the ADC pair feeds a bank of N complex mixers and filters  $BX_i$  which frequency-shift the N desired TV channels to baseband where they are each individually filtered, producing N filtered and decimated radio channels  $RC_i$ . These N channels can be sent to the demodulator in two ways:

1. The N channels can be sent together as a digital stream directly to the demodulator in digital form by serial or parallel data link using commonly-available methods. This

- approach is advantageous compared with existing tuner architectures in that it eliminates expensive data conversion, filtering and channel selection on the demodulator side. Another significant advantage is that this architecture removes undesired channels from the signal path as soon as possible, relieving signal path dynamic range requirements in the demodulator.
- 2. Alternatively, the N channels can be upconverted by a bank of filters and mixers  $UX_i$  to IF signals at IF<sub>i</sub> at frequencies  $f_{ui}$  which, when combined and converted to the analog domain by the summing DAC DAC1, align the IF signals as one contiguous set of channels in the spectrum centered at  $f_{if}$ , as illustrated in Figure 1. Because the bandwidth BW2 is substantially less than BW1,  $f_{if}$  can be proportionally lower, typically18MHz to accommodate a spectrum BW2 of up to 36MHz. This approach is advantageous because
  - a. It allows the demodulator to run its data converter at a lower speed with fewer bits of resolution because the desired channels have been consolidated and the undesired ones eliminated, making the contiguous IF spectrum compact.
  - b. It is fully compatible with existing demodulators which require an analog IF signal.
  - c. As with method 1, this architecture removes undesired channels from the signal path as soon as possible, relieving signal path dynamic range requirements in the demodulator's ADC and within the demodulator itself.

### 2.1 ALTERNATIVE EMBODIMENT

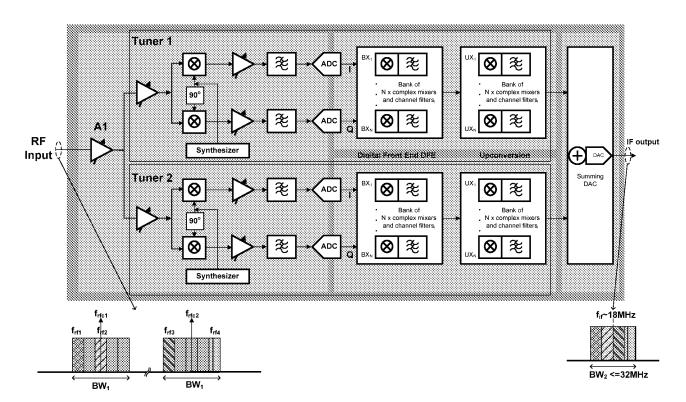


Figure 3. Embodiment of the invention applied to two tuner front ends.

The invention can be applied to any number of RF/digital front ends, as is shown in Figure 3 above. In this embodiment, Tuners 1 and 2 each receive portions of the RF spectrum centered at  $f_{\text{rfc1}}$  and  $f_{\text{rfc2}}$  respectively.

As before, the outputs from the two tuners are tiled to produce a contiguous set of IF frequencies centered at  $f_{\rm if}$ .

# **Application Data Sheet**

| Application information         |                             |
|---------------------------------|-----------------------------|
| Application number::            |                             |
| Filing Date::                   |                             |
| Application Type::              | Provisional                 |
| Subject Matter::                | Utility                     |
| Suggested classification::      |                             |
| Suggested Group Art Unit::      |                             |
| CD-ROM or CD-R??::              |                             |
| Number of CD disks::            |                             |
| Number of copies of CDs::       |                             |
| Sequence Submission::           |                             |
| Computer Readable Form (CRF)?:: |                             |
| Number of copies of CRF::       |                             |
| Title::                         | WIDEBAND TUNER ARCHITECTURE |
| Attorney Docket Number::        | 022287-002700US             |
| Request for Early Publication:: | No                          |
| Request for Non-Publication::   | No                          |
| Suggested Drawing Figure::      |                             |
| Total Drawing Sheets::          |                             |
| Small Entity?::                 | Yes                         |
| Latin name::                    |                             |
| Variety denomination name::     |                             |
| Petition included?::            | No                          |
| Petition Type::                 |                             |
| Licensed US Govt. Agency::      |                             |
| Contract or Grant Numbers One:: |                             |
| Secrecy Order in Parent Appl.:: | No                          |

