Filed on behalf of Patent Owner

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UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ALIGN TECHNOLOGY, INC. Petitioner

v.

3SHAPE A/S Patent Owner

Case No. PGR2018-00103 Patent 9,962,244

PATENT OWNER MANDATORY NOTICE INFORMATION PURSUANT TO 37 C.F.R. § 42.8

Pursuant to 37 C.F.R. § 42.8, Patent Owner 3Shape A/S hereby files the following mandatory notices.

I. REAL PARTY-IN-INTEREST (37 C.F.R. § 42.8(b)(1))

Patent Owner certifies that 3SHAPE A/S is the real party-in-interest.

II. RELATED MATTERS (37 C.F.R. § 42.8(b)(2))

The following is a list of judicial and administrative matters that would affect, or be affected by, a decision in this proceeding.

- 3Shape A/S v. Align Technology, Inc., Civil Action No. 1:18-cv-00697
 (D. Del.), filed on May 8, 2018 (pending);
- 2. Align Technology, Inc. v. 3Shape A/S, PGR2018-00104, filed on October 26, 2018;
- 3. Align Technology, Inc. v. 3Shape A/S, IPR2019-00117, filed on November 5, 2018;
- 4. Align Technology, Inc. v. 3Shape A/S, IPR2019-00118, filed on November 5, 2018;
- 5. U.S. Patent Application No. 15/888,416, filed on February 5, 2018; and
- 6. U.S. Provisional Application No. 61/764,178, filed on February 13, 2013.

III. LEAD AND BACKUP COUNSEL (37 C.F.R. § 42.8(b)(3))

Patent Owner hereby identifies its lead and backup counsel as follows:

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IV. SERVICE INFORMATION (37 C.F.R. § 42.8(b)(4))

Patent Owner consents to e-mail service at the addresses listed above.

Respectfully submitted,

Date: November 16, 2018 /Todd R. Walters/

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CERTIFICATE OF FILING AND SERVICE

I hereby certify that a true and correct copy of the foregoing PATENT

OWNER MANDATORY NOTICE INFORMATION PURSUANT TO 37

C.F.R. § 42.8 is being filed electronically via PTAB E2E and served on counsel

for Petitioner by electronic mail this 16th day of November, 2018 as follows:

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