Page 1 Initial 4/17/09

# **Applicant Information**

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Madhukar

Middle Name::

Family Name:: Reddy

Name Suffix::

City of Residence:: Carlsbad

State or Province of Residence:: CA

Country of Residence:: US

Street of Mailing Address:: 2011 Palomar Airport Road, Suite 305

City of Mailing Address:: Carlsbad

State or Province of mailing address:: CA

Country of mailing address:: US

Postal or Zip Code of mailing address:: 92011

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Curtis

Middle Name::

Family Name:: Ling

Name Suffix::

City of Residence:: Carlsbad

State or Province of Residence:: CA

Country of Residence:: US

Street of Mailing Address:: 3667 Adams Street

City of Mailing Address:: Carlsbad

State or Province of mailing address:: CA

Country of mailing address:: US

Page 2 Initial 4/17/09

Postal or Zip Code of mailing address:: 92008

**Correspondence Information** 

Correspondence Customer Number:: 20350

**Representative Information** 

Representative Customer Number:: 20350

**Domestic Priority Information** 

Application:: Continuity Type:: Parent Application:: Parent Filing Date::

**Foreign Priority Information** 

Country:: Application number:: Filing Date::

**Assignee Information** 

Assignee Name::

Street of mailing address::

City of mailing address::

State or Province of mailing address::

Country of mailing address::

Postal or Zip Code of mailing address::

Page 3 Initial 4/17/09

| Electronic Patent Application Fee Transmittal |                                |               |          |        |                         |  |
|---|--------------------------------|---------------|----------|--------|-------------------------|--|
| Application Number:                           |                                |               |          |        |                         |  |
| Filing Date:                                  |                                |               |          |        |                         |  |
| Title of Invention:                           | WIDEBAND TUNER ARCHITECTURE    |               |          |        |                         |  |
| First Named Inventor/Applicant Name:          | Madhukar Reddy                 |               |          |        |                         |  |
| Filer:  | Ardeshir Tabibi/Eleanor Taylor |               |          |        |                         |  |
| Attorney Docket Number:                       | 02:                            | 2287-002700US |          |        |                         |  |
| Filed as Small Entity                         |                                |               |          |        |                         |  |
| Provisional Filing Fees                       |                                |               |          |        |                         |  |
| Description                                   | Description                    |               | Quantity | Amount | Sub-Total in<br>USD(\$) |  |
| Basic Filing:                                 |                                |               |          |        |                         |  |
| Provisional Application filing fee            |                                | 2005          | 1        | 110    | 110                     |  |
| Pages:  |                                |               |          |        |                         |  |
| Claims:                                       |                                |               |          |        |                         |  |
| Miscellaneous-Filing:                         |                                |               |          |        |                         |  |
| Petition:                                     |                                |               |          |        |                         |  |
| Patent-Appeals-and-Interference:              |                                |               |          |        |                         |  |
| Post-Allowance-and-Post-Issuance:             |                                |               |          |        |                         |  |
| Extension-of-Time:                            |                                |               |          |        |                         |  |

| Description    | Fee Code          | Quantity | Amount | Sub-Total in<br>USD(\$) |
|----------------|-------------------|----------|--------|-------------------------|
| Miscellaneous: |                   |          |        |                         |
|                | Total in USD (\$) |          |        | 110                     |

| Electronic Acknowledgement Receipt   |                                |  |  |
|--------------------------------------|--------------------------------|--|--|
| EFS ID:                              | 5177511                        |  |  |
| Application Number:                  | 61170526                       |  |  |
| International Application Number:    |                                |  |  |
| Confirmation Number:                 | 4157                           |  |  |
| Title of Invention:                  | WIDEBAND TUNER ARCHITECTURE    |  |  |
| First Named Inventor/Applicant Name: | Madhukar Reddy                 |  |  |
| Customer Number:                     | 20350                          |  |  |
| Filer:                               | Ardeshir Tabibi/Eleanor Taylor |  |  |
| Filer Authorized By:                 | Ardeshir Tabibi                |  |  |
| Attorney Docket Number:              | 022287-002700US                |  |  |
| Receipt Date:                        | 17-APR-2009                    |  |  |
| Filing Date:                         |                                |  |  |
| Time Stamp:                          | 19:03:43                       |  |  |
| Application Type:                    | Provisional                    |  |  |

# **Payment information:**

| Submitted with Payment                   | yes             |
|--|-----------------|
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$110           |
| RAM confirmation Number                  | 5536            |
| Deposit Account                          | 201430          |
| Authorized User                          |                 |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

# File Listing:

| Document<br>Number       | Document Description                            | File Name                                    | File Size(Bytes)/<br>Message Digest | Multi<br>Part /.zip | Pages<br>(if appl.) |  |
|--------------------------|---|--|-------------------------------------|---------------------|---------------------|--|
| 1 Specification          | Specification                                   | Application.pdf                              | 150752                              | no                  | 6                   |  |
|                          | , pp. estisps.                                  | cdc9854c0493008d8c6df098526847d713b<br>b76df |                                     |                     |                     |  |
| Warnings:                |   |  |                                     |                     |                     |  |
| Information:             |   |  |                                     |                     |                     |  |
| 2 Application Data Sheet | Application Data Sheet                          | Application_Data_Sheet.pdf                   | 52978                               | no                  | 3                   |  |
|                          | Application_Data_sheet.pui                      | 2a0904c8b981cb00495edf65734df7650b8<br>2c811 |                                     |                     |                     |  |
| Warnings:                | Warnings:                                       |  |                                     |                     |                     |  |
| Information:             |   |  |                                     |                     |                     |  |
| This is not an US        | This is not an USPTO supplied ADS fillable form |  |                                     |                     |                     |  |
| 3 Fee Worksheet (PTO-06) | Fee Worksheet (PTO-06)                          | fee-info.pdf                                 | 29501                               | no                  | 2                   |  |
|                          | ice illoipai                                    | 1600dc4eb535f60033167ec7cc397f1f89c8<br>03d3 |                                     | _                   |                     |  |
| Warnings:                |   |  |                                     |                     |                     |  |
| Information:             |   |  |                                     |                     |                     |  |
|                          |   | Total Files Size (in bytes)                  | 25                                  | 33231               |                     |  |

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

# New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



20350

# United States Patent and Trademark Office

INITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

FILING or GRP ART APPLICATION ATTY.DOCKET.NO FIL FEE REC'D TOT CLAIMS IND CLAIMS NUMBER 371(c) DATE UNIT 04/17/2009 61/170,526 110

022287-002700US

**CONFIRMATION NO. 4157** 

FILING RECEIPT

TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER **EIGHTH FLOOR** SAN FRANCISCO, CA 94111-3834

Date Mailed: 05/04/2009

Receipt is acknowledged of this provisional patent application. It will not be examined for patentability and will become abandoned not later than twelve months after its filing date. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Madhukar Reddy, Carlsbad, CA; Curtis Ling, Carlsbad, CA:

Power of Attorney: None

If Required, Foreign Filing License Granted: 04/27/2009

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is **US 61/170,526** 

**Projected Publication Date:** None, application is not eligible for pre-grant publication

Non-Publication Request: No Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

WIDEBAND TUNER ARCHITECTURE

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international page 1 of 3

patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

## **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and

Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

## **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).